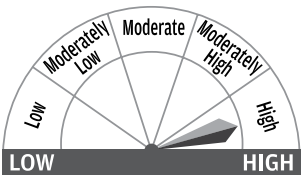


# Edelweiss ASEAN Equity Off-shore Fund

(An open ended fund of fund scheme investing in JPMorgan Funds – ASEAN Equity Fund)

## Scheme Information Document (SID)

Offer of Units of ₹ 10/- per unit at NAV based prices subject to applicable Loads

This product is suitable for investors who are seeking*:	Riskometer
<ul style="list-style-type: none"> <li>Long term capital growth.</li> <li>Investments predominantly in JPMorgan Funds – ASEAN Equity Fund, an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations.</li> </ul>	
<p>*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.</p>	<p>Investors understand that their principal will be at High risk</p>

### Investor should note that:

The particulars of the Scheme have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations 1996, (herein after referred to as SEBI Regulations) as amended till date, and filed with Securities and Exchange Board of India (“SEBI”), along with a Due Diligence Certificate from the AMC. The units being offered for public subscription have not been approved or recommended by SEBI nor has SEBI certified the accuracy or adequacy of the Scheme Information Document (“SID”).

The SID sets forth concisely the information about the Scheme that a prospective investor ought to know before investing. Before investing, investors should also ascertain any further changes to this SID after the date of this document from the Mutual Fund / Investor Service Centres (“ISC”) / website / distributors or brokers.

The investors are advised to refer to the Statement of Additional Information (“SAI”) for details of Edelweiss Mutual Fund and tax related and legal issues. Additionally investors are also advised to log on to the website for general information concerning Edelweiss Mutual Fund: [www.edelweissmf.com](http://www.edelweissmf.com).

The SAI is incorporated by reference (and is legally a part of the Scheme Information Document). For a free copy of the current SAI, please contact your nearest Investor Service Centre or log on to our website.

This SID should be read in conjunction with the SAI and not in isolation.

This SID is dated March 28, 2018.

JPMorgan Funds – ASEAN Equity Fund is a fund in which the Edelweiss ASEAN Equity Offshore Fund may invest. This document does not constitute an offer of the JPMorgan Funds – ASEAN Equity Fund in India. The information contained in this document and the opinions expressed herein have not been prepared by JPMorgan Asset Management (Singapore) Limited, the management company of the JPMorgan Funds – ASEAN Equity Fund or any of their affiliates (“JPMorgan”). JPMorgan neither endorses nor is responsible for the accuracy or reliability of, and under no circumstances will JPMorgan be liable for any loss or damage caused by reliance on, any opinion, advice or statement made in this document.

By making an investment in the Edelweiss ASEAN Equity Offshore Fund, you are not investing in the JPMorgan Funds – ASEAN Equity Fund. You understand and acknowledge an investment into the Edelweiss ASEAN Equity Offshore Fund does not create any legal or contractual nexus between you and JPMorgan, any of their portfolio managers, employees or representatives. JPMorgan is in no way liable for the consequences of an investment in the Edelweiss ASEAN Equity Offshore Fund.

### NAME OF MUTUAL FUND

#### Edelweiss Mutual Fund

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Off C.S.T. Road, Kalina,  
Santacruz (E), Mumbai 400 098  
[www.edelweissmf.com](http://www.edelweissmf.com)

### TRUSTEE:

#### Edelweiss Trusteeship Company Limited

(CIN: U67100MH2007PLC173779)  
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### SPONSOR:

#### Edelweiss Financial Services Limited

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[www.edelweissfin.com](http://www.edelweissfin.com)

### INVESTMENT MANAGER:

#### Edelweiss Asset Management Limited

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### REGISTRAR:

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## HIGHLIGHTS / SUMMARY OF THE SCHEME

<b>Name of the Scheme</b>	<b>Edelweiss ASEAN Equity Off-shore Fund</b>						
<b>Investment Objective</b>	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds - ASEAN Equity Fund, an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN). However, there can be no assurance that the investment objective of the Scheme will be realized.						
<b>Liquidity</b>	Units may be purchased or redeemed at NAV, subject to applicable Loads (if any), on every Business Day on an ongoing basis, commencing not later than 5 (five) Business Days from the date of allotment.  The Mutual Fund will endeavour to dispatch Redemption proceeds within 7 Business Days from the date of acceptance of Redemption request. However, in certain circumstances [outlined in Section III-B 'Restrictions on Redemptions'] restrictions on redemptions may be imposed.						
<b>Benchmark for performance comparison</b>	Morgan Stanley Capital International (MSCI) South East Asia Index						
<b>Transparency / NAV Disclosure</b>	<p>The AMC will calculate and disclose the first NAV of the Scheme within a period of 5 Business Days from the date of allotment. Subsequently, the NAVs will be calculated on every Business Day and disclosed on the next Business Day by 10.00 a.m. The NAV of the Scheme shall be made available at all ISCs of the AMC. The AMC will publish the NAV for each Business Day in two daily newspapers. The AMC shall update the NAVs on the website of the Mutual Fund (<a href="http://www.edelweissmf.com">www.edelweissmf.com</a>) and that of the Association of Mutual Funds in India (<a href="http://www.amfiindia.com">www.amfiindia.com</a>) by 10.00 am on the next Business Day. In case of any delay, the reasons for such delay would be explained to AMFI and reported to SEBI. All delays beyond 10.00 a.m. on the next Business Day would be reported to AMFI and SEBI and the Mutual Fund shall issue a press release providing reasons and explaining when the Scheme would be able to publish the NAVs.</p> <p>The AMC will, before the expiry of one month from the close of each half year (i.e. March 31 and September 30), disclose the full portfolio of the Scheme by either sending a complete statement to all the Unit Holders or by publishing such statement, by way of advertisement, in one English daily newspaper having nationwide circulation and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.</p> <p>The NAV will be calculated in the manner as provided in this Scheme Information Document or as may be prescribed by the SEBI Regulations from time to time. The NAV will be computed up to three decimal places.</p>						
<b>Load Structure (For Ongoing Offer basis)</b>	<p><b>1. Entry Load:</b> NIL</p> <p><b>2. Exit Load:</b></p> <table border="1"> <thead> <tr> <th>For redemption</th> <th>Exit Load (% of applicable NAV)</th> </tr> </thead> <tbody> <tr> <td>If redeemed within 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed within 12 months from the date of allotment of units of each installment of SIP purchase.</td> <td>1.00%</td> </tr> <tr> <td>If redeemed after 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed after 12 months from the date of allotment of units of each installment of SIP purchase.</td> <td>Nil</td> </tr> </tbody> </table> <p><b>Existing Investments:</b></p> <p>(a) Investors wishing to transfer their accumulated unit balance held under Regular Plan (through lumpsum / systematic investments made without Distributor code) to Direct Plan can switch / redeem their investments without any Exit Load.</p> <p>(b) Investors wishing to transfer their accumulated unit balance held under Regular Plan (through lumpsum / systematic investments made with Distributor code) to Direct Plan can switch / redeem their investments (subject to applicable Exit Load, if any).</p> <p>(c) Investors who have invested without Distributor code and have opted for Dividend Reinvestment facility under Regular Plan may note that the dividend will continue to be reinvested in the Regular Plan only.</p>	For redemption	Exit Load (% of applicable NAV)	If redeemed within 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed within 12 months from the date of allotment of units of each installment of SIP purchase.	1.00%	If redeemed after 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed after 12 months from the date of allotment of units of each installment of SIP purchase.	Nil
For redemption	Exit Load (% of applicable NAV)						
If redeemed within 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed within 12 months from the date of allotment of units of each installment of SIP purchase.	1.00%						
If redeemed after 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed after 12 months from the date of allotment of units of each installment of SIP purchase.	Nil						

### Credit of Exit Load to Scheme:

The exit load charged, if any, net of Goods and Service Tax shall be credited to the respective Scheme.

A switch-out or a withdrawal under SWP shall also attract an Exit Load like any Redemption. All Loads for the Scheme shall be maintained in a separate account and may be utilised to meet the distribution and marketing expenses. Any surplus amounts in this account may be credited to the Scheme whenever considered appropriate by the AMC.

**To know the latest position on Loads structure prior to investing/ Redemption, investors are advised to contact any of the ISCs or the AMC at its toll-free number (1800 425 0090).** Callers outside India, mobile users, other landline users may dial. +91-040-23001181. The Toll Free Number and the Non-Toll Free Number will be available between 9.00 am to 7.00 pm from Monday to Saturday prior to any application / Redemption.

<b>Minimum Application / Redemption Amount</b>	Initial Application Amount	₹ 5,000 per application and in multiples of Re. 1 thereafter.
	Additional Application Amount	₹ 1,000 per application and in multiples of Re. 1 thereafter.
	Amount / No. of Units for Redemption	₹ 1,000 or 100 Units or the account balance, whichever is lower.

### Plans / Options available under the Scheme

The Scheme offers two plans - Regular Plan and Direct Plan.

Each Plan offers a growth option only, where growth in the Scheme is reflected in the NAV per unit.

### Transaction charges in respect of Applications routed through Distributors (Applicable only for Regular Plan)

In terms of SEBI circular no. CIR/IMD/DF/13/2011 & CIR/IMD/DF/21/2012 dated August 22, 2011 & September 13, 2012 respectively, as amended from time to time, transaction charge per subscription of ₹ 10,000 and above (Ten Thousand Rupees and above) shall be charged to the Investors and shall be payable to the distributors (who have not opted out of charging the transaction charge) in respect of the applications routed through distributor relating to purchase of the Units, subject to the following:

- For Existing Investors: ₹ 100/- (One Hundred Rupees) per subscription of ₹ 10,000 and above (Ten Thousand Rupees and above).
- For New Investors: ₹ 150/- (One Hundred Fifty Rupees) per subscription of ₹ 10,000 and above (Ten Thousand Rupees and above).
- There shall be no transaction charge on subscriptions below ₹ 10,000/- (Ten Thousand Rupees).
- There shall be no transaction charge on transactions other than purchases / subscriptions relating to new inflows.
- There shall be no transaction charge on direct investments.

The distributors have the option to either opt in or opt out of levying the transaction charge based on the type of product / scheme.

The transaction charges as mentioned above for applications routed through distributors who have not opted out of the charging the transaction charge shall be deducted by the AMC from the subscription amount of the Unit Holder and paid to the distributors and the balance shall be invested in the Scheme.

It is also clarified that as per SEBI Circular no. SEBI/IMD/CIR No. 4/168230/09, dated June 30, 2009, upfront commission to distributors shall continue to be paid by the Investor directly to the distributor by a separate cheque based on his assessment of various factors including the service rendered by the distributor.

### Temporary Suspension of Subscription

The AMC and the Trustee reserves the right to suspend subscriptions in/switches into the Scheme if the limits prescribed by SEBI for overseas investments are exceeded or expected to be exceeded as per the procedure set out in this Scheme Information Document and the SAI and subject to the SEBI Regulations and approvals. The current limit for overseas investments in the Mutual Fund is equivalent to USD 300 million.

### Circumstances warranting Termination of Scheme

The scheme is a Fund of Funds scheme investing predominantly in the JPMorgan Funds - ASEAN Equity Fund, the Underlying fund. In the event of a change in legislation / regulations applicable to the Underlying fund, rendering them, incongruous with or violative of the SEBI Regulations, the Trustee may at their sole discretion windup the Scheme after following the procedure prescribed under the SEBI Regulations for winding up of schemes.

## I. INTRODUCTION

### A. RISK FACTORS

#### 1) Standard Risk Factors:

- Investment in mutual fund units involves investment risks such as trading volumes, settlement risk, liquidity risk, default risk including the possible loss of principal.
- As the price / value / interest rates of the Securities in which the Scheme invests fluctuates, the value of your investment in the Scheme may go up or down.
- Mutual Funds, like Securities investments, are subject to market and other risks and there can be no guarantee against loss resulting from an investment in the Scheme nor can there be any assurance that the Scheme's objectives will be achieved.
- Past performance of the Sponsor / AMC / Mutual Fund does not guarantee future performance of the Scheme.
- Edelweiss ASEAN Equity Off-shore Fund is only the name of the Scheme and does not in any manner indicate either the quality of the Scheme or its future prospects and returns.
- The Sponsor is not responsible or liable for any loss resulting from the operation of the Scheme beyond the initial contribution of ₹ 1,00,000 (One Lakh Indian Rupees) made by it towards setting up the Mutual Fund.
- The present Scheme is not a guaranteed or assured return scheme.

#### 2) Scheme Specific Risk Factors:

##### (a) Risk factors for a Fund of Funds Scheme

- The Scheme will be investing primarily in shares / units of the Underlying fund, which in turn invests in equity securities of companies of countries which are members of Association of South East Asian Nations (ASEAN) and those that are domiciled in, or carrying out the main part of their economic activity in, an ASEAN country. The Scheme's performance will predominantly depend upon the performance of the Underlying fund.
- Any change in the investment policy or the fundamental attributes of the Underlying fund will affect the performance of the Scheme.
- Investments in the Underlying fund, which is an equity fund, will have all the risks associated with investments in equity and the offshore markets.
- The portfolio disclosure of the Scheme will be largely limited to the particulars of the Underlying fund and investments by the Scheme in money market instruments. Therefore, investors may not be able to obtain specific details of the Scheme.
- In addition to the recurring expenses of the Scheme, the investor shall also bear the applicable expenses of the Underlying fund. Therefore, the returns that the investor may receive shall be substantially impacted or may, at times, be lower than the returns that an investor, directly investing in the Underlying fund could obtain.

##### (b) Risk factors of the Underlying fund

- The performance of the Underlying fund will be affected by a number of risk factors, including the following, which have also been disclosed by the Underlying fund in its prospectus filed with the appropriate regulatory authorities:

- (i) **Political, Economic and Social Risks** – All financial markets may at times be adversely affected by changes in political, economic and social conditions. Economic and / or political instability could lead to legal, fiscal and regulatory changes or the reversal of legal / fiscal / regulatory / market reforms.
- (ii) **Market Risk** – The Underlying fund's investments are subject to the risks inherent in all investments in Securities i.e. the value of holdings may fall as well as rise. As the Underlying fund invests primarily in equities, investors are exposed to stock market fluctuations and the financial performance of the companies held in the Underlying fund's portfolio. In addition, the Underlying fund may be subject to investment holding limits imposed on investors by the markets in which the Underlying fund invests.
- (iii) **Currency Risk** – The assets in which the Underlying fund is invested and the income from the assets will or may be quoted in currencies which are different from the Underlying fund's base currency. The performance of the Underlying fund will therefore be affected by movements in the exchange rate between the currencies in which the assets are held and the Underlying funds' base currency and hence there can be the prospect of additional loss or the prospect of additional gain to the investors greater than the usual risks of investment. The performance of the Underlying fund may also be affected by changes in exchange control regulations.
- (iv) **Hedging Risk** – The investment manager to the Underlying fund is permitted, but not obliged, to use hedging techniques to attempt to offset market and currency risks. There is no guarantee that hedging techniques will achieve the desired result.
- (v) **Diversification Risk** – Although the portfolio is well diversified in terms of the number of holdings, investors should be aware that the Underlying fund is likely to be more volatile than a broad-based fund, such as a global equity fund, as it is more susceptible to fluctuations in value resulting from adverse conditions in the region in which it invests.
- (vi) **ASEAN and Emerging Markets Risk** – Accounting, auditing and financial reporting standards in some of the markets in which some of the Underlying fund's assets may be invested may be less rigorous than international standards. As a result, certain material disclosures may not be made.  
  
Investment in ASEAN and emerging markets involves special considerations and risks. Many ASEAN and emerging market countries are still in the early stages of modern development and are subject to abrupt and unexpected changes. In many cases, governments retain a high degree of direct control over the economy and may take actions having sudden and widespread effects. There is a possibility of nationalisation, expropriation or confiscatory taxation, foreign exchange control, political changes, government regulation, social instability or diplomatic developments which could affect adversely the economies of ASEAN and emerging markets or the value of the Underlying fund's investments, and the risks of investing in countries with smaller capital markets, such as limited liquidity, price volatility, restrictions on foreign investment and repatriation of capital, and the risks associated with ASEAN and emerging economies,

including high inflation and interest rates and political and social uncertainties. Investors should be aware that the investments of the Underlying fund being primarily invested in the ASEAN countries or companies that derive the predominant part of their economic activity from ASEAN countries / emerging markets, even if listed elsewhere, its stocks can be negatively impacted by low liquidity, poor transparency and greater financial risks. However, the volatility of the Underlying fund is limited by its diversification across a large number of companies and industry groups.

Investments in products relating to ASEAN markets may also become illiquid which may constrain the ability of the investment manager to the Underlying fund to realize some or the entire portfolio.

(vii) **Legal, Tax and Regulatory Risk** - Legal, tax and regulatory changes could occur during the term of the Underlying fund which may adversely affect it. If any of the laws and regulations currently in effect should change or any new laws or regulations should be enacted, the legal requirements to which the Underlying fund and the investors may be subject could differ materially from current requirements and may materially and adversely affect the Underlying fund and the investors. Tax law and practice in certain countries into which the Underlying fund invests or may invest in the future is not clearly established. It is therefore possible that the current interpretation of the law or understanding of practice might change, or that the new law might be changed with retrospective effect. Legislation could be imposed retrospectively (as a result the Underlying fund could become subject to additional taxation that was not contemplated either when investments were made, valued or disposed of) or may be issued in the form of internal regulations not generally available to the public.

(viii) **Settlement Risks**

- The securities markets in some countries lack the liquidity, efficiency and regulatory and supervisory controls of more developed markets.
- Lack of liquidity may adversely affect the ease of disposal of assets. The absence of reliable pricing information in a particular security held by the Underlying fund may make it difficult to assess reliably the market value of assets.
- The share register of companies in which the Underlying fund invests in may not be properly maintained and the ownership or interest may not be (or remain) fully protected.
- Registration of Securities may be subject to delay and during the period of delay it may be difficult to prove beneficial ownership of the Securities.
- The provision for custody of assets may be less developed than in other more mature markets and thus provides an additional level of risk for the Underlying fund.

Settlement procedures may be less developed and still be in physical as well as in dematerialised form.

(ix) **Derivatives Risk** - The Underlying fund may use derivatives in connection with its investment strategies. Derivative products are leveraged instruments and can provide

disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the investment manager of the Underlying fund to identify such opportunities. Identification and execution of the strategies to be pursued by the investment manager of the Underlying fund involve uncertainty and decision of the investment manager of the Underlying fund may not always be profitable. No assurance can be given that the investment manager of the Underlying fund will be able to identify or execute such strategies.

The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in Securities and other traditional investments. Derivatives may be riskier than other types of investments because they may be more sensitive to changes in economic or market conditions than other types of investments and could result in losses that significantly exceed a Mutual Fund's original investment. Certain derivatives may give rise to a form of leverage. As a result, the Underlying fund may be more volatile than if the Underlying fund had not been leveraged because the leverage tends to exaggerate the effect of any increase or decrease in the value of the Underlying fund's portfolio Securities.

Derivatives are also subject to the risk that changes in the value of a derivative may not correlate perfectly with the underlying asset, rate or index. The use of derivatives for hedging or risk management purposes or to increase income or gain may not be successful, resulting in losses to the Underlying fund and the cost of such strategies may reduce the Underlying fund's returns and increase the Underlying fund's potential for loss.

The Underlying fund may use derivatives to hedge market and currency risk, and for the purposes of portfolio balancing. The use of derivatives may expose the Underlying fund to a higher degree of risk. In particular, derivative contracts can be highly volatile, and the amount of initial margin is generally small relative to the size of the contract so that transactions are geared.

(x) **Investing in Equities** - Equity Securities and equity-related Securities are volatile and prone to price fluctuations on a daily basis. The liquidity of investments made by the Underlying fund may be restricted by trading volumes and settlement periods. This may impact the ability of the Unit Holders to redeem their Units. In view of this, the Trustee has the right, in its sole discretion to limit Redemptions (including suspending Redemption) under certain circumstances. Settlement periods may be extended significantly by unforeseen circumstances. The inability of the Underlying fund to make intended Securities purchases, due to settlement problems, could cause the Underlying fund to miss certain investment opportunities. Similarly, the inability to sell Securities held in the Underlying fund's portfolio could result, at times, in potential losses to the Scheme, should there be a subsequent decline in the value of Securities held in the Underlying fund's portfolio.

The liquidity and valuation of the Underlying fund's investments due to its holdings of unlisted Securities may be affected if they have to be sold prior to the target date for disinvestment.

Risk and Description specific to Equities	Risk Mitigants / Management Strategy of the Underlying fund
<p><b>Quality risk</b> Risk of investing in unsustainable / weak companies</p>	<p>The stock selection process is an important part of the idea generation stage, as it provides the greater part of added value to the investments. Underpinning the stock selection process is the rigorous research conducted by dedicated country specialists. The approach to stock selection is largely country specific, which means that these investment professionals have the responsibility to design and refine their stock selection process to cope with the dynamic local factors and market conditions.</p> <p>Quality analysis based investment approach:</p> <ul style="list-style-type: none"> <li>(i) Management</li> <li>(ii) Capital structure</li> <li>(iii) Sustainability of competitive advantage</li> <li>(iv) Return on equity</li> <li>(v) Industry attractiveness</li> </ul> <p>In general, there are three primary sources of investment return which the investment professionals normally focus on and they form the basic premise of the stock selection process:</p> <ul style="list-style-type: none"> <li>(i) Growth - companies that exhibit sustainable earnings growth in excess of the market through an economic cycle;</li> <li>(ii) Valuations - quantitative analysis in evaluating the value and profitability of the company;</li> <li>(iii) Dividend yield - an additional source of return, over and above capital appreciation.</li> </ul>
<p><b>Price Risk</b> Risk of overpaying for a company</p>	<p>During company visits, qualitative assessments of the relative growth prospects of the companies concerned are made and strategies are decided to create shareholder value. Industries in which companies operate are analysed along with the competitive landscape as well as the management strategy to enhance competitive advantage and returns. As part of the process, meetings are organised not only with companies that fall within the core stock coverage, but also with their competitors, distributors, suppliers and other stakeholders in order to obtain a complete picture of the industry / company and other investment opportunities. In the process, a clear understanding of the business is arrived at, enabling the identification of future long-term winners at an early stage.</p>
<p><b>Concentration Risk</b></p>	<p>Portfolio construction is the responsibility of the investment manager assigned to the Underlying fund.</p> <p>There are three objectives to the portfolio construction process:</p> <ul style="list-style-type: none"> <li>(i) to capture and preserve value from all the best ideas by country specialists;</li> <li>(ii) to ensure no single decision will derail performance; and</li> <li>(iii) to deliver in line with the Underlying fund's risk / return profiles.</li> </ul> <p>Portfolios are constructed using a disciplined and tailored approach, and there is a high degree of commonality across accounts with similar objectives and profiles. During the process, the investment manager assigns a target percentage weight based upon variations, positive or negative, from the predetermined benchmark weight. These variations are known as active money positions and can be easily accessed on the internal front office system which contains information on both the Underlying fund and its respective benchmark index. Investment managers may also incorporate their own views on individual stocks and exercise discretion to align with the above guidelines with the objective that is likely to be achieved by inclusion of the stock in a fund portfolio. The investment manager will also reconcile any other anomalies between the stock rankings and portfolio requirements with the overall objective of adding value to the Underlying fund portfolio.</p> <p>A dedicated team oversees investment managers to ensure compliance with the Underlying fund's internal requirements. The buy / sell decisions generated at the portfolio construction stage of the process are automatically checked against fund guidelines, and electronically forwarded to the trading team for execution.</p>
<p><b>Liquidity Risk</b> High impact costs</p>	<p>Dealing in volatile, often illiquid markets imposes a cost on an active investment manager. The responsibility for minimizing the performance drag lies with the Central Dealing team whose focus is to minimize market impact and transaction costs. The competitive advantages in achieving this objective are:</p> <ul style="list-style-type: none"> <li>I. A specialist experienced team.</li> <li>II. State of the art systems and on-going investment in trading technology.</li> <li>III. Analysis of historical transactions and associated impact costs used to determine trading strategies.</li> <li>IV. Low commission rates paid to brokers, reducing direct costs per trade.</li> <li>V. Significant overall commission payout ensuring premium service from investment banks and brokerage firms.</li> </ul>

Risk and Description specific to Equities	Risk Mitigants / Management Strategy of the Underlying fund
	The dealing team's success can be measured by comparing each execution to the Volume Weighted Average Price (VWAP) and on-line through the independent Best Execution Comparison Service (BECS) which compares transaction costs with those of the competition. Effectiveness of the dealing team is measured on an ongoing basis.
<b>Volatility</b> Price volatility due to company or portfolio specific factors	As explained above, the volatility arising out of portfolio specific factors are being mitigated using a combination of various methods as explained above.
<b>Event Risk</b> Price volatility due to company or portfolio specific events	As explained above, the volatility arising out of portfolio specific factors are being mitigated using a combination of various methods as explained above.

For additional risk factors of the Underlying fund, i.e. JPMorgan Funds – ASEAN Equity Fund, investors are requested to refer to the offering document of Underlying fund. The same can be viewed at [www.jpmorganassetmanagement.lu](http://www.jpmorganassetmanagement.lu)

(xi) Other risks associated to the Underlying fund:

- The Underlying fund invests primarily in a portfolio of ASEAN equities. Therefore, investors may see the value of their investment fall as well as rise on a daily basis, and they may get back less than they originally invested.
  - Investors should be aware that the Underlying fund may invest in smaller companies, which can be less liquid and tend to carry greater financial risk; volatility may be higher than in a broadly based investment. However, there may also be greater potential for higher returns.
  - Also, investors should be aware that the Underlying fund is invested in emerging markets, which may be subject to additional political and economic risks, while stocks can be negatively impacted by low liquidity, poor transparency and greater financial risks.
- The Underlying fund is denominated in USD but has significant non-USD exposure.

**(c) Risks associated with investing in foreign Securities and overseas mutual fund units**

- Subject to necessary approvals and within the investment objectives of the Scheme, the Scheme will be investing in the overseas markets which carry risks related to fluctuations in the foreign exchange rates, the nature of the securities market of the country, restrictions on repatriation of capital due to exchange controls and the political environment. Further the repatriation of capital to India may also be hampered by changes in the SEBI Regulations or political circumstances.
- In addition, country risks would include events such as introduction of extraordinary exchange controls, economic deterioration, bi-lateral conflict leading to immobilization of overseas financial assets and the prevalent tax laws of the respective jurisdictions for the execution of trades or otherwise.
- Subject to the other terms of this SID, all applicants applying for up to 50000 Units (Fifty Thousand units only) shall be given their full allotment. However, keeping in mind the investment restrictions in foreign Securities currently applicable to mutual funds vide SEBI's Circulars SEBI/IMD/CIR No. 7/104753/07

dated September 26, 2007 and SEBI/IMD/CIR No.2/1222577/08 dated April 8, 2008, if the overall limit for the Mutual Fund in overseas investments of up to USD 300 million has been reached, applicants will receive a pro-rata allotment as calculated by the AMC. In such an event, applicant's money relating to the unused portion of the investor's original allotment request may be refunded to investors. The arrangement is subject to SEBI Regulations and approvals.

The process for monitoring the USD 300 million limits for overseas investments shall be as follows:

- The cap of USD 300 million would be monitored at the Mutual Fund level and not the Scheme level.
- Once 90% of the limit is reached, intimation to all investors and empanelled distributors would be made informing them that further sales of the Units will be suspended when the mutual fund's combined AUM in overseas schemes reaches the cap of USD 300 million. A notice will be issued for such intimation at all our ISC offices, AMC branches and on the website ([www.edelweissmf.com](http://www.edelweissmf.com)).
- Allotment would be done on a pro-rata basis if the USD 300 million cap is breached.

Example:

- (i) Day T (opening AUM) - USD 270 million is the overseas AUM of the mutual fund, Incoming cashflows on Day T - USD 30 million
  - Allotment would be done for the entire amount.
- (ii) Day T (opening AUM) - USD 270 million is the overseas AUM of the mutual fund, Incoming cashflows on Day T - USD 60 million
  - Allotment would be done only for USD 30 million on a pro rata basis.
  - On this day a notice would be sent out to all ISC offices, AMC branches and on the website ([www.edelweissmf.com](http://www.edelweissmf.com)) stating that further sales are suspended with immediate effect, in case further overseas fund quota from SEBI has not been obtained.

- If the cap of USD 300 million is reached, refunds would be settled on a T+3 basis.
- The above process will not have any impact on the redemption process.



**(d) Risks Associated with investing in Money Market Instruments**

- Investments in money market instruments would involve a moderate credit risk i.e. risk of an issuer's inability to meet the interest and principal payments.
- Money market instruments may also be subject to price volatility due to factors such as changes in interest rates, general level of market liquidity and market perception of credit worthiness of the issuer of such instruments.
- The NAV of the Scheme's Units, to the extent that the corpus of the Scheme is invested in money market instruments, will be affected by the changes in the level of interest rates. When interest rates in the market rise, the value of a portfolio of money market instruments can be expected to decline.

**Restrictions on Redemptions:**

As outlined in Section III-B - 'Restrictions on Redemptions' the Trustee and the AMC may impose restrictions on redemptions when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets.

Accordingly, such restriction may affect the liquidity of the Scheme and there may be a delay in investors receiving part of their redemption proceeds.

In view of the above, investment in the Scheme should be regarded as long term in nature. The Scheme is, therefore, only suitable for investors who can afford the risks involved.

**B. REQUIREMENT OF MINIMUM INVESTORS IN THE SCHEME**

The Scheme shall have a minimum of 20 investors and no single investor shall account for more than 25% of the corpus of the Scheme. However, if such limit is breached during the NFO of the Scheme, the Mutual Fund will endeavour to ensure that within a period of 3 (three) months or the end of the succeeding calendar quarter from the close of the NFO of the Scheme, whichever is earlier, the Scheme complies with these two conditions. In case the Scheme does not have a minimum of 20 (twenty) investors in the stipulated period, the provisions of Regulation 39(2)(c) of the SEBI Regulations would become applicable automatically without any reference from SEBI and accordingly the Scheme shall be wound up and the Units would be redeemed at Applicable NAV. The two conditions mentioned above shall also be complied within each subsequent calendar quarter thereafter, on an average basis, as specified by SEBI.

If there is a breach of the 25% limit by any investor over the quarter, a rebalancing period of one month would be allowed and thereafter the investor who is in breach of the rule shall be given 15 days notice to redeem his exposure over the 25% limit. Failure on the part of the said investor to redeem his exposure over the 25% limit within the aforesaid 15 days would lead to automatic redemption by the Mutual Fund at the Applicable NAV on the 15th day of the notice period without any Exit Load. The Mutual Fund shall adhere to the requirements prescribed by SEBI from time to time in this regard.

**C. SPECIAL CONSIDERATIONS**

- The Sponsor, Edelweiss Financial Services Limited is not responsible or liable for any loss resulting from the operation

of the Scheme beyond the initial contribution of an amount of ₹ 1,00,000 (One Lakh Indian Rupees) made by it towards setting up the Mutual Fund or such other accretions and additions to the initial corpus set up by the Sponsor. The associates of the Sponsor are not responsible or liable for any loss or shortfall resulting from the operation of the Scheme.

- Neither this SID nor the Units have been filed / registered in any jurisdiction other than India. The distribution of this SID in certain jurisdictions may be restricted or totally prohibited and accordingly, persons who come into possession of this SID are required to inform themselves about, and to comply with, any such restrictions.
- Before making an application for Units, prospective investors should review / study this SID and the SAI carefully and in their entirety and should not construe the contents thereof or regard the summaries contained therein as advice relating to legal, taxation, or financial / investment matters. Investors should consult their own professional advisor(s) as to the legal, tax or financial implications resulting from -
  - (i) Subscription, gifting, acquisition, holding, disposal (by way of sale, switch or Redemption or conversion into money) of Units and
  - (ii) the treatment of income (if any), capitalisation, capital gains, any distribution and other tax consequences relevant to their Subscription, acquisition, holding, capitalisation, disposal (by way of sale, transfer, switch, Redemption or conversion into money) of Units within their jurisdiction or under the laws of any jurisdiction to which they may be subject.
- Neither the Mutual Fund nor the AMC nor the Sponsor have authorized any person to give any information or make any representation, either oral or written, that is not consistent with this SID in connection with the issue of Units under the Scheme. Prospective investors are advised not to rely on any information or representation not incorporated in this SID, unless it has been authorized by the Mutual Fund or the AMC or the Sponsor. Any Subscription or Redemption made by any person on the basis of statements or representations which are not contained or which are inconsistent with the information contained in this SID shall be solely at the risk of the investor.
- From time to time, and as may be permitted by SEBI, funds managed by the affiliates / associates of the Sponsor may invest either directly or indirectly in the Scheme. The funds managed by these affiliates / associates may acquire a substantial portion of the Units and collectively constitute a major investment in the Scheme. Accordingly, Redemption of Units held by such affiliates / associates may have an adverse impact on the value of the Units of the Scheme because of the timing of any such Redemption and may affect the ability of other Unit Holders to redeem their respective Units.
- Mutual funds invest in Securities which may not always be profitable and there can be no guarantee against loss resulting from investing in the Scheme.
- The tax benefits described in this SID are as available under the prevailing taxation laws. The information given is included only for general purpose and is based on the advice received by the AMC regarding the laws and practice currently in force in India. Investors / Unit Holders should be aware that the relevant fiscal

rules or their interpretation may change. As is the case with any investment, there can be no guarantee that the tax position or the proposed tax position prevailing at the time of an investment in the Scheme(s) will endure indefinitely. In view of the individual nature of tax consequences, each Unit Holder is advised to consult his / her / their own professional tax advisor.

- The Scheme's value may be impacted by fluctuations in interest rates, prevailing political, economic and social environments, changes in government policies and other factors specific to the issuer of the securities, tax laws, liquidity of the underlying instruments, settlement periods, trading volumes, etc.
- Redemptions due to a change in the fundamental attributes of the Scheme or due to any other reason may entail tax consequences. Such tax shall be borne by the investor and the Mutual Fund shall not be liable for any tax consequences that may arise.

In terms of the Prevention of Money Laundering Act, 2002 ("PMLA") the rules issued there under and the guidelines / circulars issued by SEBI regarding the Anti Money Laundering Laws, all intermediaries, including mutual funds, are required to formulate and implement a client identification programme, and to verify and maintain the record of identity and addresses of investors. If any necessary due diligence, the AMC believes that any transaction is suspicious in nature as regards money laundering, the AMC shall report such transactions to competent authorities under PMLA and the circulars thereunder, further any further information in connection therewith to such authorities and take any actions as may be required for the purposes of fulfilling its obligations under PMLA and rules / guidelines issued thereunder by SEBI and / or RBI without obtaining the prior approval of the investor / unit holder.

- The AMC can invest in any of the Schemes of Edelweiss Mutual Fund subject to the limits as prescribed by the SEBI Regulations and in such cases it will not be entitled to charge any fees on

such investments. The Sponsor, entities managed or sponsored by the affiliates or associates of the Sponsor, Funds managed/ advised by the Sponsor/and their associated entities, the asset management company, the Custodian, the Registrar, any Associate, any Distributor, Dealer, any Company, Corporate Bodies, Trusts, any Service Provider, investor (resident or non resident), any Scheme / Mutual Fund managed by the Asset Management Company or by any other Asset Management Company may invest in this Scheme, subject to the limits specified by SEBI. While at all times the Trusteeship Company and the Asset Management Company will endeavor that excessive holding of Units in the Scheme among a few Unit holders is avoided, however, the funds invested by these aforesaid persons may acquire a substantial portion of the Scheme's outstanding Units and collectively may constitute a majority unit holder in the Scheme. Redemption of Units held by such persons may have an adverse impact on the value of the Units of the Scheme because of the timing of any such redemption. It may also have impact on the liquidity of the Scheme, which may lead to an adverse impact on the NAV of the Scheme.

- In accordance with the SEBI Regulations, an AMC subject to certain conditions is permitted to undertake activities in the nature of portfolio management services and management and advisory services to pooled assets including offshore funds, insurance funds, pension funds, provident funds, if any of such activities are not in conflict with the activities of the Mutual Fund. Subject to these activities being assessed as desirable and economically viable, the AMC may undertake any or all of these activities after satisfying itself that there is no potential conflict of interest.

**Investors are advised to refer to the terms and conditions of the offer before investing in the Scheme, and to retain this SID and the SAI for future reference.**

## D. DEFINITIONS AND INTERPRETATIONS

In this SID, except where the context otherwise requires, the following capitalized words and expressions shall have the following meaning:

<b>Act</b>	The Income Tax Act, 1961
<b>ADR</b>	American Depository Receipt
<b>AMFI</b>	Association of Mutual Funds in India.
<b>Asset Management Company / AMC</b>	Edelweiss Asset Management Limited, the asset management company set up under the Companies Act, 1956 and authorized by SEBI to act as the asset management company to the Schemes of Edelweiss Mutual Fund.
<b>Applicable NAV</b>	For applications for Purchases (along with a local cheque or demand draft payable at par at the place where the application is received) / redemption, accepted during the Ongoing Offer Period at the Designated Collection Centres on a Business Day up to the cut-off time of the Scheme, the NAV of that day and will be published on the following Business Day.  For applications for Purchases (along with a local cheque or demand draft payable at par at the place where the application is received) / redemption accepted during the Ongoing Offer Period at the Designated Collection Centres on a Business Day after the cut-off time of the Scheme, the NAV of the next Business Day.  <b>Note :</b> In case of applications received on a Non-Business Day the NAV of the next Business Day shall be applicable
<b>Application Form</b>	A form to be used by an investor to open a folio and Purchase Units in the Scheme. Any modifications to the Application Form will be made by way of an addendum, issued by the AMC which will be attached thereto. On issuance of such addendum, the Application Form will be deemed to be updated by the addendum.

<b>ARN</b>	AMFI Registration Number
<b>ASBA</b>	<p>Applications Supported by Blocked Amount</p> <p>ASBA is an application containing an authorization given by the Investor to block the application money in his specified bank account towards the subscription of Units offered during the NFO of the Scheme.</p> <p>If an investor is applying through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his / her application is selected for allotment of Units.</p>
<b>ASEAN</b>	Association of South East Asian Nations. As at the date of this SID, the countries comprising the ASEAN are Brunei, Cambodia, Indonesia, Laos, Malaysia, Myanmar, the Philippines, Singapore, Thailand and Vietnam. The composition of the ASEAN may change over time.
<b>Board</b>	Board of Directors
<b>Business Day</b>	<p>A day other than (i) Saturday or Sunday and / or (ii) a day on which any of the principal stock exchanges on which the Investments are traded is closed, and / or (iii) a day on which the Reserve Bank of India or banks in Mumbai, India are closed for business, and / or (iv) a day on which the AMC's offices in Mumbai, India are closed for business, and / or (v) a book closure period as may be announced by the Trustee / AMC and / or (vi) a day on which normal business cannot be transacted due to storms, floods, Bandhs / strikes or such other events as the AMC may determine from time to time (vii) a day on which the Underlying fund is closed for subscription / redemption.</p> <p>The AMC, with the approval of the Trustee of the Scheme, reserves the right to change the definition of Business Day, in accordance with applicable regulations. The AMC reserves the right to declare any day as a Business Day or otherwise at any or all Investor Service Centers.</p>
<b>CAS</b>	<p>Consolidated Account Statement</p> <p>Contain details relating to all the Transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor.</p>
<b>CBLO</b>	Collateralized Borrowing and Lending Obligation.
<b>CDSL</b>	Central Depository Services (India) Limited.
<b>Custodian</b>	Standard Chartered Bank, registered under the SEBI (Custodian of Securities) Regulations, 1996, or any other custodian who is approved by the Trustee.
<b>CTF</b>	Common Transaction Form
<b>Cut-off time</b>	A time prescribed in this SID up to which an investor can submit a Purchase request along with a local cheque or a demand draft payable at the place where the application is received / redemption, to be entitled to the Applicable NAV for that Business Day.
<b>Designated Collection Centres</b>	<b>During the Ongoing Offer:</b> AMC's offices and ISCs designated by the AMC where the applications shall be received. The names and addresses of the Designated Collection Centres are mentioned at the end of this SID.
<b>ECS</b>	Electronic Clearing System
<b>EFT</b>	Electronic Funds Transfer
<b>Entry Load</b>	A Load charged to an investor on Purchase of Units based on the amount of investment or per any other criteria decided by the AMC. As per current SEBI Regulations, the AMC is prohibited from charging an Entry Load.
<b>ETF</b>	Exchange Traded Funds
<b>Exit Load</b>	A Load charged to the Unit Holder on exiting (by way of Redemption or Switch-out) based on period of holding, amount of investment, or any other criteria decided by the AMC.
<b>FCNR</b>	Foreign Currency Non-Resident
<b>Foreign Portfolio Investors / FPI</b>	An entity registered with SEBI under Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2014, as amended from time to time.
<b>Fund of Funds / FOF</b>	A mutual fund scheme that invests primarily in other schemes of the same mutual fund or other mutual funds.

<b>Fund Manager(s)</b>	The fund managers of the AMC responsible for managing the Scheme.
<b>GDR</b>	Global Depository Receipt
<b>GoI Security(ies)</b>	Government of India Securities
<b>HUF</b>	Hindu Undivided Family
<b>Investment</b>	Any investments, cash, negotiable instruments, Securities or bullion for the time being and from time to time forming part of the Scheme's assets.
<b>Investor Service Centers / (ISC) / Transaction Acceptance Points (TAPs)</b>	Official points of acceptance of transaction / service requests from investors. These will be designated by the AMC from time to time.
<b>JPMorgan Funds – ASEAN Equity Fund</b>	An equity sub-fund of JPMorgan Funds, an open-ended investment company domiciled in Luxembourg
<b>JPMorgan Asset Management (Singapore) Limited</b>	JPMorgan Asset Management (Singapore) Limited is the Singapore representative and distributor of the Underlying Fund.
<b>Key Information Memorandum</b>	A memorandum containing the key information of the Scheme, the format of which is prescribed in the SEBI Circular SEBI/IMD/CIR No. 5/126096/08 dated May 23, 2008, or as further prescribed by SEBI from time to time.
<b>Laws</b>	The laws of India, the SEBI Regulations and any other applicable regulations for the time being in force in India including guidelines, directions and instructions issued by SEBI, the government of India or RBI from time to time for regulating mutual funds generally or the Mutual Fund particularly.
<b>Mutual Fund</b>	Edelweiss Mutual Fund, a trust set up under the provisions of the Indian Trusts Act, 1882 and registered as a Mutual Fund with SEBI bearing SEBI Registration No. MF/057/08/02 dated April 30, 2008.
<b>NEFT</b>	National Electronic Funds Transfer.
<b>New Fund Offer / NFO</b>	The offer for purchase of Units of the Scheme (including Plans thereunder) made to the investors during the NFO Period.
<b>New Fund Offer Period / NFO Period</b>	The date on or the period during which the initial subscription of Units of the Scheme can be made subject to extension, if any, such that the NFO Period does not exceed 15 days.
<b>Net Asset Value / NAV</b>	Net asset value of the Units calculated in the manner provided in this SID or as may be prescribed by the SEBI Regulations from time to time.
<b>Non Resident Indian / NRI</b>	A person resident outside India who is a citizen of India or is a Person of Indian Origin as per the meaning assigned to the term under the Foreign Exchange Management (Deposit) Regulations, 2000.
<b>NRE</b>	Non- Resident External.
<b>NRO Account</b>	Non-Resident Ordinary Rupee Account
<b>NSDL</b>	The National Securities Depository Limited.
<b>NSE</b>	The National Stock Exchange of India Limited.
<b>Ongoing Offer</b>	Offer of Units when it becomes open ended after the closure of the New Fund Offer Period.
<b>Ongoing Offer Period</b>	The period during which the Ongoing Offer for subscription to the Units is made.
<b>PAN</b>	Permanent Account Number.
<b>Person of Indian Origin / PIO</b>	A citizen of any country other than Bangladesh or Pakistan, if (a) he at any time held an Indian passport; or (b) he, or either of his parents or any of his grandparents, was a citizen of India by virtue of the Constitution of India or the Citizenship Act, 1955 (57 of 1955); or (c) the person is a spouse of an Indian citizen or a person referred to in sub-clause (a) or (b).
<b>PEKRN</b>	PAN Exempt KYC Reference Number
<b>Plan</b>	Means any plan formulated in accordance with this Scheme.
<b>PoA</b>	Power of Attorney.
<b>Purchase</b>	Subscription to / Purchase of Units by an investor of the Scheme.

<b>Purchase Price</b>	The price (being Applicable NAV) at which the Units can be purchased and calculated in the manner provided in this SID.
<b>Re. / ₹</b>	Indian Rupee(s)
<b>Registrar and Transfer Agent</b>	Karvy Computershare Private Limited (“Karvy”), appointed as the Registrar and Transfer Agent for the Scheme, or any other registrar that may be appointed by the AMC.
<b>Redemption</b>	Repurchase of Units by the Mutual Fund from a Unit Holder.
<b>Redemption Price</b>	The price (being Applicable NAV minus Exit Load) at which the Units can be redeemed and calculated in the manner provided in this SID.
<b>Regulatory Agencies</b>	SEBI and any other government or regulatory bodies to which the Trustee and / or the Mutual Fund and / or the AMC (as the case may be) are subject.
<b>RBI</b>	Reserve Bank of India.
<b>RTGS</b>	Real Time Gross Settlement.
<b>Scheme</b>	Edelweiss ASEAN Equity Off-shore Fund (including as the context permits, the options thereunder).
<b>Scheme Information Document / SID</b>	This document issued by Edelweiss Mutual Fund, for inviting subscription to Units, as amended from time to time. Any modifications to the SID will be made by way of an addendum which will be attached to the SID. On issuance of the addendum, the SID will be deemed to be updated by the addendum.
<b>SEBI</b>	The Securities and Exchange Board of India established under the Securities and Exchange Board of India Act, 1992
<b>SEBI Act</b>	The Securities and Exchange Board of India Act, 1992, as amended from time to time.
<b>SEBI Regulations</b>	Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended from time to time, including by way of circulars or notifications issued by SEBI.
<b>Security(ies)</b>	As defined under Section 2(h) of the Securities Contracts (Regulation) Act, 1956 of India and includes shares, stocks, bonds, debentures, warrants, instruments, obligations, money market instruments, debt instruments or any financial or capital market instrument of whatsoever nature made or issued by any statutory authority or body corporate, incorporated or registered by or under any law; or any other securities, assets or such other investments as may be permissible from time to time under the SEBI Regulations.
<b>Sponsor</b>	Edelweiss Financial Services Limited
<b>Statement of Additional Information / SAI</b>	The Statement of Additional Information contains details of the Mutual Fund, its constitution, and certain tax, legal and general information. It is incorporated by reference (is legally a part of this SID).
<b>Subscription</b>	Purchase of Units (or a fraction thereof) by an investor of the Scheme.
<b>Systematic Investment Plan / SIP</b>	A plan enabling investors to save and invest in the Scheme on a daily / weekly / fortnightly / monthly / quarterly basis by submitting NACH forms / payment instructions.
<b>Systematic Transfer Plan / STP</b>	A plan enabling Unit Holders to transfer fixed amounts from their Unit accounts in the Scheme to other schemes launched by the Mutual Fund on a daily / weekly / fortnightly / monthly / quarterly basis by giving a single instruction.
<b>Systematic Withdrawal Plan / SWP</b>	A plan enabling Unit Holders to withdraw amounts from the Scheme on a daily / weekly / fortnightly / monthly / quarterly basis by giving a single instruction.
<b>Transaction Slip</b>	A form to be used by Unit Holders seeking additional Purchase or Redemption of Units, change in bank account details, Switch-in or Switch-out and such other facilities offered by the AMC and mentioned on that form.
<b>Trustee</b>	Edelweiss Trusteeship Company Limited, a company incorporated under the Companies Act, 1956 and appointed as the Trustee to Edelweiss Mutual Fund
<b>Trust Deed</b>	The Trust Deed dated January 30, 2008 made by and between the Sponsor and the Trustee, establishing the Edelweiss Mutual Fund, as amended from time to time.

<b>Underlying fund</b>	JPMorgan Funds – ASEAN Equity Fund
<b>Unit</b>	The interest of an investor in the Scheme consisting of each Unit representing one undivided share in the assets of the Scheme; and includes any fraction of a Unit which shall represent the corresponding fraction of one undivided share in the assets of the Scheme.
<b>Unit Capital</b>	The aggregate of the face value of the Units.
<b>Unit Holder</b>	Any registered holder for the time being, of a Unit of the Scheme offered under this SID including persons jointly registered.
<b>US</b>	United States
<b>USD</b>	United States Dollar
<b>Wakfs</b>	Wakfs or wakf boards are charitable trusts established under Islamic religion.
<b>Words and expressions used in this SID and not defined</b>	<p>Has the same meaning as in the Trust Deed or the SEBI Regulations or, in the appropriate context in the Act.</p> <ul style="list-style-type: none"> <li>• Words in singular include the plural and vice-versa.</li> <li>• Pronouns having a masculine or feminine gender shall be deemed to include the other.</li> <li>• All references to “₹” refer to Indian Rupees and “US\$” refer to United States dollars. A “crore” means “ten million” and a “lakh” means a “hundred thousand”.</li> </ul> <p>All references to timings (i.e. a.m. or p.m.) relate to Indian Standard Time (‘IST’) and references to a day relate to a calendar day including Non-Business Day.</p>

## E. DUE DILIGENCE BY THE ASSET MANAGEMENT COMPANY

### It is confirmed that:

- (i) The Scheme Information Document of Edelweiss ASEAN Equity Off-shore Fund, forwarded to SEBI is in accordance with the SEBI (Mutual Funds) Regulations, 1996 and the guidelines and directives issued by SEBI from time to time.
- (ii) All legal requirements connected with the launching of the Scheme as also the guidelines, instructions, etc., issued by the Government and any other competent authority in this regard, have been duly complied with.
- (iii) The disclosures made in this Scheme Information Document are true, fair and adequate to enable the investors to make a well informed decision regarding investment in the proposed Scheme.
- (iv) The intermediaries named in the Scheme Information Document and Statement of Additional Information are registered with SEBI and their registration is valid, as on the date of filing.

For **Edelweiss Asset Management Limited**

Place : Mumbai  
 Date : March 28, 2018.

Name : Radhika Gupta  
 Designation : Chief Executive Officer

## II. INFORMATION ABOUT THE SCHEME

### A. TYPE OF THE SCHEME

An open ended fund of fund scheme investing in JPMorgan Funds - ASEAN Equity Fund

### B. INVESTMENT OBJECTIVE OF THE SCHEME

The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds - ASEAN Equity Fund, an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN).

However, there can be no assurance that the investment objective of the Scheme will be realized.

### C. ASSET ALLOCATION BY THE SCHEME

At the time of the initial investment the asset allocation is expected as follows:

Asset Class Allocation	Indicative allocation (% of total assets)	Risk profile
Units / shares of JPMorgan Funds - ASEAN Equity Fund	95% - 100%	Medium to High
Money market instruments and / or units of liquid schemes	0% - 5%	Low to Medium

Edelweiss ASEAN Equity Off-shore Fund shall not directly invest in derivatives, securitized debt and shall not engage in stock lending.

The Scheme shall make investments in foreign securities as per clause 2(x) of SEBI Circular no. SEBI/IMD/CIR No.7/104753/07 dated September 26, 2007.

Under normal market conditions, the corpus of the Scheme shall be invested in units / shares of JPMorgan Funds - ASEAN Equity Fund as per the asset allocation detailed above. However, prevailing market conditions may compel the AMC to invest beyond the range set out above. Such deviations shall however normally be for short term purposes, for temporary defensive considerations and with the intention of protecting the interests of the Unit Holders. In the event of deviations, rebalancing will normally be carried out within 10 (Ten) Business Days.

In case the rebalancing is not done within the specified period, justification for the same shall be provided to the Investment Committee and the reason for the same shall be recorded in writing. The Investment Committee shall then decide on the course of action.

Any exposure that JPMorgan Funds - ASEAN Equity Fund has in India will not be gained through participatory notes.

### D. SCHEME'S INVESTMENTS

The Scheme may invest in the following asset classes:

- Shares / units of JPMorgan Funds - ASEAN Equity Fund
- Money market instruments (money market instruments include commercial papers, commercial bills, treasury bills, Govt Securities having an unexpired maturity up to one year, call or notice money, certificates of deposit, usance bills, Repo (Re-purchase rate), CBLO (Collateralized Borrowing & Lending Obligation) and any other like instruments as specified by the RBI from time to time);
- Units of Liquid Funds; and
- Any other Securities / asset class / instruments as permitted under the SEBI Regulations.

The Scheme shall not directly:

- Invest in foreign securitized debt; and
- Engage in stock lending and borrowing.

### Scheme's Portfolio Holdings

#### Top 10 holdings by issuer as on February 28, 2018

Issuer	Market Value (₹ in Crs)	% of Corpus
JPMorgan Funds - ASEAN Equity Fund	51.46	100.42%
Clearing Corporation of India Ltd.	0.28	0.55%
Other Receivables	-0.49	-0.96%

#### Fund Allocation towards various sector as on February 28, 2018

Sector Allocation	Market Value (₹ in Crs)	% of Corpus
Overseas Mutual Fund	51.46	100.42%
Others	0.28	0.55%
Other Receivables	-0.49	-0.96%

**Note :** Others includes cash and cash equivalents and investments in CBLO's.

#### Portfolio Turnover Ratio as on February 28, 2018: N.A

Website link to obtain scheme's latest monthly portfolio holding - [www.edelweissmf.com](http://www.edelweissmf.com)

### E. INVESTMENT STRATEGIES

The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds - ASEAN Equity Fund, an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN).

The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.

The Scheme does not guarantee / indicate any assured returns.

JPMorgan Funds - ASEAN Equity Fund is a fund approved by the Commission de Surveillance du Secteur Financier (CSSF) in Luxembourg. The investment strategies for JPMorgan Funds - ASEAN Equity Fund are as follows:

- The Underlying fund is benchmarked against the Morgan Stanley Capital International (MSCI) South East Asia Index.
- Investment Objective of the Underlying fund: To provide long term capital growth by investing primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN).
- The Underlying fund is benchmarked against the Morgan Stanley Capital International (MSCI) South East Asia Index.
- Investment Objective of the Underlying fund: To provide long term capital growth by investing primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN).
- Asset Allocation: Under normal market conditions, a minimum of 67% of the total assets (excluding cash and cash equivalents) will be invested in equity securities of companies that are domiciled in, or carrying out the main part of their economic activity in, an ASEAN country. Certain ASEAN countries may be considered emerging market countries. The Scheme may also invest in companies listed in ASEAN countries which may have exposure to other countries, in particular China.

- Debt securities, cash and cash equivalents may be held on an ancillary basis. The Underlying fund may also invest in collective investment schemes such as the Undertakings for Collective Investments in Transferable Securities / ('UCITS') and other Undertakings for Collective Investments UCIs. The Underlying fund may invest in assets denominated in any currency. Any currency exposure may be hedged.
- The Underlying Fund may invest in financial derivative instruments for the purposes of hedging and efficient portfolio management.

The Underlying Equity Fund was launched on 4th April, 2009.

The Edelweiss ASEAN Equity Off-shore Fund is a Fund of Funds scheme, investing in the JPMorgan Funds - ASEAN Equity Fund, that includes in its portfolio many companies of countries which are members of the Association of South East Asian Nations (ASEAN).

This Scheme is designed to give exposure to companies from countries which are members of ASEAN. As the Underlying fund is invested equities, investors need to be comfortable with the additional individual economic, currency and political risks associated with the ASEAN region. This Scheme may therefore be suitable for investors who already have a globally diversified portfolio and now want to expand into riskier assets in order to potentially boost returns. Investors in this Scheme should at least have 5 years investment horizon.

#### Country Exposure of the Underlying fund (in %) as on February 28, 2018:

Country	Fund	Benchmark	Deviation
Singapore	33.00%	30.80%	2.20%
Thailand	22.60%	20.90%	1.70%
Indonesia	19.10%	18.60%	0.50%
Malaysia	13.00%	20.80%	-7.80%

Country	Fund	Benchmark	Deviation
Philippines	6.30%	8.90%	-2.60%
Vietnam	2.10%	0.00%	2.10%
Cash	3.90%	0.00%	3.90%
<b>Total</b>	<b>100.00%</b>	<b>100.00%</b>	<b>0.00%</b>

#### Total Expense Ratio

28-Feb-18	Q4 2017	Q3 2017	Q2 2017	Q1 2016
0.95%	0.95%	0.95%	0.95%	0.95%

#### Advantages to the investors from the Underlying Fund:

- It is designed to give investors exposure to companies from countries of the ASEAN.
- It is aimed to provide investors the option to diversify their portfolio by getting access and exposure to the ASEAN markets.

#### Portfolio Turnover

Portfolio turnover is defined as the aggregate value of investment and disinvestment in equity / equity related Securities (other than those caused by the Purchases and Redemptions by Unit Holders) as a percentage of the average corpus of the Scheme during a specified period of time. The Scheme has no specific target relating to portfolio turnover.

#### Portfolio turnover will exclude:

- the turnover caused on account of investing the initial corpus;
- the turnover caused on account of investing in money market Securities; and
- the turnover caused on account of Purchases and/or Redemptions by Unit Holders.

As the Scheme will be primarily investing in the Underlying fund, it is difficult to determine the portfolio turnover of the Scheme.

## F. COMPARISON BETWEEN THE SCHEMES

Name of Existing Scheme	Investment Objective	Asset Allocation Pattern	Investment Strategy	Differentiation	Benchmark	Average AUM (in crs.) for the month of February 28, 2018	No. of Folios as on February 28, 2018
<b>Edelweiss Greater China Equity Off-shore Fund</b>	The primary investment objective of the Scheme is to provide long term capital appreciation by investing in JPMorgan Funds - Greater China Fund, an equity fund which invests primarily in a diversified portfolio of companies that are domiciled in, or carrying out the main part of their economic activity in, a country of Greater China region.  However, there can be no assurance that the investment objective of the Scheme will be realised.	Units / shares of JPMorgan Funds - Greater China Fund: 95% - 100%  Money market instruments and / or units of liquid schemes: 0% - 5%	The primary investment objective of the Scheme is to provide long term capital appreciation by investing in JPMorgan Funds - Greater China Fund, an equity fund which invests primarily in a diversified portfolio of companies that are domiciled in, or carrying out the main part of their economic activity in, a country of Greater China region. The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.	An open-ended fund of funds scheme aiming at a long term capital appreciation by investing in JPMorgan Funds - Greater China Fund.	MSCI Golden Dragon Index	43.49	1,541



Name of Existing Scheme	Investment Objective	Asset Allocation Pattern	Investment Strategy	Differentiation	Benchmark	Average AUM (in crs.) for the month of February 28, 2018	No. of Folios as on February 28, 2018
<b>Edelweiss ASEAN Equity Off-Shore Fund</b>	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds - ASEAN Equity Fund, an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN). However, there can be no assurance that the investment objective of the Scheme will be realised.	Units / shares of JPMorgan Funds - ASEAN Equity Fund: 95% - 100% Money market instruments and / or units of liquid schemes: 0% - 5%	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds - ASEAN Equity Fund, an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN). The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.	An open-ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds - ASEAN Equity Fund.	Morgan Stanley Capital International (MSCI) South East Asia Index	50.88	1,720
<b>Edelweiss US Value Equity Offshore Fund</b>	The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in the JPMorgan Funds - US Value Fund, an equity fund which invests primarily in a value style biased portfolio of US companies.  However, there can be no assurance that the investment objective of the Scheme will be realised.	Units / shares of JPMorgan Funds - US Value Equity Fund, 95% - 100%; Money market instruments and / or units of liquid schemes, 0% - 5%.	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds - US Value Equity Fund, an equity fund which invests primarily in US companies. The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.	An open-ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds - US Value Equity Fund.	Russell 1000 Value Index	45.55	694
<b>Edelweiss Europe Dynamic Equity Offshore Fund</b>	The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in the JPMorgan Funds - Europe Dynamic Fund, an equity fund which invests primarily in an aggressively managed portfolio of European companies.  However, there can be no assurance that the investment objective of the Scheme will be realised.	Units / shares of JPMorgan Funds - Europe Dynamic Equity Fund, 95% - 100%; Money market instruments and / or units of liquid schemes, 0% - 5%.	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds - Europe Dynamic Equity Fund, an equity fund which invests primarily in European companies. The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.	An open-ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds - Europe Dynamic Equity Fund.	MSCI Europe Index	21.40	514
<b>Edelweiss Emerging Markets Opportunities Equity Offshore Fund</b>	The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in the JPMorgan Funds - Emerging Markets Opportunities Fund, an equity fund which invests primarily in an aggressively managed portfolio of emerging market companies.  However, there can be no assurance that the investment objective of the Scheme will be realised.	Shares of the Underlying Fund i.e. JPMorgan Funds - Emerging Markets Opportunities Fund: 95% - 100%, Money market instruments, cash and cash equivalents and / or units of liquid schemes: 0% - 5%	The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in JPMorgan Funds - Emerging Markets Opportunities Fund, an equity fund which invests primarily in an aggressively managed portfolio of emerging market companies.  The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.	An open ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds - Emerging Markets Opportunities Equity Fund	Morgan Stanley Capital International (MSCI) Emerging Markets Index	5.22	369

## G. FUNDAMENTAL ATTRIBUTES

Below are the fundamental attributes of the Scheme, in accordance with the terms of sub regulation 15A of Regulation 18 of the SEBI Regulations:

### (i) Type of Scheme

- An open ended fund of fund scheme investing in JPMorgan Funds - ASEAN Equity Fund

### (ii) Investment Objective

- The main investment objective is defined in Paragraph B under Section II of this SID.
- An open ended fund of fund scheme investing in JPMorgan Funds - ASEAN Equity Fund
- The investment pattern is as set out in Paragraph C under Section II of this SID with the option to alter the asset allocation for a short term period on defensive considerations.

### (iii) Terms of Issue

- Liquidity: The Scheme is open ended, with Purchase and Redemption of Units at NAV related prices on any Business Day on an ongoing basis within 5 Business Days of allotment. The Scheme being open ended, the Units are not proposed to be listed on any stock exchange. The procedures for Purchase and Redemption of Units on an ongoing basis are as set out in Paragraph B under Section III of this SID.
- The aggregate annual recurring fees and expenses charged to the Scheme are set out in Paragraph B under Section IV of this SID, which are as permitted by the SEBI Regulations.

In accordance with sub regulation 15A of Regulation 18 of the SEBI Regulations, the Trustee shall ensure that no change in the fundamental attributes of the Scheme as set out above shall be effected and that no change to the Mutual Fund or fees and expenses

payable or any other change is made which would modify the Scheme and affect the interests of Unit Holders is carried out unless:

- A written communication about the proposed change is sent to each Unit Holder and an advertisement is given in one English daily newspaper having nationwide circulation as well as in a newspaper published in the language of the region where the head office of the Mutual Fund is situated; and
- Unit Holders are given an option for a period of 30 days to exit at the prevailing Net Asset Value without any Exit Load.

## H. HOW WILL THE SCHEME BENCHMARK ITS PERFORMANCE

The performance of the Scheme is reviewed by the Investment Committee of the AMC as well as the Boards of the AMC and Trustee periodically. The Investment Committee is operational at the AMC level and has majority representation from the senior management of the AMC. Monthly reports on the performance of the Scheme with appropriate benchmark indices are also sent to the Boards of the AMC and the Trustee together with the relative performance of the schemes of other mutual funds schemes in the same category.

The Scheme's performance shall be benchmarked to the Morgan Stanley Capital International (MSCI) South East Asia Index.

The benchmark stated for the Scheme is not for tracking purposes but for reference only.

The Edelweiss ASEAN Equity Off-shore Fund being primarily a Fund of Funds scheme, investing into JPMorgan Funds - ASEAN Equity Fund, will use the same benchmark as of the JPMorgan Funds - ASEAN Equity Fund for performance measurement. Further, in terms of SEBI Circular No.MFD/CIR/16/400/02 dated March 26, 2002, the performance of the Scheme compared to its benchmark index will be reviewed at every meeting of the Boards of the AMC and Trustee and corrective action as proposed will be taken in case of unsatisfactory performance.

## I. WHO MANAGES THE SCHEME

Name of the Fund Manager & Age	Educational Qualification	Experience	Scheme Managed	The tenure for which the Fund Manager has been managing the Scheme
<b>Mr. Gautam Kaul</b> Age: 39 Years	MBA from Pune University	He has over 15 years of experience in fixed income market including 11 years in the mutual fund industry.  Prior to joining Edelweiss AMC, he was associated as Fund Manager with IDBI Asset Management Limited from March 2010 to December 2016 and with Invesco Asset Management (India) Pvt. Ltd from November 2006 to February 2010	<b>Fund Manager</b> <ul style="list-style-type: none"> <li>▪ Edelweiss Liquid Fund</li> <li>▪ Edelweiss Low Duration Fund</li> <li>▪ Edelweiss Short Term Fund</li> <li>▪ Edelweiss Dynamic Bond Fund</li> <li>▪ Edelweiss Banking and PSU Debt Fund</li> <li>▪ Edelweiss Government Securities Fund</li> <li>▪ Edelweiss Balanced Advantage Fund (Debt Portion)</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 20</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 35</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 38</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 41</li> <li>▪ Edelweiss ASEAN Equity Off-shore Fund</li> <li>▪ Edelweiss Emerging Markets Opportunities Equity Offshore Fund</li> <li>▪ Edelweiss Europe Dynamic Equity Offshore Fund</li> <li>▪ Edelweiss US Value Equity Offshore Fund</li> <li>▪ Edelweiss Greater China Equity Off-Shore Fund</li> </ul> <b>Co-Fund Manager</b> <ul style="list-style-type: none"> <li>▪ Edelweiss Corporate Bond Fund</li> </ul>	Since May 2, 2017

Name of the Fund Manager & Age	Educational Qualification	Experience	Scheme Managed	The tenure for which the Fund Manager has been managing the Scheme
<b>Mr. Rahul Dedhia</b>	B.E (Electronics) from Mumbai University and MBA (Finance) from MET College Mumbai	He has over 8 years of experience in fixed income market including 6 years in the mutual fund industry.  Prior to joining Edelweiss AMC, Mr. Dedhia was associated as Assistant Fund Manager with DHFL Pramerica Mutual Fund from March 2016 to October 2017 and with Deutsche Asset Management (India) Pvt. Ltd from July 2014 to March 2016.	<b>Fund Manager:</b> <ul style="list-style-type: none"> <li>▪ Edelweiss Liquid Fund</li> <li>▪ Edelweiss Low Duration Fund</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 20</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 35</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 38</li> <li>▪ Edelweiss Fixed Maturity Plan - Series 41</li> <li>▪ Edelweiss ASEAN Equity Off-shore Fund</li> <li>▪ Edelweiss Emerging Markets Opportunities Equity Offshore Fund</li> <li>▪ Edelweiss Europe Dynamic Equity Offshore Fund</li> <li>▪ Edelweiss US Value Equity Offshore Fund</li> <li>▪ Edelweiss Greater China Equity Off-Shore Fund</li> </ul>	December 11, 2017

## J. WHAT ARE THE INVESTMENT RESTRICTIONS?

### i) Disclosures and investment restrictions

All investments by the Scheme will be made in accordance with the investment objective of the Scheme, investment strategy and investment restrictions described in this SID. However the following investment restrictions in accordance with the SEBI Regulations shall apply to the Scheme at the time of making investments:

1. Transfers of investments from one scheme to another scheme in the Mutual Fund shall be made only if:
  - (a) Such transfers are done at the prevailing market price for quoted instruments on spot basis.  
Explanation - "spot basis" shall have the same meaning as specified by a stock exchange for spot transactions.
  - (b) The Securities so transferred shall be in conformity with the investment objective of the scheme to which such transfer will be made.
2. The Scheme shall buy and sell Securities on the basis of deliveries and shall in all cases of purchases, take delivery of relative Securities and in all cases of sale, deliver the Securities and shall in no case put itself in a position whereby it has to make short sales or carry forward transactions or engage in Badla finance. Provided further that sale of GoI Securities already contracted for purchase shall be permitted in accordance with the guidelines issued by the RBI in this regard.
3. The Mutual Fund shall get the Securities purchased or transferred in the name of the Mutual Fund on account of the Scheme, wherever investments are intended to be of a long term nature.
4. Pending deployment of funds of the Scheme in Securities in accordance with the terms of the investment objectives of the Scheme, the Scheme can invest the funds of the Scheme in short term deposits of scheduled commercial banks, in accordance with SEBI Regulations. The investments in these deposits shall be in accordance with SEBI Circular Nos. nos. SEBI/IMD/CIR No.

1/91171/07, SEBI/IMD/CIR No. 8/107311/07, SEBI/IMD/CIR No. 7/129592/08 dated April 16, 2007, October 26, 2007 and June 23, 2008, respectively and any other applicable guidelines. Further, the AMC will not charge any investment management and advisory fees for placing these funds of the Scheme in short-term deposits of commercial banks.

5. The Scheme shall not make any investment in;
  - (a) any unlisted security of an associate or group company of the Sponsor; or
  - (b) any security issued by way of private placement by an associate or group company of the Sponsor; or
  - (c) the listed Securities of group companies of the Sponsor which are in excess of 25% of the net assets of the Scheme.
6. The Scheme shall not invest its assets other than in schemes of mutual funds, except to the extent funds are required to meet liquidity requirements for the purpose of repurchases or Redemptions, as disclosed in this SID.
7. The Scheme being a fund of funds scheme, shall not invest in any other fund of funds scheme.
8. The Scheme shall not invest more than 30% of its net assets in money market instruments of an issuer. Such limit shall not be applicable for investments in GoI Securities, treasury bills and collateralized borrowing and lending obligations.
9. No loans would be made by the Mutual Fund except as provided in the SEBI Regulations.

### ii) Investment limitations and restrictions in foreign Securities to be complied by the Underlying fund

In accordance with SEBI's Circulars SEBI/IMD/CIR No. 7/104753/07 dated September 26, 2007 and SEBI/IMD/CIR No.2/1222577/08 dated April 8, 2008, the following conditions shall apply to the Scheme's participation in the overseas investments. Please note that the investment restrictions applicable to the Scheme's participation in overseas investments will be as prescribed or varied by SEBI or by

the Trustee (subject to SEBI requirements) from time to time. The SEBI Regulations pertaining to investment in ADRs / GDRs / foreign Securities and overseas ETFs by mutual funds have now been decided as under:

The aggregate ceiling for overseas investments has now been enhanced from USD 5 billion to USD 7 billion as per the Circular SEBI/IMD/CIR No. 2/122577/08 dated April 8 2008. Within the overall limit of USD 7 billion, mutual funds can make overseas investments subject to a maximum of USD 300 million per mutual fund. The permissible investments mutual funds can invest in:

- ADRs / GDRs issued by Indian or foreign companies
- Equity of overseas companies listed on recognized stock exchanges overseas
- Initial and follow on public offerings for listing at recognized stock exchanges overseas
- Foreign debt Securities in the countries with fully convertible currencies, short term as well as long term debt instruments with rating not below investment grade by accredited / registered credit rating agencies
- Money market instruments rated not below investment grade
- Repos in the form of investment, where the counterparty is rated not below investment grade; repos should not, however, involve any borrowing of funds by mutual funds
- Government Securities where the countries are rated not below investment grade
- Derivatives traded on recognized stock exchanges overseas only for hedging and portfolio balancing with underlying as securities
- Short term deposits with banks overseas where the issuer is rated not below investment grade
- Units / Securities issued by overseas mutual funds or unit trusts registered with overseas regulators and investing in (a) aforesaid Securities, (b) Real Estate Investment Trusts (REITs) listed in recognized stock exchanges overseas or (c) unlisted overseas Securities (not exceeding 10% of their net assets).

Limits for investment in overseas ETFs: The overall ceiling for investment in overseas ETFs that invest in Securities is USD 1 billion subject to a maximum of USD 50 million per mutual fund. Since this is a fund of funds scheme, the restriction on the investments in mutual fund units up to 5% of net assets and which prohibits charging of fees shall not be applicable to investments in mutual funds in foreign countries made in accordance with SEBI guidelines. However, the management fees and other expenses charged by

a mutual fund in foreign countries along with the management fee and recurring expenses charged to the domestic mutual fund scheme shall not exceed the total limits on expenses as prescribed under Regulation 52(6) of the SEBI Regulations. Where the scheme is investing only a part of the net assets in the foreign mutual fund(s), the same principle shall be applicable for that part of investment. The overseas securities markets offer new investment and portfolio diversification opportunities by enabling investments in the overseas markets. However, such investments also entail additional risks. Such investment opportunities may be pursued by the Mutual Fund provided they are considered appropriate in terms of the overall investment objectives of the Scheme. The Scheme may then, if necessary, seek applicable permission from SEBI and RBI to invest abroad in accordance with the investment objectives of the Scheme and in accordance with any guidelines issued by SEBI / RBI from time to time.

### iii) Investment of subscription money

The AMC shall make investments of the NFO proceeds only after the closure of the NFO period.

### iv) Guidelines Governing Investments in Govt Securities

As per the SEBI Regulations and investment restriction guidelines issued by SEBI, the AMC will follow a policy wherein each decision of purchase / sale of Govt Securities shall be recorded. A weekly report relating to the portfolio of the Scheme will be reviewed by the Investment Committee of the AMC.

Investment and security selection of all kinds of debt instruments including Govt Securities, State Government Securities, and Government guaranteed debts are delegated to the fund manager of the AMC with the responsibility on the fund manager of the AMC to ensure conformity with the specified minimum credit rating standards for the purpose of managing credit risk and portfolio credit risk. All investments in Govt Securities shall be done in accordance with SEBI / RBI guidelines.

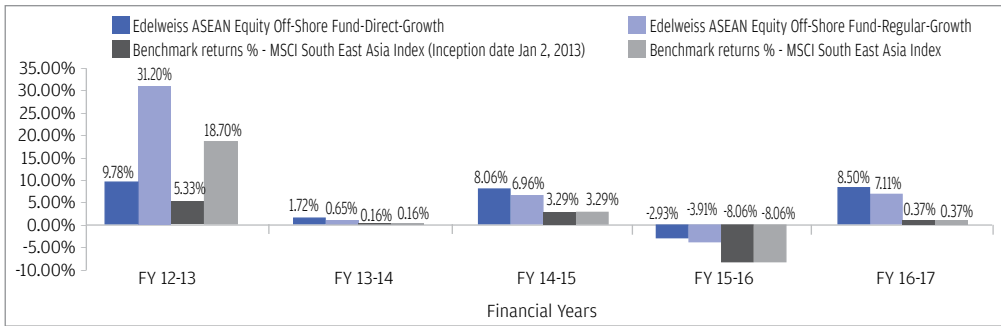
In addition to the investment restrictions prescribed by SEBI Regulations, the AMC / the Trustee may also prescribe certain internal risk mitigating parameters / procedures from time to time to limit exposure of the Scheme in certain Securities, in order to overcome volatile market conditions.

The Trustee / the AMC may amend / alter the above stated Investment Restrictions from time to time (as also the provisions of the Trust Deed), to the extent required to be incorporated as per the SEBI Regulations, so as to enable the Scheme to make all investments permitted by the SEBI Regulations, in order to seek to achieve its investment objectives.

## K. SCHEME PERFORMANCE

Edelweiss ASEAN Equity Off-shore Fund			Returns as on February 28, 2018	
Date	REGULAR PLAN - GROWTH OPTION		DIRECT PLAN - GROWTH OPTION	
	Scheme Returns	Equivalent returns in Indian Rupee of Benchmark of the underlying fund	Scheme Returns	Equivalent return in Indian Rupee of Benchmark of the underlying fund
^Returns for the last 1 year	24.85%	21.27%	26.15%	21.27%
^Returns for the last 3 years	9.02%	3.95%	10.23%	3.95%
^Returns for the last 5 years	7.12%	3.29%	8.28%	3.29%
^Returns since inception	11.84%	6.76%	9.50%	3.90%

**Absolute returns for each financial year for the last 5 years**



Allotment Date: Regular Plan: 1 July, 2011, Direct Plan: 1 January, 2013

^CAGR Returns \*\* Not Applicable

**Note:** Since inception returns have been calculated from the date of allotment.

**Past performance may or may not be sustained in future.**

#### L. INVESTMENTS BY THE AMC

Subject to the SEBI Regulations, the AMC may invest up to its net worth, either directly or indirectly, in the Scheme during the NFO Period and / or Ongoing Offer Period. However, the AMC shall not charge any investment management fee on such investment in the Scheme.

**The aggregate investment in the scheme as on February 28, 2018 under the following Categories:**

Category	Aggregate Investment (in ₹)
i. AMC's Board of Directors	-
ii. Concerned scheme's Fund Manager(s)	-
iii. Other key managerial personnel	-

#### M. UNDERTAKING BY THE TRUSTEES

The Scheme was approved by the Trustees. The Trustees have ensured that the Scheme approved is a new product offered by Edelweiss Mutual Fund and is not a minor modification of its existing schemes.

### III. UNITS AND OFFER

This section provides details that investors need to know for investing in the Scheme.

#### A. NEW FUND OFFER (NFO)

**New Fund Offer, New Fund Offer Period, New Fund Offer Price, Minimum Amount for Application in the NFO, Minimum Target Amount, Maximum Amount to be raised, Allotment, Refund and Special products/ facilities available during the NFO**

These sections do not apply to the Scheme covered in this SID, as the ongoing offer of the Scheme has commenced after the NFO, and the Units are available for continuous subscription and redemption.

#### **Plans / Options Offered**

The Scheme offers two plans: Regular and Direct Plan.

Each Plan offers a Growth option only, where growth in the Scheme is reflected in the NAV per unit.

Investors subscribing under Direct Plan of the Scheme will have to indicate “Direct Plan” against the Scheme name in the application form. Investors should also indicate “Direct” in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but “Direct Plan” is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. Further, where application is received for Regular Plan without Distributor code or “Direct” mentioned in the ARN Column, the application will be processed under Direct Plan.

Treatment for investors based on the applications received is given in the table below:

Sr.	Broker Code	Plan	Treatment
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Mentioned	Not Mentioned	Regular Plan
6	Direct	Not Mentioned	Direct Plan
7	Direct	Regular Plan	Direct Plan

A valid broker code should be mentioned.

#### **Dividend Policy**

The Scheme does not declare dividend.

#### **Dematerialization**

Investors have an option to hold the Units by way of an account statement or in electronic (dematerialized) form. The option to hold the Units in dematerialized form can be exercised at the time of subscription to the Units or at a later date by converting the Units in dematerialized form. Investors opting to hold the Units in electronic form must provide their dematerialized account details in the specified section of the Application Form. Investors intending to hold the Units in electronic form are required to have a beneficiary account with a Depository Participant (registered with NSDL /CDSL as may be indicated by the Mutual Fund at the time of launch of the Scheme) and will be required to indicate in the application the Depository Participant’s name, Depository Participant ID Number and the beneficiary account number of the applicant held with the Depository Participant. Applicants must ensure that the sequence of the names as mentioned in the Application Form matches with that of the beneficiary account held with the Depository Participant. Names, PAN details, KYC details etc. mentioned in the Application Form will be verified against the Depository’s records. If the details mentioned in the Application Form are found to be incomplete / incorrect or not matching with the records of the Depository Participant, the application shall be treated as application for physical (non-dematerialized) mode and accordingly Units will be allotted in physical (non-dematerialized) mode, subject to it being complete in all other aspects.

Where investors do not provide their dematerialized account details, an account statement shall be sent to them. Such investors will not be able to trade in the stock exchange till their holdings are converted into dematerialized form. For conversion of physical holdings into dematerialized form, the Unit Holders will have to send the dematerialized requests to their Depository Participants.

Units held by way of account statement cannot be transferred. Units held in dematerialized form are transferable in accordance with the provisions of the Depositories Act, 1996 and the SEBI (Depositories and Participants) Regulations, 1996 as may be amended from time to time. In case, the Unit holder desires to hold the Units in a Dematerialized / Rematerialized form at a later date, the request for conversion of the Units held in physical (non-dematerialized) mode into electronic (dematerialized) form or vice-versa should be submitted along with a dematerialized / rematerialized Request Form to their Depository Participant. Investors should ensure that the combination of names in the account statement is the same as that in the dematerialized account.

#### **Dematerialisation of Units**

Unit Holders may have / open a beneficiary account with a Depository Participant of a Depository and choose to hold the Units in dematerialised mode. The Unit Holders have the option to dematerialise the Units held as per the account statement sent by the Registrar by making an application to the AMC / Registrar and Transfer Agent / Depository Participant for this purpose.

#### **Rematerialisation of Units**

Rematerialisation of Units can be carried out in accordance with the provisions of SEBI (Depositories and Participants) Regulations, 1996 as may be amended from time to time.

The process for rematerialisation of Units will be as follows:

- Unit Holders will be required to submit a request to their respective Depository Participant for rematerialisation of Units in their beneficiary accounts.
- The Depository Participant will generate a rematerialisation request number and the request will be despatched to the AMC / Registrar and Transfer Agent.
- On acceptance of request from the Depository Participant, the AMC/ Registrar & Transfer Agent will despatch the account statement to the investor and will also send confirmation to the Depository Participant.

During the dematerialization and rematerialisation process no financial and non financial transactions are allowed.

The above shall be subject to SEBI Regulations and the guidelines issued by NSE, BSE, CDSL and NSDL in this regard, as applicable and as amended from time to time.

### **Who Can Invest**

This is an indicative list and you are requested to consult your financial, legal or tax advisor to ascertain whether the Scheme is suitable to your profile

#### **A. Who Can Invest**

Prospective investors are advised to satisfy themselves that they are not prohibited by any law from investing in the Scheme and are authorized to purchase units of mutual funds as per their respective constitutions, charter documents, corporate / other authorizations and relevant statutory provisions. Investors are also requested to consult their financial advisor to ascertain whether the Scheme is suitable to their risk profile. The following is an indicative (but not exhaustive) list of persons who are generally eligible and may apply for subscription to the Units:

- Indian resident adult individuals, either singly or jointly (not exceeding three);
- Minor through parent (i.e. mother / father or legal guardian) (please see the note below);
- Companies, bodies corporate, public sector undertakings, association of persons or bodies of individuals and societies registered under the Societies Registration Act, 1860;
- Religious and charitable trusts, wakfs or endowments of private trusts (subject to receipt of necessary approvals as required) and private trusts authorized to invest in mutual fund schemes under their trust deeds;
- Partnership firms constituted under the Partnership Act, 1932;
- A HUF through its Karta;
- Banks (including cooperative banks and regional rural banks) and financial institutions;
- NRIs / PIOs on a full repatriation basis or on a non-repatriation basis (NRIs or PIOs of the United States of America and Canada cannot apply);
- FPIs registered with SEBI on full repatriation basis;
- Army, air force, navy and other paramilitary funds and eligible institutions;
- Scientific and industrial research organisations;
- Provident / pension / gratuity and such other funds as and when permitted to invest;

- International multilateral agencies approved by the Government of India / RBI;
- The Trustee, AMC or Sponsor or their associates (if eligible and permitted under prevailing laws).
- A mutual fund through its schemes, including fund of funds schemes.
- Any other category of investors as the AMC / Trustee deem fit.

**Note on Minor:**

1. Account to be Opened “On Behalf of Minor”
  - 1.1 The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
  - 1.2 The guardian who opens the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
  - 1.3 The guardian shall mandatorily provide information on the relationship / status of the guardian as father, mother or legal guardian in the Application Form.
  - 1.4 In case of natural guardian, a document should be provided evidencing the relationship if the same is not available as part of the documents submitted as per 1.6 below.
  - 1.5 In case of a court appointed legal guardian, relevant supporting documentary evidence shall be provided.
  - 1.6 Photocopy of any one of the following documents reflecting the date of birth of the minor shall be mandatory while opening the account on behalf of minor:
    - (a) Birth certificate of the minor, or
    - (b) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
    - (c) Passport of the minor, or
    - (d) PAN, or
    - (e) Any other suitable proof evidencing the date of birth of the minor, as deemed appropriate by the AMC.
2. Change in Status on Minor Attaining Majority
  - 2.1 Prior to the minor attaining majority, an advance intimation shall be sent to the registered correspondence address advising the guardian and the minor to submit an Application Form along with prescribed documents (as per 2.5 below) to change the status of the account to “major”.
  - 2.2 There shall be a freeze on the operation of the account by the guardian on the day the minor attains the age of majority and no transactions shall be permitted until satisfactory documents for effecting the change in status as stated in 2.5 below are received.
  - 2.3 In case of existing standing instructions including STP, SIP and SWP registered prior to the minor attaining majority, an advance notice shall be sent to the registered correspondence address advising the guardian and the minor that the existing standing instructions will continue to be processed beyond the date of the minor attaining majority until the time an instruction from the major to terminate the standing instruction is received by the mutual fund along with the prescribed documents as per 2.5 below. It is also clarified that the standing instruction shall be terminated within 30 days from the date of receiving the instructions from the major to terminate the standing instructions.
  - 2.4 For new standing instructions such as SIP, SWP, STP in a minor’s folio shall only be registered until the date on which the minor attains majority, even if the instructions may be for a period beyond that date.
  - 2.5 List of documents required to effect change in status from minor to major:
    - (a) Service Request form, duly completed and containing details including name of major, folio numbers, nomination etc. (available on the AMC’s website);
    - (b) New Bank mandate where account reflects change from minor to major (available on the AMC’s website);
    - (c) Signature attestation of the major by a manager of a Scheduled Bank or Certificate / Letter from any bank;
    - (d) KYC acknowledgement of the major.



A minor Unit Holder on becoming a major may inform the Registrar and Transfer Agent and provide his specimen signature duly authenticated by his banker as well as his details of bank account and PAN to enable the Registrar and Transfer Agent to update its records and allow him / her to operate the account in his own right.

**1. Foreign Account Tax Compliance Act ('FATCA') and Common Reporting Standards (CRS) requirements:**

The Foreign Account Tax Compliance Act is a United States (US) federal law, aimed at prevention of tax evasion by US Citizens and Residents ("US Persons") through use of offshore accounts. The Government of India and the US have reached an agreement in substance on the terms of an InterGovernmental Agreement ("IGA") to implement the FATCA provisions, which have become globally applicable from July 1, 2014.

Edelweiss Mutual Fund ("the Fund")/ Edelweiss Asset Management Limited ("the AMC") is classified as a FFI under the FATCA provisions, in which case the Fund / AMC is required, from time to time, to

- (i) undertake necessary due diligence process by collecting information/documentary evidence of the US/non US status of the investors;
- (ii) disclose/report information as far as may be legally permitted about the holdings/ investment returns pertaining to reportable accounts to the US Internal Revenue Service and/or such Indian authorities as may be specified under FATCA or other applicable laws and
- (iii) carry out such other activities as prescribed under the FATCA provisions, as amended from time to time. FATCA due diligence will have to be directed at each investor/unit holder (including joint investors) and on being identified as a reportable person/ specified US person, all the folios will be reported. Further, in case of folio with joint investors, the entire account value of investment portfolio will be attributable under each such reportable person. Investors/Unit holders would therefore be required to furnish such information to the Fund/AMC, from time to time, in order to comply with the reporting requirements stated in the IGA and or circulars/guidelines issued by SEBI/AMFI in this regard.

The impact of FATCA is relevant not only at the point of onboarding of the investors but also throughout the life cycle of the investor account / folio with the Fund. Hence investor(s) should immediately intimate the Fund/AMC, in case of any change in the FATCA related information provided by them at the time of initial subscription.

The Fund/AMC reserves the right to reject any application or compulsorily redeem the units held directly or beneficially in case the applicant/investor fails to furnish the relevant information and/or documentation or is found to be holding units in contravention of the FATCA provisions.

Further, in accordance with the regulatory requirements relating to FATCA/CRS read along with SEBI Circular no. CIR/MIRSD/2/2015 dated August 26, 2015 and AMFI Best practices guidelines circular no. 63/2015-16 dated September 18, 2015 regarding uniform implementation of FATCA/CRS requirements, investors are requested to ensure the following:

- With effect from November 1, 2015 all investors will have to mandatorily provide the details and declaration pertaining to FATCA/CRS for all new accounts opened, failing which the application shall be liable to be rejected. For accounts opened between July 1, 2014 and October 31, 2015 and certain pre - existing accounts opened till June 30, 2014, the AMC shall reach out to the investors to seek the requisite information/ declaration which has to be submitted by the investors before December 31, 2015. In case the information/declaration is not received from the investor on or before December 31, 2015, the account shall be treated as reportable account.

**2. Ultimate Beneficial Ownership:**

In accordance with SEBI Circular no. CIR/MIRSD/2/2013 dated January 24, 2013 and AMFI Best practices guidelines circular no. 62/2015-16 dated September 18, 2015, Investors may note the following:

- With effect from November 1, 2015, it is mandatory for new investors to provide beneficial ownership details as part of account opening documentation failing which the AMC shall reject the application.
- With effect from January 1, 2016 it is mandatory for existing investors/unit holders to provide beneficial ownership details, failing which the AMC shall reject the transaction for additional subscription (including switches).

### 3. Central KYC

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security Interest of India (CERSAI, an independent body), to perform the function of Central KYC Records including receiving, storing, safeguarding and retrieving KYC records in digital form. Accordingly, in line with SEBI circular nos. CIR/MIRSD/66/2016 dated July 21, 2016 and CIR/MIRSD/120/2016 dated November 10, 2016 on Operationalisation of Central KYC (CKYC), read with AMFI Best Practice Guidelines circular no. 68/2016-17 dated December 22, 2016, new individual investors investing into the Fund are requested to comply with the CKYC norms.

### 4. Aadhaar

Investors are requested to note that the Ministry of Finance (Department of Revenue) in consultation with the Reserve Bank of India has made certain amendments to the Prevention of Money-laundering (Maintenance of Records) Rules, 2005, namely, the Prevention of Money-laundering (Maintenance of Records) Second Amendment Rules, 2017. These Rules have come into force with effect from June 1, 2017. These Rules, inter alia, make it mandatory for investors to submit Aadhaar number issued by the Unique Identification Authority of India (UIDAI) in respect of their investments.

Accordingly, investors are requested to note the following requirements in relation to submission of Aadhaar number and other prescribed details to Edelweiss Mutual Fund/ its Registrar and Transfer Agent/ Asset Management Company (“the AMC”):

- i. Where the investor is an individual, who is eligible to be enrolled for Aadhaar number, the investor is required to submit the Aadhaar number issued by UIDAI. Where the Aadhaar number has not been assigned to an investor, the investor is required to submit proof of application of enrolment for Aadhaar. If such an individual investor is not eligible to be enrolled for Aadhaar number, and in case the Permanent Account Number (PAN) is not submitted, the investor shall submit one certified copy of an officially valid document containing details of his identity and address and one recent photograph along with such other details as may be required by the Mutual Fund. The investor is required to submit PAN as defined in the Income Tax Rules, 1962.
- ii. Where the investor is a non-individual, apart from the constitution documents, Aadhaar numbers and PANs as defined in Income-tax Rules, 1962 of managers, officers or employees or persons holding an attorney to transact on the investor’s behalf is required to be submitted. Where an Aadhaar number has not been assigned, proof of application towards enrolment for Aadhaar is required to be submitted and in case PAN is not submitted an officially valid document is required to be submitted. If a person holding an authority to transact on behalf of such an entity is not eligible to be enrolled for Aadhaar and does not submit the PAN, certified copy of an officially valid document containing details of identity, address, photograph and such other documents as prescribed is required to be submitted.

The timelines for submission of the Aadhaar numbers, as per the requirements stated above, are as follows:

- i. For existing Mutual Fund folios/accounts as on the date of the relevant Ministry of Finance Notification (i.e., December 13, 2017) and for folios/accounts opened thereafter but before March 31, 2018, investors need to submit the requisite details / documents latest by March 31, 2018, failing which, the Mutual Fund folio(s) would cease to be operational till the time the requisite details / documents are submitted.
- ii. For new Mutual Fund folios / accounts opened from April 1, 2018 onwards, investors are required to submit their PAN and Aadhaar number with requisite details / documents, at the time of opening the folio/ account itself, without which no new folio/account shall be opened.

Where the investors who are individuals or in the case of investors who are non-individuals, managers, officers or employees or persons holding an attorney to transact on the investor’s behalf, as the case may be, do not have an Aadhaar number, the proof of enrolment for Aadhaar can be submitted. However, in such cases, the Aadhaar number shall be required to be provided for eventual authentication within the prescribed time frame of 6 months as aforesaid, failing which the account / folio shall cease to be operational.

## B. Who Cannot Invest

### It should be noted that the following Persons cannot invest in the scheme:

- (a) Any individual who is a foreign national or any other entity that is not an Indian resident under the Foreign Exchange Management Act, 1999, except where registered with SEBI as an FPI or FPI sub-account or except for NRIs or PIOs (who are not residents of the United States of America and Canada), unless such foreign national or other entity that is not an Indian resident has procured the relevant regulatory approvals from the Foreign Investment Promotion Board and / or the Reserve Bank of India, as applicable in the sole discretion and to the sole satisfaction of the AMC.
- (b) Overseas Corporate Bodies (OCBs), i.e. firms and societies which are held directly or indirectly but ultimately to the extent of at least 60% by NRIs and trusts in which at least 60% of the beneficial interest is similarly held irrevocably by such persons.
- (c) NRIs and PIOs who are resident of Canada.
- (d) NRIs residing in Non-Compliant Countries and Territories (NCCTs) as determined by the Financial Action Task Force (FATF), from time to time.
- (e) Religious and charitable trusts, wakfs or other public trusts that have not received necessary approvals and a private trust that is not authorized to invest in mutual fund schemes under its trust deed. The Mutual Fund will not be responsible for or any adverse consequences as a result of an investment by a public or a private trust if it is ineligible to make such investments.
- (f) Any other person determined by the AMC or the Trustee as not being eligible to invest in the Scheme.
- (g) The Scheme has not been registered under the US Securities Act, as amended ("US Securities Act") or under any similar or analogous provision of law enacted by any jurisdiction in the US. The Units may not be offered or sold to any US Person unless the AMC, at its absolute discretion, grants an exception. For this purpose, a US Person is one falling under either the definition of US Person under the Act, under the Interpretive Guidance and Policy Statement Regarding Compliance with Certain Swap Regulations of the Commodities Futures Trading Commission, as amended, or under US Internal Revenue Code ("IRC") as specified below or under US federal income tax law (as described below under paragraphs 1 through 4), or a non-US entity with certain US owners (as described below under paragraphs 5):

The Units are not public securities under the relevant statutes and any religious and charitable trust that seeks to invest in the Units will require prior approval of the appropriate authority under appropriate enactments which apply to them and appropriate consents under their trust deeds / constitutional documents, if applicable.

The Mutual Fund reserves the right to include / exclude new / existing categories of investors to invest in the Scheme from time to time, subject to the SEBI Regulations and other prevailing laws, if any.

Subject to the SEBI Regulations, any application for Units may be accepted or rejected or further transactions in Units prevented or delayed or withheld or forced redemption at the applicable NAV subject to entry/exit loads, if any, at the sole and absolute discretion of the Trustee. For example, the Trustee may reject any application for the Purchase of Units if the application is invalid or incomplete or non-compliant with KYC policies if, in its opinion, increasing the size of a Scheme's Unit Capital is not in the general interest of the Unit Holders, or if the Trustee for any other reason does not believe that it would be in the best interest of the Scheme or its Unit Holders to accept such an application.

Should any investor become a US Person after the allotment of the Units to the investor, the Units held by him may be mandatorily redeemed. Additionally, if at any time after the allotment of Units to the investor, it comes to the attention of the AMC that Units are beneficially owned by a US Person, the AMC will have the right to compulsorily redeem such Units.

## Where can Investors submit Completed Applications

Investors are requested to refer to the list provided on the last page of the SID and to the latest list which shall be available on the AMC's website ([www.edelweissmf.com](http://www.edelweissmf.com)) for the list of ISCs.

Investors can purchase/ redeem Units of the Scheme through stock exchange's in accordance with the guidelines issued by SEBI/ NSE/ BSE/ NDSL/CDSL.

## How to Apply

Please refer to the SAI and Key Information Memorandum / Application Form for the instructions.

Key Information Memorandum / Application Forms / Transaction Slips for the Purchase of Units of the Scheme will be available at the ISCs / distributors and our website. Key Information Memorandum / Application Forms / Transaction Slips filled up and duly signed by the investor or all joint investors (as the case may be) should be submitted along with the cheque /draft / other payment instrument and supporting documents to a Designated Collection Centre. **For details of payment, please refer below to the section “How to Pay”.**

Further, investor may choose to invest through website of our Registrar & Transfer Agent [www.karvymfs.com](http://www.karvymfs.com) and their mobile application ‘KTRACK’ (collectively called ‘Karvy’s electronic platforms’).

The above facility will be available for all schemes of the Fund except Exchange Traded Funds.

The uniform cut off time as prescribed under the SEBI (Mutual Funds) Regulations, 1996 and as mentioned in SIDs / KIMs of the respective schemes of the Fund will be applicable for transactions received through Karvy’s electronic platforms and the time of receipt of transaction recorded on the server of Karvy will be reckoned as the time of receipt of transaction for the purpose of determining applicability of NAV, subject to credit of funds to bank account of scheme(s) wherever applicable.

The facility to transact in eligible schemes of Edelweiss Mutual Fund through Karvy’s electronic platforms is available subject to such operating guidelines, terms and conditions as may be prescribed from time to time by Karvy, Edelweiss Asset Management Limited / Edelweiss Trusteeship Company Limited and applicable laws for the time being in force.

Additional Purchases and Redemptions may be communicated through facsimile instructions (“Facsimile Instructions”) and the AMC shall not require other written confirmation in respect of such Facsimile Instructions. Such Facsimile Instructions are solely for the convenience, and at the risk, of the Unit Holder and the AMC is authorized to act on any Facsimile Instruction which the AMC in its sole discretion believes is transmitted from the Unit Holder.

The AMC shall exercise due care in carrying out its internal verification procedures but shall not be liable for acting in good faith on such Facsimile Instructions which are transmitted from unauthorized persons, which shall be binding on the Unit Holder whether made with or without his authority, knowledge or consent.

Applications should be made in adherence to the minimum amount requirements as mentioned in paragraph A of this **Section III – “Minimum amount for application in NFO Period”**

It is mandatory for every applicant to provide the name of the bank, branch, address, account type and number as per SEBI requirements and any Application Form / Transaction Slip without these details will be treated as incomplete. Such incomplete applications are liable to be rejected. The Registrar and Transfer Agent / AMC may ask the investor to provide a blank cancelled cheque or its photocopy for the purpose of verifying the bank account number.

In order to strengthen Know Your Client (KYC) norms and identify every participant in the securities market with their respective PAN / PEKRN, KIN (KYC Identification Number) issued by Central KYC Registry and AADHAAR, thereby ensuring a sound audit trail for all transactions, irrespective of the amount of transaction.

If the investment is being made on behalf of a minor, the KYC of the minor or father or mother or the legal guardian who represents the minor, should be provided.

Applications received without KYC will be rejected.

For all applications the applicant or in the case of application in joint names, each of the applicants, should mention his / her PAN / PEKRN, KIN (KYC Identification Number) issued by Central KYC Registry and AADHAAR.

An application should be complete in all respects before it is submitted. It will be treated as incomplete and will be liable to be rejected if:

- the PAN / PEKRN, KIN (KYC Identification Number) issued by Central KYC Registry, AADHAAR is not mentioned; and
- any other information or documents as may be required by the AMC or the Trustee have not been submitted together with the Key Information Memorandum / Application Form / Transaction Slips.

KYC is mandatory for all investors making investment in mutual funds, irrespective of the amount of investment.

SEBI vide its circulars MIRSD/SE/Cir-21/2011 dated October 05, 2011, MIRSD/Cir-23/2011 dated December 02, 2011 and MIRSD/Cir-26/2011 dated December 23, 2011 had laid down a uniform KYC compliance procedure for all investors with effect from January 1, 2012. SEBI also issued KYC Registration Agency ("KRA") Regulations 2011 and the guidelines in pursuance of the said Regulations for In-Person Verification ("IPV").

**1. Requirement for the existing investors in mutual funds:**

The existing investors in mutual funds who have already complied with the KYC requirement (defined for the purpose of this SID as KYC compliant) and have the KYC compliance letter issued to them by CDSL Ventures Limited are exempt from following the new KYC procedure effective January 01, 2012, but only for the purpose of making investments with any SEBI registered mutual fund.

If, however, the KYC compliant investors would like to deal with any SEBI registered intermediary other than mutual funds, they may have to follow the new KYC compliance procedure.

**2. Requirement for the new investors in mutual funds:**

All investors other than KYC compliant investors as defined above are required to follow the new KYC compliance procedure as mentioned below while making any investment in the Scheme:

- Fill up and sign the KYC application form (for individual investors or non-individual investors as appropriate) available on the AMC's website i.e. [www.edelweissmf.com](http://www.edelweissmf.com) or [www.amfiindia.com](http://www.amfiindia.com) or [www.cvlindia.com](http://www.cvlindia.com)
- At the time of transacting with the Mutual Fund, submit, in person, the completed KYC application form along with all the necessary documents as mentioned in the KYC application form with any of the offices of the distributors (qualified as per the following note), Registrar and Transfer Agent of the Mutual Fund and the Mutual Fund; and
- Obtain a temporary acknowledgement for submission of all the documents and completion of In-Person Verification ("IPV");

**Note:** As per the SEBI circular MIRSD/Cir-26/2011 dated December 23, 2011, it is mandatory for SEBI registered intermediaries to carry out IPV of any investor dealing with a SEBI registered intermediary.

For investments in a mutual fund, the asset management companies, registrar and transfer agents of mutual funds and distributors which comply with the certification process of National Institute of Securities Market or Association of Mutual Funds in India and have undergone the process of "Know Your Distributors" are authorised to carry out the IPV. Unless the IPV process is completed, the investor will not be considered as KYC compliant under the KYC compliance procedure and hence will not be permitted to make any investment in the Scheme.

For investors proposing to invest in the Mutual Fund directly (i.e. without being invested through any distributor), IPV done by a scheduled commercial bank may be relied upon by the Mutual Fund. Once all the documents are verified by a KYC Registered Agency, they will send the investor a letter within 10 (ten) Business days from the date of receipt of necessary documents by them from the Mutual Fund or its Registrar and Transfer Agent informing the investor either about compliance by the investor of the new KYC compliance procedure ("final acknowledgement") or any deficiency in submission of details or documents.

On the basis of the temporary acknowledgement or the final acknowledgement the investor would be eligible to deal with any of the SEBI registered intermediaries as mentioned in the above mentioned SEBI Circulars.

In order to protect investors from fraudulent encashment of cheques, the SEBI Regulations require that cheques for Redemption of Units specify the name of the Unit Holder and the bank name and account number where payments are to be credited. Hence, all applicants for Purchase of Units / Redemption of Units must provide a bank name, bank account number, branch address, and account type in the Application Form

Subject to SEBI Regulations, the AMC / Trustee / Mutual Fund has the discretion to prevent further transactions by a unit holder, delay or withhold processing redemption / payout and / or payout of redemption proceeds and / or effect forced redemption of units of the unit holder at the applicable NAV subject to entry / exit loads (without the payment of interest) if the KYC status of that investor is not under “VERIFIED” status, after giving due notice and opportunity in respect of the same. Whilst the AMC / Trustee / Mutual Fund will give due notice and ample opportunities for compliance in respect of the same, it is in the interest of the Applicant to ensure that their KYC status is under “VERIFIED” status and in compliance with the circulars etc. as prescribed by SEBI.

#### **KYC for Micro SIP**

Micro SIPs are investments through Systematic Investment Plans (SIPs) upto ₹ 50,000/- per year per investor. Such investments shall be exempt from the requirement of PAN. The PAN requirement shall be exempted if the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments by an investor in rolling 12 months period or financial year i.e. April to March does not exceed Rs. 50,000/- (Rupees Fifty Thousand) (hereafter referred to as “Micro Investments”). However, the requirements of Know Your Customer (KYC) shall be mandatory for all investments, irrespective of the amount of investment.

For the purpose of identifying Micro Investments, the value of investments at the investor level (first holder) will be aggregated and such aggregation shall be done irrespective of the number of folios / accounts under which the investor has invested.

The aforesaid exemption for PAN will be applicable only to Micro Investments made by the individuals being Indian citizens [including Non Resident Indians (NRIs), Joint holders, minors acting through guardian and sole proprietary firms], Person of Indian Origin (PIO), Hindu Undivided Family (HUF), Qualified Foreign Investor (QFI) and other categories of investors will not be eligible for this exemption.

Eligible Investors must attach a copy of the KYC acknowledgement letter containing the PAN Exempt KYC Reference No (PEKRN) issued by the KRA along with the application form. Eligible investors must hold only one PEKRN.

The above-mentioned exemption will not be applicable to normal Purchase transactions upto ₹ 50,000/- which will continue to be subject to PAN requirement.

In order to protect investors from fraudulent encashment of cheques, the SEBI Regulations require that cheques for Redemption of Units specify the name of the Unit Holder and the bank name and account number where payments are to be credited. Hence, all applicants for Purchase of Units / Redemption of Units must provide a bank name, bank account number, branch address, and account type in the Application Form.

All existing and new non-individual Investors shall provide “Ultimate Beneficial Ownership (UBO) details at the time of creation of new folio / account or while making additional purchase transactions, including switch transactions.

- Investors should mandatorily provide additional KYC information such as Income details, occupation, Net worth etc as mentioned in the application / supplementary KYC, FATCA & CRS - self certification form (individual & Non-individual).
- FATCA information should be provided in the supplementary KYC FATCA & CRS - self certification form (individual & Non-individual) at the time of creation of new folio / account. The Application Form(s) are available at the Investor Service Centers of Edelweiss Asset Management Limited (AMC) and on the AMC website.
- For account opened between July 1, 2014 and October 31, 2015 and certain accounts opened till June 30, 2014, the AMC shall reach out to the investors to seek the requisite information / declaration which have to be submitted by investors on or before the date mentioned in the letter. In case the information is not received from the investors on or before such date, the account shall be treated as reportable account.
- For “KYC-On Hold” cases, investors need to submit missing information or update pending KYC related information with the Fund / Registrar and Transfer Agent / KYC Registration Agency to enable the Fund / AMC to process valid purchase / additional purchase / switch transactions request in the Schemes of the Fund received on or after the effective date. However, the investors enrolled under Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Dividend Sweep Facility etc. registered till October 31, 2015 will continue to be processed. This is applicable for new as well as existing investors.

- Automatic Exchange of Information (“AEOI”) / Common Reporting Standard (“CRS”)The Organization for Economic Co-operation and Development (OECD) has developed the Standard for Automatic Exchange of Financial Account Information in Tax Matters whereby jurisdictions that have entered into bilateral or multilateral AEOI agreements will share information on investors resident in these jurisdictions. The scope and application of information reporting and exchange pursuant to AEOI / CRS may vary depending on each local jurisdiction’s implementation of AEOI / CRS.
- As of 16 December 2015, 77 countries have signed the multilateral agreement including Australia, British Virgin Islands, and Cayman Islands, People’s Republic of China, India, Japan, Korea and United Kingdom.
- A prospective investor should seek advice on the effects with respect to the implementation of the AEOI / CRS from its own tax advisor based on its particular circumstances.

AMC reserves the right to reject the application in case the applicant / investor fails to submit information and / or documentation as mentioned above.

## How to Pay

All cheques / drafts must be drawn in favour of “Edelweiss ASEAN Equity Off-shore Fund” or “Edelweiss ASEAN Equity Off-shore Fund A/c First investor name” or of “Edelweiss ASEAN Equity Off-shore Fund A/c Permanent Account Number”. They should be crossed “Account Payee only”. A separate cheque, instruction or bank draft must accompany each application.

### 1. Third Party Payments

In accordance with AMFI Best Practice circular no. 135/BP/16/10-11 dated August 16, 2010, with effect from November 15, 2010, no third party payments shall be accepted in any of the schemes of Edelweiss Mutual Fund. “Third Party Payment” means payment made through an instrument issued from a bank account other than that of the first named applicant / investor mentioned in the application form. In case of payment instruments issued from a joint bank account, the first named applicant / investor must be one of the joint holders of the bank account from which the payment instrument is issued. However, under the following exceptional circumstances the third party cheques may be accepted.

- a) Payment by Parents/Grand-Parents/related persons\* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
- b) Payment by employer on behalf of employee under systematic investment plan (SIP) through payroll deductions or deduction out of expense reimbursement.
- c) Custodian on behalf of an FPI or a client
- d) Payment by AMC to a distributor empanelled with it on account of commission / incentive etc. in the form of the Mutual Fund Units of the Scheme managed by the AMC through lump sum / one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time. AMC shall exercise extra due diligence in terms of ensuring the authenticity of such arrangements from a fraud prevention and ensure compliance with provisions of Prevention of Money Laundering Act regarding prevention of money laundering.
- e) Payment by corporates to its agent/distributors/dealers (similar arrangement with principal agent relationship), on account of commission/incentive payable for sale of its good/ services, in the form of mutual fund units through systematic investment plan or lump sum/one time subscription, subject to compliance with SEBI guideline issued by AMFI, from time to time. Asset Management Companies should exercise excess due diligence in terms of ensuring the authenticity of such arrangements from a fraud prevention and ensure compliance with provisions of PMLA Act regarding prevention of money laundering etc.

\* ‘Related Person’ means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.

Investors submitting their applications in the abovementioned exceptional circumstances are required to provide the following documents without which the applications for subscription of Units will be rejected / not processed / refunded:

- a) Mandatory KYC for Investor and the person making the payment i.e third party. Copy of the KYC Acknowledgement letter of both; from the investor and the person making the payment, should be attached along with the application form.
- b) Declaration from the Investor and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the investor.

#### Source of Funds

A) If the payment is made by cheque: An investor at the time of his/her purchase must provide the details of his pay-in bank account (i.e. account from which a subscription payment is made) and his pay-out bank account (i.e. account into which redemption / dividend proceeds are to be paid). The verification of third party cheque will be made on the basis of either matching the paying - in bank account details with the pay-out bank details or by matching the bank account number / name of the first applicant / signature of the first applicant with the name of the account holder / account number / signature on the cheque. If the name is not pre-printed on the cheque or the signature on the cheque does not match, then the first named applicant should submit any one of the following documents:

- a) copy of the bank passbook or account statement from the bank having the name, account number and address of the investor. (Investors should also bring the original documents along with the documents mentioned. The copy of such documents will be verified with the original documents to the satisfaction of the AMC / Registrar & Transfer Agent. The original documents will be returned across the counter to the investor after due verification.)
- b) a letter\* from the bank on its letterhead certifying that the investor maintains an account with them specifying the account number, type of account, branch, the MICR code of the branch & the IFSC code (where applicable).

In case an investor has multiple accounts, investors are requested to register them with the AMC. Pay-in from such registered single or multiple accounts can be treated as first party payments. The process to be followed for registration of multiple bank accounts is detailed under point 2 below.

- B) If the payment is made with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc. (by debiting a bank account), a Certificate\* (in original) from the Issuing banker must accompany the purchase application, stating the account holder's name and the Account number which has been debited for issue of such instrument.
- C) The AMC / Registrar and Transfer Agent will not accept any purchase applications from investors if accompanied by a pre-funded instrument issued by a bank against cash for investments of ₹ 50,000 or more. In case the application is accompanied by the pre-funded instrument issued by bank against cash for less than ₹ 50,000 then the investor is required to submit a Certificate\* (in original) obtained from the bank giving name, address and PAN (if available) of the person who has requested for the payment instrument.

\*The said letter/Certificate should be duly certified by the bank manager with his / her full signature, name, bank seal and contact number. The AMC / Mutual Fund / Registrar and Transfer Agent will check that the name mentioned in the Certificate matches with the first named investor.

- D) If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.
- E) Investors transacting through (i) MFSS / BSE StAR MF Platform under the electronic order collection system for schemes which are unlisted and (ii) Stock Exchange(s) for the listed schemes; will have to comply with norms / rules as prescribed by Stock Exchange(s).

The above broadly covers the various modes of payment for the subscriptions in the Scheme. The above list is not a complete list and is only indicative in nature and not exhaustive. Any other method of payment, as introduced by the Mutual Fund, will also be covered under these provisions.



## 2. Registration of Multiple Banks Accounts

In accordance with AMFI circular no. 135/BP/17/10-11 dated October 22, 2010, the investors are allowed to register Multiple Banks Accounts for pay-in and pay-out. Investors can register upto five bank accounts. Multiple Banks Account registration form is available on the website. Investor should use Part A of the Multiple Bank Accounts Registration Form along with any one of the following documents to register bank mandates. If a copy is submitted, investors should bring the original to the office for verification:

- a. Cancelled cheque leaf, or
- b. Bank statement / passbook page with account number, account holders' name and address.

Investor should use the Part B of the Multiple Bank Accounts Registration Form to register one of the registered bank accounts as the default bank account for credit of redemption and dividend proceeds.

The investor, may however, specify any other registered bank accounts for credit of redemption proceeds at the time of requesting for the redemption.

The investor should use the Part C of the Multiple Bank Accounts Registration Form to delete a registered bank account. Investor shall not be allowed to delete a default bank account unless investor registers another registered account as a default account.

In case of any change in registered bank account, a cooling off period of 10 calendar days shall be made applicable for validation and registration of bank accounts.

In order to prevent fraud and misuse of payment instruments, investors wishing to make payment using a payment instrument (e.g. by cheque, demand draft, pay order, etc.) are strongly urged to follow the order of preference in using payment instruments as below (in descending order of priority):

Payment can be made by one of the following methods:

- Cheque;
- Draft (i.e. demand draft or bank draft);
- A payment instrument (such as pay order, banker's cheque, etc.); or
- Electronic instructions (if mandated).

The cheque should be payable at a bank's branch which is situated at and is a member of the Collection Banks' clearing house / zone in the city where the application is submitted to a Designated Collection Centre.

An investor may invest through a distributor or bank with whom the AMC has made an arrangement, whereby payment may be made through ECS / EFT / NEFT / RTGS or in any manner acceptable to the AMC, and is evidenced by receipt of credit in the bank account of the Mutual Fund

The following modes of payment are **not valid**, and applications accompanied by such payments are liable to be rejected.

- Outstation cheques (i.e. if the cheque is payable at a bank's branch which does not participate in the local clearing mechanism of the city where the application is submitted).
- Cash, money orders or postal orders.
- Post dated cheques

If the applicant is resident of a city, the banking clearing circle of which is different from that of any Investor Service Centre as designated by the AMC from time to time, the AMC shall bear the bank charges for the demand draft(s). The AMC shall not refund any demand draft charges.

Applications accompanied by cheques / drafts not fulfilling the above criteria are liable to be rejected.

**Note:** The Trustee, at its discretion at a later date, may choose to alter or add other modes of payment.

	<p><b>Payments by NRIs / PIOs and FPIs</b></p> <p><b>(a) Repatriable basis</b></p> <p>In the case of NRIs / PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in an NRE Rupee account / FCNR account.</p> <p>FPIs may pay their subscriptions either by inward remittance through normal banking channels or out of funds held in a NRE Rupee Account maintained with the designated branch of an authorised dealer in accordance with the relevant exchange management regulations.</p> <p><b>(b) Non-repatriable basis</b></p> <p>In the case of NRIs / PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in an NRE Rupee account / FCNR account / NRO Account.</p>
<b>Listing</b>	The Scheme being open ended, the Units are not proposed to be listed on any stock exchange and no transfer facility is provided.
<b>The policy regarding reissue of repurchased Units, including the maximum extent, the manner of reissue and the entity (the Scheme or the AMC) involved in the same</b>	Not Applicable
<b>Restrictions, if any, on the right to freely retain or dispose of Units being offered</b>	<p>In conformity with the guidelines and notifications issued by SEBI / Government of India / any other Regulatory Agencies from time to time, as applicable, Units may be offered as security by way of a lien / charge in favour of scheduled banks, financial institutions, non-banking finance companies, or any other body. The Registrar and Transfer Agent will note and record the lien against such Units. A standard form for this purpose is available on request with the Registrar and Transfer Agent.</p> <p>The Unit Holder will not be able to redeem / switch Units under lien until the lien holder provides written authorization to the AMC / Mutual Fund / Registrar and Transfer Agent that the lien is discharged. As long as Units are under lien, the lien holder will have complete authority to exercise the lien, thereby redeeming such Units and receiving payment proceeds. In such instance, the Unit Holder will be informed by the Registrar and Transfer Agent through an account statement. In no case will the Units be transferred from the Unit Holder to a lien holder. Dividends declared on Units under lien will be paid / reinvested to the credit of the Unit Holder and not the lien holder.</p> <p>The Units of the Scheme are non transferable. In view of the same, additions / deletions of names will not be allowed under any folio of the Scheme. The above provisions in respect of deletions of names will not be applicable in case of death of Unit Holder (in respect of joint holdings) as this is treated as transmission of Units and not transfer.</p>

## B. ONGOING OFFER DETAILS

<p><b>Ongoing Price for Subscription (Purchase) / Switch-in (from other Schemes of Edelweiss Mutual Fund) by Investors</b></p> <p>This is the price you need to pay for Purchase / switch-in.</p>	At the applicable NAV.
<p><b>Ongoing Price for Redemption / Switch outs (to other schemes of Edelweiss Mutual Fund) by Investors</b></p> <p>This is the price you will receive for Redemptions / Switch-outs.</p> <p><i>Example: If the applicable NAV is ₹ 10, exit load is 2% then Redemption Price will be: ₹ 10* (1-0.02) = ₹ 9.80</i></p>	At the applicable NAV subject to prevailing Exit Load.

### **Cut-off timing for Subscriptions / Redemptions / Switches**

This is the time before which your application (complete in all respects) should reach the official points of acceptance.

The Cut-off time for the Scheme is 3.00 p.m., and the Applicable NAV will be as under:

#### **For Purchase**

- a. where the application is received upto 3.00 pm with a local cheque or demand draft payable at par at the place where it is received, with amount less than ₹ 2 lakhs - closing NAV of the day of receipt of application;
- b. where the application is received after 3.00 pm with a local cheque or demand draft payable at par at the place where it is received, with amount less than ₹ 2 lakhs - closing NAV of the next Business Day;
- c. where the application is received with a local cheque or demand draft payable at par at the place where it is received, with amount equal to or more than ₹ 2 lakhs irrespective of the time of receipt of application, the closing NAV of the day on which the funds are available for utilisation shall be applicable.

#### **Applicability of NAV for the Scheme with an amount equal to or more than ₹ 2 lakhs:**

- a) For allotment of units in respect of purchase in the Scheme, the following needs to be complied with:
  - i. Application is received before the applicable cut-off time.
  - ii. Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the respective Scheme before the cutoff time.
  - iii. The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the respective Scheme.
- b) For allotment of units in respect of switch-in to the Scheme from other schemes, the following needs to be complied with:
  - i. Application for switch-in is received before the applicable cut-off time.
  - ii. Funds for the entire amount of subscription/purchase as per the switch-in request are credited to the bank account of the respective switch-in Scheme before the cut-off time.
  - iii. The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the respective switch-in Scheme or Plans or options thereunder.

**All transactions as per conditions given below are to be aggregated and closing NAV of the day on which funds are available for utilisation to be applied where the aggregated amount of the investment is ₹ 2.00 lakhs and above.**

- a. All transactions received on the same day (as per Time stamping rules).
- b. Transactions shall include purchases, additional purchases, excluding Switches, SIP / STP and triggered transactions.
- c. Aggregations shall be done on the basis of investor/s PAN. In case of joint holding, transactions with similar holding structures to be aggregated.
- d. All transactions shall be aggregated where investor holding pattern is same as stated above, irrespective of whether the amount of the individual transaction is above or below ₹ 2.00 lakhs.
- e. Only transactions in the same scheme shall be clubbed. This will include transactions at option level (Dividend, Growth, Direct).
- f. Transactions in the name of minor received through guardian shall not be aggregated with the transaction in the name of same guardian.

The above will be applicable only for cheques / demand drafts / payment instruments payable locally in the city in which a Designated Collection Centre is located. No outstation cheques will be accepted.

#### **For Redemption**

- (a) where the application is received upto 3.00 p.m. - the closing NAV of the day immediately preceding the next Business Day ; and
- (b) where the application is received after 3.00 p.m. - the closing NAV of the next Business Day.

Note: In case the application is received on a Non-Business Day, it will be considered as if received on the Next Business Day.

	<p><b>For Switches</b></p> <p>Valid applications for 'Switch-out' shall be treated as applications for Redemption and valid applications for 'Switch-in' shall be treated as applications for Purchase, and the provisions of the Cut-off time, Business Day and the Applicable NAV mentioned in the relevant SID as applicable to Purchase and Redemption shall be applied to the 'Switch-in' and 'Switch-out' applications, respectively.</p> <p><b>Note:</b> In case of applications received on a Non-Business Day the closing NAV of the next Business Day shall be applicable.</p>
<p><b>Where can the applications for Purchase / Redemption / Switches be submitted?</b></p>	<p>The details of official points of acceptance and Investor Service Centres are given on the back cover page.</p>
<p><b>Minimum amount for Purchase / Redemption / Switches</b></p>	<p><b>For All Plans:</b></p> <p><b>Minimum Initial Application Amount:</b> ₹ 5,000 per application and in multiples of Re. 1 thereafter.</p> <p><b>Minimum Additional Application Amount:</b> ₹ 1,000 per application and in multiples of Re. 1 thereafter.</p> <p><b>Minimum Amount / No. of Units for Redemption:</b> ₹ 1,000 or 100 Units or the account balance, whichever is lower.</p> <p>Valid applications for 'Switch-out' shall be treated as applications for Redemption and valid applications for 'Switch-in' shall be treated as applications for Purchase and the above mentioned provisions shall apply accordingly.</p> <p>Subject to the minimum amount mentioned above, in case of a request for Switch for 'All Units' or the 'Entire Amount', fractions will be allowed.</p>
<p><b>How to Redeem</b></p>	<p>A Transaction Slip can be used by the Unit Holder to request for Redemption. The requisite details should be entered in the Transaction Slip and submitted at an ISC or the AMC offices. Transaction Slips can be obtained from any location of the ISCs or the AMC offices.</p>
<p><b>Restrictions on Redemptions</b></p>	<p>After obtaining board approval the Trustee and the AMC may restrict redemptions in the Scheme when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets such as:</p> <ol style="list-style-type: none"> <li><b>Liquidity issues</b> - when the market at large becomes illiquid affecting almost all securities rather than any issuer specific security.</li> <li><b>Market failures, exchange closures</b> - when markets are affected by unexpected events which impact the functioning of exchanges or the regular course of transactions. Such unexpected events could also be related to political, economic, military, monetary or other emergencies.</li> <li><b>Operational issues</b> - when exceptional circumstances are caused by force majeure, unpredictable operational problems and technical failures (eg a black out).</li> </ol> <p>In the event redemptions are restricted, such restriction may be imposed for a specific period of time not exceeding 10 working days in any 90 day period and the restriction on redemption shall not apply to redemption requests up to 2 lakh. Where redemption requests are above 2 lakh, the AMC shall redeem the first 2 lakh without such restriction and remaining part over and above 2 lakh shall be subject to such restriction.</p> <p>As per SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2016/57 dated May 31, 2016 such restriction on redemption will be applicable to the Scheme with effect from July 1, 2016.</p>
<p><b>Special Products Available</b></p>	<p><b>Investments through systematic routes:</b></p> <ol style="list-style-type: none"> <li>In case of Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) / Dividend Transfer Plans, etc. registered prior to the Effective Date <b>without any distributor code</b> under the Regular Plan, installments falling on or after the Effective Date will automatically be processed under the Direct Plan.</li> <li>Investors who had registered for Systematic Investment Plan facility prior to the Effective Date <b>with distributor code</b> and wish to invest their <b>future installments</b> into the Direct Plan, shall make a written request to the Fund in this behalf. The Fund will take at least 15 days to process such requests. Intervening installments will continue in the Regular Plan.</li> </ol>

In case of (a) and (b) above, the terms and conditions of the existing registered enrolment shall continue to apply.

- (c) In case of the Systematic Transfer Facilities (registered with Distributor Code) under the Regular Plan prior to the Effective Date the future installments shall continue under the Regular Plan.

In case such investors wish to invest under the Direct Plan through Systematic Transfer Facilities, they would have to cancel their existing enrolments and **register afresh** for such facilities.

### 1. SYSTEMATIC INVESTMENT PLAN (SIP)

This facility enables investors to save and invest periodically over a period of time. It is a convenient way to “invest as you earn” and affords the investor an opportunity to enter the market regularly, thus averaging the acquisition cost of Units. The conditions for investing in SIP will be as follows:

- (a) The date of the cheque shall be the same as the date of the application. The payment under SIP may be made through a distributor with whom the AMC has made an arrangement for payment of investment money through ECS / EFT / NEFT / RTGS / or in any manner acceptable to the AMC.
- (b) The SIP can be made daily (all business days of the month) / weekly (7th, 14th, 21st, 28th of the month) / fortnightly (10th and 25th of the month) / monthly (any date except last 3 days of the month) / quarterly (any date except last 3 days of the month) specified by the Unit Holder. In case the chosen day / date falls on a Non-Business Day, the SIP will be processed on the immediate next Business Day.
- (c) With effect from November 28, 2016 fresh registration under the SIP through post dated cheques will not be accepted
- (d) For SIP, the installment after the NFO Period should be dated after the date of declaration of first NAV. Any payments intended for the interim period will not be processed and will be treated as void.
- (e) All payment instructions / installments shall be of equal amounts.
- (f) The minimum amount of each installment(s) shall be ₹ 1,000.
- (g) The aggregate of such SIP Installment(s) shall not be less than ₹ 6,000. There is no upper Purchase limit for a single SIP installment(s) or in aggregate.
- (h) If the previous folio number is not mentioned, an extension of an existing SIP will be treated as a new SIP on the date of such application and all the above conditions need to be met with.
- (i) The load structure prevailing at the time of submission of the SIP application (whether fresh or extension) will apply for all the instalments indicated in such an application.
- (j) In case of cancellation of a SIP or payment instructions not honoured, the AMC may reduce the number of Units.

The Units will be allotted to the investor at the Applicable NAV on the respective dates on which the investments are effected to be made. However, if any of the dates on which an investment is sought to be made is a non Business Day, the Units will be allotted at the Applicable NAV of the next Business Day. Any Unit Holder can avail of this facility subject to certain terms and conditions detailed in the Application Form. This facility is available only if the Application Form / Transaction Slip along with the payment instructions is handed over to an ISC. Investors should note that an application for SIP can be submitted at Designated Collection Centres.

For applicable load through SIP please refer to **Section IV - Fees and Expenses**.

### 2. SYSTEMATIC WITHDRAWAL PLAN (SWP)

This facility enables the Unit Holders to withdraw sums from their accounts in the Scheme at periodic intervals through a one-time request. The withdrawals can be made daily (all business days of the month) / weekly (7th, 14th, 21st, 28th of the month) / fortnightly (10th and 25th of the month) / monthly (any date except last 3 days of the month) / quarterly (any date except last 3 days of the month) specified by the Unit Holder. In case the chosen day / date falls on a Non-Business Day, the SWP will be processed on the immediate next Business Day. The minimum amount in Rupees for withdrawal under the SWP facility shall be ₹ 1,000, while the minimum number of Units for withdrawal shall be 100 Units. In case the minimum balance falls below these limits immediately after such SWP being effected, the AMC may redeem all the Units. The withdrawals will commence from the start date mentioned by the Unit Holder in the Application Form for the facility, provided such date is a Business Day. The Units will be redeemed at the Applicable NAV on the respective dates on which such withdrawals are effect.

However, if any of the dates on which the Redemption is sought is a non-Business Day, the Units will be redeemed at the Applicable NAV of the next Business Day. This facility is explained by way of an illustration below:

Date	Amount invested (₹)	Amount withdrawn under SWP (₹)	Assumed NAV* per Unit (₹)	Units redeemed	Units Balance**	Value after SWP (₹)
1-Jan-09	100,000		10.00		10,000	100,000.00
7-Feb-09		1,000	10.15	98.522	9,901	100,500.00
7-Mar-09		1,000	10.25	97.561	9,804	100,490.15
7-Apr-09		1,000	10.35	96.618	9,707	100,470.54
7-May-09		1,000	10.45	95.694	9,612	100,441.27
7-Jun-09		1,000	10.55	94.787	9,517	100,402.43
7-Jul-09		1,000	10.65	93.897	9,423	100,354.11
7-Aug-09		1,000	10.75	93.023	9,330	100,296.40
7-Sep-09		1,000	10.85	92.166	9,238	100,229.39
7-Oct-09		1,000	10.95	91.324	9,146	100,153.17
7-Nov-09		1,000	11.05	90.498	9,056	100,067.81
7-Dec-09		1,000	11.25	88.889	8,967	100,878.99
7-Jan-10		1,000	11.35	88.106	8,879	100,775.69

\* The NAVs in the table above are purely illustrative and should not be understood or construed as assured or guaranteed returns. Entry and Exit Loads are assumed to be NIL for the purpose of the illustration.

\*\* Previous balance less Units redeemed.

For applicable load on Redemptions through SWP please refer to **Section IV - Fees and Expenses, "C. Load Structure"**.

### 3. SYSTEMATIC TRANSFER PLAN (STP)

This facility enables Unit Holders to transfer fixed amounts from their accounts in the Scheme to other schemes launched by the Mutual Fund from time to time. The transfers under this facility can be made daily (all business days of the month) / weekly (7th, 14th, 21st, 28th of the month) / fortnightly (10th and 25th of the month) / monthly (any date except last 3 days of the month) / quarterly (any date except last 3 days of the month) specified by the Unit Holder. The provision of minimum Purchase/Redemption amount with respect to the Scheme will not be applicable for transfers made under this facility. The transfer will commence from the date mentioned by the Unit Holder in the Application Form for the facility (provided such date is a Business Day). In case the chosen day / date falls on a Non-Business Day, the STP will be processed on the immediate next Business Day. The Units will be allotted / redeemed at the Applicable NAV of the respective Business Day on which such investments / withdrawals are effected. In case the day on which the investment / withdrawal is sought to be made, is not a Business Day for the Scheme, then the application for the facility will be deemed to have been received on the immediately following Business Day. The minimum amount in Rupees for switch under the STP facility shall be ₹ 1,000, while the minimum number of Units shall be 100 Units. In case the minimum balance would fall below these limits, as a result of the transfer under the STP facility, the AMC has the discretionary but not the obligation to transfer all the Units.

### 4. REGULAR PAYOUT FACILITY ('THE RP FACILITY')

The RP Facility will enable investors to withdraw/redeem a fixed percentage of the specified amount from their investments in the Eligible Schemes at a predefined frequency as specified at the time of registering for the RP Facility. Investor/unit holders are requested to take note of the terms and conditions of the RP Facility as stated in the Regular Payout Facility Form, effective from September 29, 2017.

### 5. INVESTMENT THROUGH CORPORATE PLATFORM

Under this facility subscription is allowed viz. "Investment through Corporate Platform" for the employees / officers of Corporates.

Through this facility, if the Corporate provides direct credit of their employees'/officers' investments either through SIP or lumpsum into the Scheme's bank account, the requirement for submitting cheque/cancelled cheque during initial (first time) investment shall be waived off. Further, in case of SIP the frequency and the date will be customized by the AMC, at its discretion with effect from January 22, 2018.

## Lien on Units for Loans

Units may be offered as security by way of a lien / charge in favour of scheduled banks, financial institutions, non-banking finance companies (NBFCs) or any other body. The Registrar and Transfer Agent will note and record the lien against such Units. A standard request letter for this purpose is available on request with the Registrar and Transfer Agent or the AMC.

The Unit Holder will not be able to redeem / switch Units under lien until the lien holder provides written authorization to the Mutual Fund / AMC / Registrar and Transfer Agent that the lien / charge may be vacated. As long as Units are under lien, the lien holder will have complete authority to exercise the lien, thereby redeeming such Units and receiving payment proceeds. In such instance, the Unit Holder will be informed by the Registrar and Transfer Agent through an account statement. In no case will the Units be transferred from the Unit Holder to the lien holder. Dividends declared on Units under lien will be paid / re-invested to the credit of the Unit Holder and not the lien holder unless specified otherwise in the lien letter.

## Accounts Statements

The Account Statement shall not be construed as a proof of title and is only a computer generated statement indicating the details of transactions under the Scheme(s) and is a non-transferable document. The Account Statement will be issued in lieu of Unit Certificates.

Normally no Unit certificates will be issued. However, if the applicant so desires, the AMC shall issue a nontransferable Unit certificate to the applicant within 30 days of the receipt of request for the certificate. Unit certificate if issued must be duly discharged by the Unit Holder(s) and surrendered along with the request for redemption / switch or any other transaction of Units covered therein.

- The AMC shall allot the Units to the applicants whose application has been accepted and also send confirmations specifying the number of Units allotted to the applicant by way of e-mail and / or SMS to the applicant's registered e-mail address and / or mobile number as soon as possible but not later than 5 (Five) Business Days from the date of closure of the allotment and / or from the date of receipt of the specific request for account statement from the Unit Holders. For ongoing period, CAS for each calendar month to the Unit Holder(s) in whose folio(s) transaction(s) has / have taken place during that calendar month shall be sent on or before 10th of the succeeding calendar month. In case of any specific request for account statement received from a Unit Holder, the account statement would be sent to the Unit Holder within 5 (Five) Business Days from the receipt of such request.
- For the purpose of sending CAS, common investors across mutual funds shall be identified by their PAN / PEKRN.
- The CAS shall not be received by the Unit Holders for the folio(s) not updated with PAN / PEKRN details. The Unit Holders are therefore requested to ensure that the folio(s) are updated with their PAN / PEKRN.
- The statement of holding of the beneficiary account holder for Units held in dematerialized form will be sent by the respective Depository Participants periodically.

Further, the CAS detailing holdings across all schemes of all mutual funds at the end of every 6 (Six) calendar months (i.e. September / March), shall be sent by mail / e-mail on or before the 10th day of succeeding calendar month, to all such Unit Holders in whose folios no transaction has taken place during that period. The half yearly CAS will be sent by e-mail to the Unit Holders whose e-mail address is available, unless a specific request is made to receive the same in physical form.

Allotment of Units and dispatch of account statements to NRIs / FPIs will be subject to RBI approval. Upon allotment of Units an account statement will be sent to each Unit Holder stating the number of Units allotted. With effect from October 1, 2010 mutual fund units held in dematerialized account only are freely transferable, this is as per the SEBI circular no. CIR/IMD/DF/10/2010 dated August 18, 2010. All other forms of Units of the Schemes are not transferable. The Trustees may issue a Unit Certificate in lieu of the account statement in respect of the Units held to such Unit Holders who request for the same, after receipt of a specific request from the Unit Holder. The Trustees reserve the right to make the Units transferable at a later date subject to SEBI Regulations issued from time to time. In view of the same, additions / deletion of names will not be allowed under any folio of the Scheme.

The above provisions in respect of deletion of names will not be applicable in case of death of Unit Holder (in respect of joint holdings) as this is treated as transmission of Units and not transfer.

**Note:** No account statements (annual or otherwise) will be issued to investors who have opted to hold Units in electronic mode.

## Dividend

The Scheme does not declare cash dividends.

## Redemption

### PAYMENT OF PROCEEDS

#### 1. Resident Investors

Redemption proceeds will be paid by cheques, marked "A/c Payee only" and drawn in the name of the sole holder / first-named holder (as determined by the records of the Registrar).

The Mutual Fund will endeavour to dispatch the Redemption proceeds within 7 Business Days from the acceptance of the Redemption request, but not beyond 10 Business Days from the date of acceptance of the Redemption request. If the payment is not made within the period stipulated in the SEBI Regulations, the Unit Holder shall be paid interest @ 15% p.a. for the delayed period and the interest shall be borne by the AMC.

The bank name and bank account number, as specified in the Registrar and Transfer Agent's records, will be mentioned in the cheque. The cheque will be payable at all the cities having ISCs. If the Unit Holder resides in any other city, he will be paid by a demand draft payable at the city of his residence and the demand draft charges shall be borne by the AMC. The proceeds may be paid by way of direct credit / NEFT / RTGS or in any other manner through which the investor's bank account specified in the Registrar and Transfer Agent's records may be credited with the Redemption proceeds.

The AMC provides direct credit facility with 10 banks currently. Please refer to section "**Instructions & Notes**" in Application Form for further details.

**Note:** The Trustee, at its discretion at a later date, may choose to alter or add other modes of payment.

The Redemption proceeds will be sent by courier or (if the addressee city is not serviced by the courier) by registered post. The dispatch for the purpose of delivery through the courier / postal department, as the case may be, shall be treated as delivery to the investor. The AMC / Registrar are not responsible for any delayed delivery or non-delivery or any consequences thereof, if the dispatch has been made correctly as stated in this paragraph.

## **2. Non-Resident Indian Investors**

For NRIs, Redemption proceeds will be remitted depending upon the source of investment as follows:

### **(a) Repatriation Basis**

When Units have been purchased through remittance in foreign exchange from abroad or by cheque / draft issued from proceeds of the Unit Holder's FCNR account / deposit or from funds held in the Unit Holder's Non Resident (External) Rupee account kept in India, the proceeds can be remitted to the Unit Holder in foreign currency (any exchange rate fluctuation will be borne by the Unit Holder). The proceeds can also be sent to his Indian address for crediting to his NRE Rupee Account / FCNR account / NRO account, if desired by the Unit Holder.

### **(b) Non Repatriation Basis**

When Units have been purchased from funds held in the Unit Holder's non-resident (Ordinary) account, the proceeds will be sent to the Unit Holder's Indian address for crediting to the Unit Holder's non-resident (Ordinary) account.

For FPIs, the designated branch of the authorised dealer may allow remittance of net sale / maturity proceeds (after payment of taxes) or credit the amount to the foreign currency account or Non-Resident Rupee account of the FPI maintained in accordance with the approval granted to it by the RBI.

The Scheme will not be liable for any delays or for any loss on account of any exchange fluctuations, while converting the Rupee amount in foreign exchange in the case of transactions with NRIs / FPIs.

The Scheme may make other arrangements for effecting payment of Redemption proceeds in future.

The Unit Holder has the option to request for Redemption either in amount in Rupees or in number of Units.

Units purchased by cheque may not be redeemed until after realisation of the cheque. In case the investor mentions the number of Units as well as the amount, then the amount will be considered for processing the Redemption request. In case the investor mentions the number of Units or the amount in words and figures, then the value in words will be taken for processing the Redemption request.

If the redemption request amount exceeds the balance lying to the credit of the Unit Holder's said account, then the Scheme shall redeem the entire amount lying to the credit of the Unit Holder's account in that Scheme / Plan / Option.



If an investor has purchased Units on more than 1 (one) Business Day, the Units purchased prior in time (i.e. those Units which have been held for the longest period of time), will be redeemed first and / or are deemed to have been redeemed first, i.e. on a first-in-first-out basis except when the Unit Holder specifically requests Redemption of Units purchased on specific date(s). If multiple Purchases are made on the same day, the Purchase appearing earliest in the account statement will be redeemed first.

The minimum amount in Rupees for Redemption shall be ₹ 1,000/- (Rupees One Thousand only) or 100 Units or account balance, whichever is less.

The Mutual Fund will endeavour to dispatch the Redemption proceeds to the Unit Holders normally within 7 Business Days from the date of acceptance of the Redemption request; however investors should be aware that regulatory timelines currently specify 10 Business Days.

In order to further reduce the risk of frauds and operational risks and thereby to protect interest of unit holders from fraudulent encashment of redemption / dividend proceeds, it will be mandatory for the investors to submit below stated documentary proof in case the pay-out bank account details (i.e. bank account for receipt of redemption / dividend proceeds) mentioned in the application form for subscription under a new folio is different from pay-in bank account details (i.e. bank account from which a subscription payment is being made).

The investors should submit any one of following documents as a documentary proof alongwith the application form validating that pay-out bank account pertain to the sole / first Unit holder.

- (i) Cancelled original cheque leaf of the pay-out bank account (where the account number and first applicant name is printed on the face of the cheque).
- (ii) Self attested copy of the bank pass book or a statement of bank account with current entries not older than 3 months having the name and address of the first applicant and account number;
- (iii) A letter from the bank on its letterhead certifying that the applicant maintains an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).

#### **Delay in payment of Redemption / Repurchase Proceeds**

The AMC shall be liable to pay interest to the Unit Holders at such rate as may be specified by SEBI for the period of such delay (presently at 15% per annum). Interest shall be payable if there is a delay in payment of Redemption proceeds beyond 10 Business Days.

#### **Bank Account Details**

As per the directives issued by SEBI, it is mandatory for applicants to mention their bank account numbers in their applications for Purchase or Redemption of Units. If the Unit Holder fails to provide the bank mandate, the request for Redemption would be considered as not valid and the Mutual Fund retains the right to withhold the Redemption request or the Redemption proceeds until a proper bank mandate is furnished by the Unit Holder and the provision with respect of penal interest in such cases will not be applicable / entertained.

#### **Policy for PEPs**

Where an investor is identified as a PEP, he shall be subject to Enhanced Due Diligence (EDD) as required under SEBI Guidelines and Circulars. In case of a PEP failing to comply with such policies as are adopted by the AMC from time to time in respect of Anti-Money laundering as specified by SEBI, the AMC / the Mutual Fund / the Trustee shall have absolute discretion to freeze the folios of the investor(s), reject any application(s) / allotment of units, delay or withhold processing / payout of redemption proceeds and/or effect forced redemption of unit holdings of the investor at the applicable NAV subject to entry / exit loads (without the payment of interest) after giving due notice and ample opportunities to do so and in circumstances as may be warranted as specified in SEBI Circulars and Guidelines.

### **C. PERIODIC DISCLOSURES**

#### **Net Asset Value**

This is the value per Unit of the Scheme on a particular day. Investors can ascertain the value of their investments by multiplying the NAV with their Unit balance.

The Mutual Fund will declare the NAV of the Scheme for every Business Day on the website of AMFI, [www.amfiindia.com](http://www.amfiindia.com), by 10.00 am on the next Business Day and also on its own website [www.edelweissmf.com](http://www.edelweissmf.com).

The Mutual Fund will publish on all Business Days the NAVs, Purchase Price and Redemption Price of the Scheme in at least two daily newspapers.

Investors may obtain NAV information on any Business Day by calling the office of the AMC or any of the ISCs.

**NAV disclosure policy for investment in foreign Securities:**

Due to difference in the time zones in different markets, in case the closing prices of the shares / Units of the Underlying fund are not available within a given time frame to enable the AMC to use such information for the valuation of the NAV, the AMC may use the last available traded price of the shares / Units of the Underlying fund for the purpose of valuation. The use of the closing price / last available traded price for the purpose of valuation will also be based on the practice followed in the relevant market. In case the shares / units of the Underlying fund are not traded on a Business Day, the same shall be valued on a fair value basis by the Valuation Committee of the AMC.

**Half yearly Disclosures:**

**Portfolio / Financial Results**

This is a list of Securities where the corpus of the Scheme is currently invested.

The market value of these investments is also stated in portfolio disclosures.

The AMC shall disclose full portfolio of the Scheme (along with the ISIN) as on the last day of the month, on its website, on or before the 10th (tenth) day of the succeeding month. Portfolio of the Scheme shall be published in 1 (one) national English daily newspaper having nationwide circulation and in a local daily newspaper in Mumbai before the expiry of one month from the close of each half year.

The Mutual Fund shall, before the expiry of one month from the close of each half year (March 31 and September 30) disclose its unaudited half yearly financial results on the websites of the Mutual Fund and that of AMFI. Advertisement disclosing the hosting of the financial results on the Mutual Fund's website shall be published in 1 (one) national English daily newspaper having nationwide circulation and in a local daily newspaper in Mumbai.

**Annual Report**

An annual report of the Scheme will be prepared as at the end of each financial year (March 31) and copies of the report or an abridged summary thereof will be mailed to all Unit Holders as soon as possible but not later than 4 (Four) months from the closure of the relevant financial year. Annual report will be available for inspection at the registered office of the Mutual Fund and a copy thereof on request to the Unit Holders on payment of a nominal fee.

In case of Unit Holders whose email addresses are available to the Mutual Fund, the AMC shall send the annual report of the Scheme only by email. In case of the investors who wish to receive the annual report in physical form they should indicate the same to the AMC.

In case of unitholders whose email addresses are not available with the Mutual Fund, the AMCs shall communicate to the unitholders to obtain their email addresses for registration of the same in their database.

The AMC shall display the link of the scheme annual report or abridged summary prominently on its website and make the physical copies available to the investors at its registered office at all times.

**Associate Transactions**

Please refer to the Statement of Additional Information (SAI).

**Taxation**

The rates mentioned herein are as per the Finance Act, 2016 (The Bill has been passed by both houses of Parliament and shall be enacted on assent of the President of India).

The information is provided for general information only. However, in view of the individual nature of the implications, each investor is advised to consult his or her own tax advisors / authorised dealers with respect to the specific amount of tax and other implications arising out of his or her participation in the schemes.

Under the existing provisions of the Income-tax Act, 1961 this scheme does not qualify as an equity oriented scheme.

Edelweiss ASEAN Equity Off-shore Fund (An open ended fund of fund scheme investing in JPMorgan Funds - ASEAN Equity Fund)	Resident Investors*	Mutual Fund	
		For Individuals/ HUF	For Others
Tax on Dividend	Nil	28.84% (25% plus 12% surcharge plus 3% education cess) (^) From AY 2019-20 onwards education and secondary and higher education cess of 3% will be replaced by Health and education cess of 4%. Hence effective rate is 29.12%	34.608% (30% plus 12% surcharge plus 3% education cess) (^) From AY 2019-20 onwards education and secondary and higher education cess of 3% will be replaced by Health and education cess of 4%. Hence, effective rate is 34.944%

Edelweiss ASEAN Equity Off-shore Fund (An open ended fund of fund scheme investing in JPMorgan Funds - ASEAN Equity Fund)	Resident Investors*	Mutual Fund	
		For Individuals/ HUF	For Others
Short-term capital gains@	5 - 30 per cent based on the legal status and the total income of the investor ##	Nil	
Long-term capital gains@	20 per cent with indexation benefit #	Nil	
Business income	5 - 30 per cent based on the legal status and total income of the investor ##	Nil	

**For further details on taxation please refer to the clause on Taxation in the SAI.**

Since the Scheme does not qualify as an equity oriented mutual fund (Refer Note 1 below), no Securities Transaction Tax (STT) is payable by the Unit Holders on redemption / repurchase of Units by the Scheme.

\*The tax rate would be increased by a surcharge of:

- 7 per cent - in case of domestic corporate Unit Holders, where the total income exceeds ₹ 1,00,00,000/- (Rupees One Crore only) but does not exceed ₹ 10,00,00,000/- (Rupees Ten Crore Only).
- 12 per cent - in case of domestic corporate Unit Holders, where the total income exceeds ₹ 10,00,00,000/- (Rupees Ten Crore Only).
- 12 per cent - in case of firms, local authority and co-operative societies, where total income exceeds ₹ 1,00,00,000/- (Rupees One Crore Only).
- 10 percent - in case of individuals, HUF, AOP, BOI and Artificial Juridical Person where total income exceeds ₹ 50,00,000/- (Rupees Fifty Lakhs Only) but does not exceed ₹ 1,00,00,000/- (Rupees One Crore Only).
- 15 percent - in case of individuals, HUF, AOP BOI and Artificial Juridical Person where total income exceeds ₹ 1,00,00,000/- (Rupees One Crore Only).
- 2% - in case of Foreign Companies, where the total income exceeds ₹ 1,00,00,000/- (Rupees One Crore only) but does not exceed ₹ 10,00,00,000/- (Rupees Ten Crore Only).
- 5% - in case of Foreign Companies where the total income exceeds ₹ 10,00,00,000/- (Rupees Ten Crore Only).

Further, an additional surcharge of 3 per cent by way of education cess would be charged on amount of tax inclusive of surcharge for all Unit Holders. From AY 2019-20 onwards education and secondary and higher education cess of 3% will be replaced by Health and education cess of 4%.

Tax rebate up to ₹ 2,500 per annum would be available for resident individuals having total income up to ₹ 3,50,000/- (Rupees Three Lakh Fifty Thousand only).

^ With effect from 1 October 2014, additional income-tax is to be paid by the mutual fund after grossing up income distributed to investor.

@ Units of a mutual fund (other than an equity oriented fund) shall be considered as a short-term capital asset where the same are held for a period of 36 months or less immediately preceding their date of transfer. Long-term capital asset means an asset which is not a short-term capital asset.

# In case of resident individuals and HUFs, where the total income as reduced by long-term capital gains, is below the basic exemption limit, the long-term capital gains will be reduced to the extent of the shortfall and only the balance long-term capital gains will be subjected to the 20 per cent tax.

## Assuming that the total income in case of individuals / HUF / Association of Persons (AOP) / Body of Individual (BOI) exceeds the basic exemption limit [(₹ 5,00,000/- (Rupees Five Lakhs only) in case of resident individual of an age eighty (80) years or more, ₹ 3,00,000/- (Rupees Three Lakh only) in case of resident individual of an age of sixty (60) years or more but less than eighty (80) years and ₹ 2,50,000/- (Two Lakhs Fifty Thousand only) in case of resident in India below sixty (60) years of age (including HUF, AOP / BOI)].

**Note 1:** An equity oriented fund has been defined as a scheme of a Mutual Fund where the investible funds are invested in equity shares of domestic companies to the extent of more than 65 per cent of the total proceeds of such fund. The percentage of equity shareholding of the fund shall be computed with reference to the annual average of the monthly averages of the opening and closing figures.

Any transfer by a unit holder of a capital asset, being a unit or units, held by him in the consolidating scheme of a mutual fund, made in consideration of the allotment to him of a capital asset, being a unit or units, in the consolidated scheme of the mutual fund is not chargeable to tax. Also, any transfer by a unit holder of a capital asset, being a unit or units, held by him in the consolidating plan of a mutual fund scheme, made in consideration of the allotment to him of a capital asset, being a unit or units, in the consolidated plan of that scheme of the mutual fund shall not be considered transfer for capital gain tax purposes and thereby shall not be chargeable to tax.

**For further details on taxation please refer to the clause on Taxation in the SAI.**

The above is intended as a general guide only and does not necessarily describe the tax consequences for all types of investors in the Fund and no reliance, therefore, should be placed upon them. Each investor is advised to consult his or her own tax consultant with respect to the specific tax implications.

#### Investor Services

Any complaints should be addressed to Mr. Mayur Jadhav of the AMC, who has been appointed as the investor relations officer. He can be contacted at:

Address:

801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400 098

Contact Details:

Tel. No. (022) 40933400 / 40979821

Fax no. (022) 40933401 / 40933402 / 40933403

E-mail id: EMFHelp@edelweissfin.com

## D. COMPUTATION OF NAV

### Calculation of NAV

The NAV under the Scheme shall be calculated by the method shown below:

$$\text{NAV (₹)} = \frac{(\text{Market or fair value of the scheme's investments + receivables + accrued income + other assets}) - (\text{accrued expenses + payables + other liabilities and provisions})}{\text{No. of Units outstanding under the Scheme}}$$

The valuation of the Scheme's assets and calculation of the Scheme's NAV shall be subject to audit on an annual basis and such regulations as may be prescribed by SEBI from time to time.

The first NAV will be calculated and announced within a period of 5 Business Days from the date of allotment being within 5 Business Days after the last day of the NFO Period. Subsequently, the NAV shall be calculated on all Business Days and announced by 10.00 a.m. on the following Business Day.

NAV will be calculated up to three decimal places for the Scheme.

## IV. FEES AND EXPENSES

This section outlines the expenses that will be charged to the Schemes

### A. NEW FUND OFFER (NFO) EXPENSES

Since this is an ongoing scheme, this section shall not apply.

### B. ANNUAL SCHEME RECURRING EXPENSES

These are the fees and expenses for operating the Scheme. These expenses include an investment management and advisory fee charged by the AMC, the Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:

As per the SEBI Regulations, the following fees and expenses can be charged to the Scheme. Where the total sum of the investment management fee and advisory fee, and recurring expenses charged to the Scheme together with the management fees and recurring expenses charged to the Underlying Fund shall not exceed 2.50% of the daily average net assets of the Scheme per annum.

The overall fees and expenses (management fees and other fees and charges) that are charged in the Underlying Fund are currently 1.00%.

The overall maximum fees of the Underlying Fund and the respective Scheme are subject to SEBI's limits.

For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund. ([www.edelweissmf.com](http://www.edelweissmf.com)).

The Underlying fund is managed by an associated company of the Sponsor.

Nature of expense	% of daily Net Assets (per annum) (Maximum Limit)
Investment management & Advisory fees	Up to 2.50%
Trustee fees	
Audit Fees	
Custodian fees	
Registrar & transfer agent fees	
Marketing & selling expenses including agents commission	
Costs related to investor communications	
Cost of fund transfer from location to location	
Cost of providing accounts statement and dividend redemption cheques and warrants etc.	
Costs of statutory advertisements	
Cost towards investor education & awareness (at least 2bps)	
Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.	
Goods and Service Tax on expenses other than investment and advisory fees	
Goods and Service Tax on brokerage and transaction cost	
Other expenses	

Nature of expense	% of daily Net Assets (per annum) (Maximum Limit)
Maximum Total Expense Ratio (TER) permissible under Regulations 52 (6) (c) (i) and (6) (a)	Up to 2.50%
Additional expenses under regulation 52 (6A) (c)^	Up to 0.20%
Additional expenses for gross new inflows from specified cities#	Up to 0.30%

**Note:** Distribution expenses will not be charged in Direct Plan and no commission shall be paid from Direct Plan.

#Expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.

Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

^The nature of expenses can be any permissible expenses including Investment Management & Advisory Fees. The purpose of the above table is to assist in understanding the various costs and expenses that the Unit Holders in the Scheme will bear directly or indirectly.

The above estimates for recurring expenses for the Scheme are based on the corpus size of INR 1,000 million, and may change to the extent assets are lower or higher.

The AMC reserves the right to change the estimates, both inter se or in total, subject to prevailing SEBI Regulations.

The AMC may incur actual expenses which may be more or less than those estimated above under any head and / or in total. In case the fees and expenses of the Underlying fund are more than as specified above, the fees and expenses of the AMC shall be reduced, subject to the maximum that can be charged under the SEBI Regulations. In case the fees and expenses of the Underlying fund are less than as specified above, the fees and expenses of the AMC may be increased, subject to the maximum that can be charged under the SEBI Regulations. The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescribed in the SEBI Regulations, as given below. Any excess over these limits will be borne by the AMC.

#### Total fees charged:

Since the Scheme is a fund of funds scheme, the investors of the Scheme will have to bear dual recurring expenses, those of the Scheme and those of its Underlying Fund. The total expense ratio of the Scheme (including Investment Management and Advisory Fees) will be subject to the maximum limits (as a percentage of daily net assets of the Scheme) as per Regulation 52, as amended from time to time, with no sub-limit on Investment Management and Advisory Fees.

The AMC may charge the Scheme with Investment Management and Advisory Fees which can be up to 2.50 per cent of the daily net assets of the Scheme. However, the total fees and expenses of the Scheme including weighted average of charges levied by the Underlying Fund shall not exceed 2.50 per cent of the daily net assets of the Scheme.

- I. The AMC may charge additional expenses, incurred towards different heads mentioned under regulations 52(2) and 52(4), not exceeding 0.20 per cent of daily net assets of the Scheme. However, such additional expenses will not be charged if exit load is not levied/ not applicable to the Scheme.

II. Additional expenses may be charged up to 30 basis points on daily net assets of the Scheme as per Regulation 52 of SEBI Regulations, if the new inflows from beyond top 15 cities are at least (a) 30% of gross new inflows in the Scheme or (b) 15% of the average assets under management (year to date) of the Scheme, whichever is higher.

Provided that if inflows from such cities is less than the higher of (a) or (b) above, such additional expenses on daily net assets of the Scheme shall be charged on proportionate basis.

Provided further that expenses charged under this clause shall be utilized for distribution expenses incurred for bringing inflows from such cities.

Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment.

III. Brokerage and transaction cost incurred for the purpose of execution of trade may be capitalized to the extent of 12bps and 5bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage and transaction cost, over and above the said 12 bps and 5bps for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Any expenditure in excess of the said

prescribed limit (including brokerage and transaction cost, if any) shall be borne by the AMC or by the trustee or sponsors.

In addition to expenses under Regulation 52 (6) and (6A) of SEBI Regulations, AMC may charge Goods and Service Tax on Investment Management and Advisory Fees, expenses other than Investment Management and Advisory Fees and brokerage and transaction cost as below:

- a. Goods and Service Tax on Investment Management and Advisory Fees: AMC may charge Goods and Service Tax on Investment Management and Advisory Fees of the Scheme in addition to the maximum limit of TER as per the Regulation 52(6) and (6A) of SEBI Regulations.
- b. Goods and Service Tax on expenses other than Investment Management and Advisory Fees: AMC may charge Goods and Service Tax on expenses other than Investment Management and Advisory Fees of the Scheme, if any within the maximum limit of TER as per the Regulation under 52(6) and (6A) of SEBI Regulations.
- c. \*Goods and Service Tax on brokerage and transaction cost: The Goods and Service Tax on brokerage and transaction costs which are incurred for the purpose of execution of trade, will be within the limit of prescribed under Regulation 52 of SEBI Regulations.

Any change in the current expense ratios will be updated on the website and the same will be communicated to the investor via SMS / e-mail 3 working days prior to the effective date of change.

### Illustration of impact of expense ratio on scheme's returns

To see how expense ratios can affect your investments over time, let's compare the returns of several hypothetical investments that differ only in expense ratio. The following table depicts the returns on a ₹ 10,000 initial investment, assuming an average annualized gain of 8%, with different expense ratios (0.5%, 1%, 1.5%, 2% and 2.5%):

Year	Expense Ratio (Impact in ₹)						Expense Ratio (Impact in %age)				
	8% Gain	0.50%	1.00%	1.50%	2.00%	2.50%	0.50%	1.00%	1.50%	2.00%	2.50%
0	10,000	10,000	10,000	10,000	10,000	10,000	-	-	-	-	-
1	10,800	10,750	10,700	10,650	10,600	10,550	7.50	7.00	6.50	6.00	5.50
2	11,664	11,556	11,448	11,340	11,232	11,124	15.56	14.48	13.40	12.32	11.24
3	12,597	12,422	12,247	12,072	11,897	11,722	24.22	22.47	20.72	18.97	17.22

### Actual Expenses for the Financial Year 2016-17

Plan	Total Recurring expenses as a percentage of Daily / Weekly average net assets	
	Edelweiss ASEAN Equity Off-shore Fund	JPMorgan Funds - ASEAN Equity Fund (Underlying Fund)
Direct Plan	0.52%~	0.95%
Regular Plan	1.82%~	0.95%

~ Excluding Goods and Service Tax.

### C. LOAD STRUCTURE

Load is an amount which is paid by the investor to redeem the Units from the Scheme. This amount is used by the AMC to pay commissions to the distributors and to take care of other marketing and selling expenses. Load amounts are variable and are subject to change from time to time. For the current applicable structure, please refer to the website of the AMC ([www.edelweissmf.com](http://www.edelweissmf.com)) or call their distributor.

#### 1. Entry Load:

Nil

#### 2. Exit Load:

For redemption	Exit Load (% of applicable NAV)
If redeemed within 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed within 12 months from the date of allotment of units of each installment of SIP purchase.	1.00%
If redeemed after 12 months from the date of allotment in respect of purchase made other than through SIP; and If redeemed after 12 months from the date of allotment of units of each installment of SIP purchase.	Nil

### Existing Investments:

- (a) Investors wishing to transfer their accumulated unit balance held under Regular Plan (through lumpsum / systematic investments made without Distributor code) to Direct Plan can switch / redeem their investments without any Exit Load.
- (b) Investors wishing to transfer their accumulated unit balance held under Regular Plan (through lumpsum / systematic investments made with Distributor code) to Direct Plan can switch / redeem their investments (subject to applicable Exit Load, if any).

### Credit of Exit Load to Scheme:

The exit load charged, if any, net of Goods and Service Tax shall be credited to the respective Scheme.

A switch-out or a withdrawal under SWP shall also attract an Exit Load like any Redemption.

All Loads for the Scheme shall be maintained in a separate account and may be utilised to meet the distribution and marketing expenses. Any surplus amounts in this account may be credited to the Scheme whenever considered appropriate by the AMC.

**To know the latest position on Load structure prior to investing / redemption, investors are advised to refer to the website of the AMC ([www.edelweissmf.com](http://www.edelweissmf.com)) or call at toll free number 1800 425 0090 (MTNL/BSNL) and non toll free number +91 40 23001181, Investors outside India can also contact their distributors.**

### Load exemptions, if any (Please refer below):

The investor is requested to check the prevailing Load structure of the Scheme before investing. Subject to the SEBI Regulations, the Trustee retains the right to change / impose an Entry / Exit Load, subject to the provisions below.

- (a) Any imposition or enhancement of Load in future shall be applicable on prospective investments only.
- (b) The AMC shall arrange to display a notice in all the ISCs / AMC office before changing the prevailing load structure. An addendum detailing the changes in Load structure will be attached to SIDs and Application Forms. Unit Holders / prospective investors will be informed of changed / prevailing Load structures through various means of communication such as public notice in one English daily newspaper having nationwide circulation as well as in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and / or display at ISCs / distributors' offices, on account statements, acknowledgements, investor newsletters, etc. The introduction of the Exit Load along with the details may be stamped in the acknowledgement slip issued to the investors on submission of the Application Form and may also be disclosed in the statement of accounts issued after the introduction of such load.
- (c) The Redemption Price will not be lower than 93% of the Applicable NAV and the Purchase Price will not be higher than 107% of the Applicable NAV, provided that the difference between the Redemption Price and the Purchase Price at any point in time shall not exceed the permitted limit as prescribed by SEBI from time to time, which is currently 7% calculated on the Purchase Price.

### D. TRANSACTIONS UNDER POWER OF ATTORNEY (PoA)

An applicant wishing to transact through a Power of Attorney must lodge a photocopy of the PoA attested by a notary public or the original

PoA (which will be returned after verification). Applications are liable to be rejected if the PoA in the manner as mentioned above is not submitted. The enclosure of the original PoA should be duly indicated in the Application Form / Transaction Slips. In circumstances where Units have been issued without submitting a valid PoA as specified above, Units under the folio cannot be redeemed unless a valid PoA has been submitted to the AMC.

### E. APPLICATION BY NON-INDIVIDUAL INVESTORS

In case of an application by a company, body corporate, society, mutual fund, trust or any other organisation not being an individual, a duly certified copy of the relevant resolution specifying the relevant personnel authorized to sign on behalf of the company to invest in the units of mutual fund(s) or a document providing evidence of the authority of the organisation to invest in units of mutual fund(s) such as the Scheme, along with the updated specimen signature list of authorized signatories (duly certified) must be lodged along with the Application Form / Transaction Slip at a Designated Collection Centre, if not submitted earlier. Further, the AMC may require that a certified copy of the incorporation deeds / constitutive documents (e.g. memorandum of association and articles of association) be submitted.

### F. MODE OF HOLDING

An application can be made by up to a maximum of three applicants. Applicants must specify the 'mode of holding' in the Application Form. If an application is made by one Unit Holder only, then the mode of holding will be considered as 'Single'.

If an application is made by more than one investors, they have an option to specify the mode of holding as either 'Joint' or 'Anyone or Survivor'. If the mode of holding is specified as 'Anyone or Survivor', an instruction signed by any one of the Unit Holders will be acted upon by the Mutual Fund. It will not be necessary for all the Unit Holders to sign the instructions.

In case of joint applications, if the investor has not mentioned the mode of holding, it shall be deemed as 'Anyone or Survivor'.

If the mode of holding is specified as 'Joint', all instructions to the Mutual Fund would have to be signed by all the Unit Holders, jointly. The Mutual Fund will not be empowered to act on the instruction of any one of the Unit Holders in such cases.

In all cases, all communication to Unit Holders (including account statements, statutory notices and communication, etc.) will be addressed to the Unit Holder whose name appears first in terms of priority in the Unit Holder register. All payments, whether for Redemptions, dividends, etc. will be made in favour of the first-named Unit Holder. Service of a notice on or delivery of a document to any one of several joint Unit Holders shall be deemed effective service on or delivery to the other joint Unit Holders.

Any notice or document so sent by post to or left at the address of a Unit Holder appearing in the Unitholder register shall, notwithstanding that such Unit Holder be then dead or bankrupt or otherwise and whether or not the Trustee or the AMC has notice of such death or bankruptcy or other event, be deemed to have been duly served and such service shall be deemed a sufficient service on all persons interested (whether jointly with or as claiming through or under the Unit Holder) in the Units concerned.

Investors should carefully study the section on 'Transmission of Units', 'Change in Guardian' and 'Nomination Facility' given in the SAI, before selecting the relevant box pertaining to the mode of holding in the Application Form.

## V. RIGHTS OF UNIT HOLDERS

Please refer to the SAI for details.

## VI. PENALTIES, PENDING LITIGATION OR PROCEEDINGS, FINDINGS OF INSPECTIONS OR INVESTIGATIONS FOR WHICH ACTION MAY HAVE BEEN TAKEN OR IS IN THE PROCESS OF BEING TAKEN BY ANY REGULATORY AUTHORITY

1. All disclosures regarding penalties and action(s) taken against foreign Sponsor(s) may be limited to the jurisdiction of the country where the principal activities (in terms of income / revenue) of the Sponsor(s) are carried out or where the headquarters of the Sponsor(s) is situated. Further, only top 10 monetary penalties during the last three years shall be disclosed.

N.A

2. In case of Indian Sponsor(s), details of all monetary penalties imposed and/ or action taken during the last three years or pending with any financial regulatory body or governmental authority, against Sponsor(s) and/ or the AMC and/ or the Board of Trustees /Trustee Company; for irregularities or for violations in the financial services sector, or for defaults with respect to share holders or debenture holders and depositors, or for economic offences, or for violation of securities law. Details of settlement, if any, arrived at with the aforesaid authorities during the last three years shall also be disclosed.

Nil

3. Details of all enforcement actions taken by SEBI in the last three years and/ or pending with SEBI for the violation of SEBI Act, 1992 and Rules and Regulations framed there under including debarment and/ or suspension and/ or cancellation and/ or imposition of monetary penalty/adjudication/enquiry proceedings, if any, to which the Sponsor(s) and/ or the AMC and/ or the Board of Trustees /Trustee Company and/ or any of the directors and/ or key personnel (especially the fund managers) of the AMC and Trustee Company were/ are a party. The details of the violation shall also be disclosed.

- In the matter of IPO of Electrosteel Steels Limited, Edelweiss Financial Services Limited, along with other Merchant Bankers have received an adjudication order dated March 31, 2016 from SEBI imposing penalty of Rs. 1,00,00,000/- on all the Merchant Bankers, which the Merchant Bankers are liable to pay jointly and severally.
- SEBI has passed an adjudication order dated November 28, 2014 in the matter of IPO of CARE Limited imposing penalty of Rs. 1,00,00,000/- on the Merchant Bankers, which the Merchant Bankers are liable to pay jointly and severally. Edelweiss Financial Services Limited along with other Merchant Bankers have filed an Appeal before Securities Appellate Tribunal against the Adjudicating order. The matter is currently pending.

4. Any pending material civil or criminal litigation incidental to the business of the Mutual Fund to which the Sponsor(s) and/ or the AMC and/ or the Board of Trustees /Trustee Company and/ or any of the directors and/ or key personnel are a party should also be disclosed separately.

Nil

5. Any deficiency in the systems and operations of the sponsor(s) and/ or the AMC and/ or the Board of Trustees/Trustee Company which SEBI has specifically advised to be disclosed in the SID, or which has been notified by any other regulatory agency, shall be disclosed.

Nil

### Notes:

1. Further, any amendments / replacement / re-enactment of SEBI Regulations subsequent to the date of the Document shall prevail over those specified in this Document.
2. The information contained in this Document regarding taxation is for general information purposes only and is in conformity with the relevant provisions of the Tax Act, and has been included relying upon advice provided to the Fund's tax advisor based on the relevant provisions prevailing as at the currently applicable Laws.
3. Any dispute arising out of this issue shall be subject to the exclusive jurisdiction of the Courts in India.

**Notwithstanding anything contained in the Scheme Information Document the provisions of the SEBI (Mutual Funds) Regulations, 1996 and the Guidelines there under shall be applicable.**



**INVESTOR SERVICE CENTERS (ISC) / OFFICIAL POINT OF ACCEPTANCE (OPA)**
**EDELWEISS ASSET MANAGEMENT LIMITED - ISC / OPA**

**Ahmedabad** : Ground Floor, Madhusudan House, Opp. Shail Building, Near Girish Cold Drink Cross Road, Off CG Road, Navrangpura, Ahmedabad - 380 009. Tel No. : 079 26461040/ 26461070 **Bengaluru** : Unit No. 912, 9th floor, Prestige Meridian-1, No.20 , M.G Road, Bengaluru -560001 Karnataka. Tel No. : 080-69001508 **Chandigarh** : Cabin No. 19, 2nd Floor of Meeting point, SCO 487-488, Sector 35-C, Chandigarh - 160035. Tel No. : 0172-5086890 / 2603771 **Chennai** : DBS Business Centre, DBS House, Room No. 220, 31A Cathedral Garden Road, Near Palmgrove Hotel, Nungambakkam, Chennai-600034 Tel No.: 044 40509200 Extn: 220 Fax No.: 044 40509300 **Hyderabad** : Room No.403, 4th Floor, Shree Prashanti Sai Towers, Teetos Business Centre, Plot no. 68, Nagarjuna Hills, Road no:1, Banjarahills, Near Punjagutta, Hyderabad, Telangana - 500082 , Ph no: +91 8297033388 **Indore** : 312-313, D.M. Tower, Third Floor, Race Course Road, Indore, Madhya Pradesh - 452001. Tel No. : 0731 6701522 **Kolkata**: Savitri Tower, 2nd Floor, 3A Upper Wood Street, Kolkata - 700017. Tel No. : 033 - 4421 8800 **Lucknow** : Cabin No. 102, A Small Store Trade Point, Ground Floor, Saran Chamber-1, 5-Park Road, Hazratgunj, Lucknow - 226001. Tel No. : 0522-4070679 **Mumbai** : 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai - 400098, Maharashtra. Tel No. : 022 4093 3400 / 4097 9821 **New Delhi** : 104, 5th Floor, Mercantile House, 15 Kasturba Gandhi Marg, New Delhi - 110 001. Tel No. : 011-43571105 **Pune** : Sunit Capital, 4th Floor, 402, B-50 , Vetalbaba Chowk, Above Mahalaxmi Maruti, Showroom, Senapati Bapat Road, Shivajinagar, Pune - 411016

**KARVY COMPUTERSHARE PRIVATE LIMITED - ISC / OPA**

UNIT : Edelweiss Mutual Fund, Karvy Selenium Tower B, Plot No 31 & 32, Gachibowli, Financial, District, Nanakramguda, Serilingampally , Hyderabad - 500 008 Tel: 040-67161500

**Agra** : 1st Floor, Deepak Wasan Plaza, Behind Holiday Inn, Sanjay Place, Agra - 282002. Tel.: 8899928346 • **Ahmedabad** : 201/202 Shail Complex, Opp. Madhusudan House, B/H Girish Cold Drink, Off C G Road, Navrangpura, Ahmedabad - 380006. Tel.: 079-65445550, 079-26402967 • **Ajmer** : 302, 3rd Floor, Ajmer Auto Building, Opposite City Power House, Jaipur Road, Ajmer - 305001. Tel.: 0145-5120725 • **Aligarh** : 1st Floor, Kumar Plaza, Ramghat Road, Aligarh - 202001. Tel.: 8899928347 • **Allahabad** : Rsa Towers, 2nd Floor, Above Sony Tv Showroom, 57, S P Marg, Civil Lines, Allahabad - 211001. Tel.: 8081127728 • **Ambala** : 6349, Nicholson Road, Adjacent Kos Hospitalambala Cant, Ambala - 133001. Tel.: 9541721382 • **Amritsar** : 72-A, Taylor'S Road, Opp Aga Heritage Club, Amritsar - 143001. Tel.: 0183-5053802 • **Anand** : B-42 Vaibhav Commercial Center, Nr Tvs Down Town Show Room, Grid Char Rasta, Anand - 380001. Tel.: 9662020623 • **Ankleshwar** : L/2 Keval Shopping Center, Old National Highway, Ankleshwar, Ankleshwar - 393002. Tel.: 02646 645326 • **Asansol** : 114/71 G T Road, Near Sony Centre, Bhanga Pachil, Asansol - 713303. Tel.: 9332095447 • **Aurangabad** : Ramkunj Niwas, Railway Station Road, Near Osmanpura Circle, Aurangabad - 431005. Tel.: 0240-2343414 • **Balasure** : M.S Das Street, Gopalgaon, Balasure, Orissa, Balasure - 756001. Tel.: 06782-260503 • **Bangalore** : 59, Skanda puttanna Road, Basavanagudi, Bangalore - 560004. Tel.: 080-26602852 • **Bareilly** : 1st Floor, 165, Civil Lines, opp. Hotel Bareilly Palace, Near Railway Station, Bareilly - 243001. Tel.: 8899928348 • **Baroda** : 203, Corner point, Jetalpur Road, Gujarat, Baroda - 390007. Tel.: 0265-2353506 • **Begusarai** : Near Hotel Diamond Surbhi Complex, O.C Township Gate, Kapasiya Chowk, Begusarai - 851117. Tel.: 7857015101 • **Belgaum** : Cts No. 3939/ A2 A1, Above Raymonds Show Room, Beside Harsha Appliances, Club Road, Belgaum - 590001. Tel.: 0831 2402544 • **Berhampur (Or)** : Divya Nandan Kalyan Mandap, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur (Or) - 760001. Tel.: 0680-2228106 • **Bhagalpur** : 2nd Floor, Chandralok Complex, Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001. Tel.: 7857015102 • **Bharuch** : Shop No 147-148, Aditya Complex, Near Kasak Circle, Bharuch - 392001. Tel.: 02642-229022 • **Bhavnagar** : Krushna Darshan Complex, Parimal Chowk, Office No. 306-307, 3rd Floor, Above Jed Blue Show Room, Bhavnagar - 364002. Tel.: 278-3003149 • **Bhilai** : Shop No -1, First Floor Plot No -1, Commercial Complex, Nehru Nagar - East, Bhilai - 490020. Tel.: 0788-2295999 / 2295332 • **Bhopal** : Kay Kay Business Centre, 133, Zone I, Mp Nagar, Above City Bank, Bhopal - 462011. Tel.: 0755-4092712, 0755-4092715 • **Bhubaneswar** : A/181 , Back Side of Shivam Honda Show Room, Saheed Nagar, Bhubaneswar - 751007. Tel.: 0674-6534585 • **Bokaro** : B-1, 1st Floor, City Centre, Sector- 4, Near Sona Chandi Jewellers, Bokaro - 827004. Tel.: 9204061959 • **Borivali** : Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali, Mumbai - 400 092. Tel - 022- 28916319 • **Burdwan** : 63 GT Road, Halder Complex 1st Floor, Burdwan - 713101. Tel.: 0342-2665140 • **Calicut** : 2nd Floor Soubhagya Shopping Complex, Arayidathpalam, Mavoor Road, Calicut - 673004. Tel.: 0495-4022480 • **Chandigarh** : Sco-2423-2424, Above Mirchi Restaurant, New Aroma Hotel, First Floor, Sector 22-C, Chandigarh - 160022. Tel.: 0172-5101342 • **Chennai** : F-11, Akshaya Plaza, 1st Floor, 108, Adhithanar Salai, Egmore, Opp to Chief Metropolitan Court, Chennai - 600002. Tel.: 044-42028512 • **Cochin** : Ali Arcade, 1st Floor, Kizhavana Road, Panampilly Nagar, Near Atlantis Junction, Ernakulam - 682036. Tel.: 0484 3000231 / 32 • **Coimbatore** : 3rd Floor, Jaya Enclave, 1057 Avinashi Road, Coimbatore - 641018. Tel.: 0422 - 4388011 • **Cuttack** : Opp Dargha Bazar Police station, Dargha Bazar, Po - Buxi Bazar, Cuttack - 753001. Tel.: 0-9238102118 (Tata Basephone) • **Dehradun** : Kaulagarh Road, Near Sirmaur Margabove, Reliance Webworld, Dehradun - 248001. Tel.: 8899928349 / 8899928350 • **Dhanbad** : 208 New Market, 2nd Floor, Bank More, Dhanbad - 826001. Tel.: 0326-6452027 • **Dharwad** : 307/9-A 1st Floor, Nagarkar Colony, Elite Business Center, Nagarkar Colony, P B Road, Dharwad - 580001. Tel.: 0836- 2744207 • **Durgapur** : MWAV-16 Bengal Ambuja, 2nd Floor City Centre, Distt. Burdwan, Durgapur - 713216. Tel.: 0343-6512111 • **Erode** : No: 4, Veerappan Traders Complex, KMY Salai, Sathy Road, Opp. Erode Bus Stand, Erode - 638003. Tel.: 0424-4021212 • **Faridabad** : A-2B, 3rd Floor, Neelam Bata Road, Peer ki Mazar, Nehru Groundnit, Faridabad - 121001. Tel.: 8287922816 • **Gandhidham** : Shop # 12, Shree Ambica Arcade, Plot # 300, Ward 12, Opp. CG High School, Near HDFC Bank, Gandhidham - 370201. Tel.: 02836 651296 • **Gaya** : 54 Lal Kothi Compound, Shree Krishna Road, 2nd Floor, North Side, Near Royal Surya Hotel, Gaya - 823001. Tel - 0631-2220065 • **Ghaziabad** : 1st Floor C-7, Lohia Nagar, Ghaziabad - 201001. Tel.: 8287059970 • **Gorakhpur** : Above V.I.P. House Ajdacent, A.D. Girls College, Bank Road, Gorakhpur - 273001. Tel.: 8081127735 • **Guntur** : D No 6-10-27, Srinilayam, Arundelpet, 10/1, Guntur - 522002. Tel.: 0863-2339094 • **Gurgaon** : Shop No. 18, Ground Floor, Sector - 14, Opp. Akd Tower, Near Huda Office, Gurgaon - 122001. Tel.: 8287956344 • **Guwahati** : 1st Floor, Bajrangbali Building, Near Bora Service Station, GS Road, Guwahati - 781007. Tel.: 8811036746 • **Gwalior** : 2nd Floor, Rajeev Plaza, Jayendra Ganj, Lashkar, Gwalior - 474009. Tel.: 9907342201 • **Haldwani** : Above Kapilaz, Sweet House, Opp LIC Building, Pilikothi, Kaladhungi Road, Haldwani - 263139. Tel.: 8899928351 • **Hissar** : SCO 71, 1st Floor, Red Square Market, Hissar - 125001. Tel.: 9541721383 • **Hubli** : CTC No.483/A1/A2, Ground Floor, Shri Ram Palza, Behind Kotak Mahindra Bank, Club Road, Hubli - 580029. Tel.: 0836-2252444 • **Hyderabad (Gachibowli)** : Karvy House, No:46, 8-2-609/K, Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500034. Tel.: 040-44857874 / 75/ 76 • **Hyderabad (Gachibowli)** : Karvy Selenium, Plot No: 31 & 32, Tower B, Survey No. 115/22, 115/24, 115/25, Financial District, Gachibowli, Nanakramguda,

Serilimgampally Mandal, Hyderabad - 500032. Tel.: 040-33215122 • **Indore** : 2nd floor, 203-205 Balaji Corporate House, Above ICICI bank, 19/1 New Palasia, Near Curewell Hospital, Janjeerwala Square Indore, Indore - 452001. Tel.: 0731-4266828/4218902 • **Jabalpur** : Karvy Computershare Private Limited 43, Naya Bazar, Opposite Shyam Talkies, Jabalpur-482001.Tel - 0761-3204376 • **Jaipur** : S16/A IIIrd Floor, Land Mark Building, Opp. Jai Club, Mahaver Marg, C Scheme, Jaipur - 302001. Tel.: 01414167715/17 • **Jalandhar** : 1st Floor, Shanti Towers, SCO No. 37, PUDA Complex, , Opposite Tehsil Complex, Jalandhar - 144001. Tel.: 0181-5094410 • **Jalgaon** : 269, Jaee Vishwa, 1st Floor, Baliram Peth, Above United Bank of India, Near Kishor Agencies, Jalgaon - 425001. Tel.: 9421521406 • **Jalpaiguri** : D B C Road, Opp Nirala Hotel, Opp. Nirala Hotel, Opp. Nirala Hotel, Jalpaiguri - 735101. Tel.: 03561-222136 • **Jammu** : Gupta's Tower, 2nd Floor, CB-12, Rail Head Complex, Jammu - 180012. Tel.: 0191-2470860 / 2458818 • **Jamnagar** : 136-137-138 Madhav Palaza, Opp SBI Bank, Nr. Lal Bunglow, Jamnagar - 361001. Tel.: 0288 3065810 • **Jamshedpur** : 2nd Floor, R. R. Square, SB Shop Area, Near Reliance Foot Print & Hotel- BS Park Plaza, Main Road, Bistupur, Jamshedpur - 831001. Tel.: 0657-6655003/ 6655004/ 6655005/ 6655006/ 6655007 • **Jhansi** : 371/01, Narayan Plaza, Gwalior Road, Near Jeevan Shah Chauraha, Jhansi - 284001. Tel.: 7851827396 • **Jodhpur** : 203, Modi Arcade, Chopasni Road, Jodhpur - 342001. Tel.: 0291-6454590 • **Kanpur** : 15/46, B, Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur - 208001. Tel.: 8081127738 / 8081127740 • **Karaikudi** : No. 2, Gopi Arcade, 100 Feet Road, Karaikudi - 630001. Tel.: 04565-237192 • **Karur** : No.6, old No.1304, Thiru-vi-ka Road, Near G.R.Kalyan Maha, Karur - 639001. Tel.: 04324-241755 • **Kharagpur** : 180 Malancha Road, Beside Axis Bank Ltd, Kharagpur - 721304. Tel.: 03222-253380 • **Kolhapur** : 605/1/4 E Ward, Shahupuri, 2nd Lane, Laxmi Niwas, Near Sultane Chambers, Kolhapur - 416001. Tel.: 0231 2653656 • **Kolkata** : Apeejay House (Beside Park Hotel), C Block, 3rd Floor, 15 Park Street, Kolkata - 700016. Tel.: 033 66285900 • **Kota** : 29, 1st Floor, Near Lala Lajpat Rai Circle, Shopping Centre, Kota - 324007. Tel.: 0744-5100964 • **Kottayam** : 1st Floor Csiascension Square, Railway Station Road, Collectorate P O, Kottayam - 686002. Tel.: 0481-2300868/2302420 • **Lucknow** : 1st Floor, A. A. Complex, 5 Park Road, Hazratganj, Thaper House, Lucknow - 226001. Tel.: 8081127722 • **Ludhiana** : Sco - 136 , 1st Floor, Above Airtel Showroom, Feroze Gandhi Market, Ludhiana - 141001. Tel.: 0161-4648747 • **Madurai** : Rakesh towers, 30-C, 1st floor, Bye pass Road, Opp. Nagappa motors, Madurai - 625010. Tel.: 0452-2605856 • **Malda** : Sahis Tuli, Under Ward No.6, No.1 Govt Colony, English Bazar Municipality, Malda - 732101. Tel.: 03512-223763 • **Mangalore** : Mahendra Arcade, Opp. Court Road, Karangal Padi, Mangalore - 575003. Tel.: 0824-2496289 • **Margao** : 2nd Floor, Dalal Commercial Complex, Pajifond, Margao - 403601. Tel.: 0832-2731823 • **Mathura** : Ambey Crown, 2nd Floor, In Front Of Bsa College, Gaushala Road, Mathura - 281001. Tel.: 8899928354 • **Meerut** : 1st Floor, Medi Centre, Opp Icici Bank, Hapur Road, Near Bachha Park, Meerut - 250002. Tel.: 8899928339 • **Mehsana** : Ul/47 Apollo Enclave, Opp Simandhar Temple, Modhera Cross Road, Mehsana - 384002. Tel.: 02762-242950 • **Moradabad** : Om Arcade, Parker Road, Above Syndicate Bank, Chowk Tari Khana, Moradabad - 244001. Tel.: 8899328356 • **Mumbai** : 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bse Bldg, Fort - 400001. Tel.: 022-66235353 • **Muzaffarpur** : First Floor, Shukla Complex, Near ICICI Bank, Civil Court Branch, Company Bagh, Muzaffarpur - 842001. Tel.: 7857015105 • **Mysore** : L-350, Silver Tower, Ashoka Road, Opp. Clock Tower, Mysore - 570001. Tel.: 0821-2438006 • **Nadiad** : 104/105, Near Paras Cinema, City Point Nadiad, Nadiad - 387001. Tel.: 0268-2563245 • **Nagercoil** : 45, East Car Street, 1st Floor, Nagercoil - 629001. • **Nagpur** : Plot No 2/1 House No 102/1, Mata Mandir Road, Mangaldeep Apartment Opp Khandelwal Jewelers, Dharampeth, Nagpur - 440010. Tel.: 0712-2533040 • **Nasik** : F-1, Suyojit Sankul, Sharanpur Road, Near Rajiv Gandhi Bhavan, Nasik - 422002. Tel.: 0253-6611395 • **Navsari** : 103, 1st Floore Landmark Mall. Near Sayaji Library, Navsari Gujarat - 396445. • **New Delhi** : 305 New Delhi House , 27 Barakhamba Road, New Delhi - 110001. Tel.: 011- 43681700 • **Noida** : 405,4th Floor,Vishal Chamber, Plot No.1,Sector-18, Noida - 201301. Mob - 8287831058. • **Panipat** : JAVA Complex, 1st Floor, Above Vijaya Bank, G T Road, Panipat - 132103. Tel.: 9541721384 • **Panjim** : Flat No.1-A, H. No. 13/70, Timotio Bldg, Heliodoro Salgado Road, Next to Navhind Bhavan (Market Area), Panjim - 403001. Tel.: 0832-2426873 • **Patiala** : Sco 27 D, Chotti Baradari, Near Car Bazaar, Patiala - 147001. Tel.: 0175-5004349 • **Patna** : 3A, 3rd Floor Anand Tower, Exhibition Road, Opp Icici Bank, Patna - 800001. Tel.: 0612-4323066 • **Pondicherry** : Building No:7, 1st Floor, Thiayararaja Street, Pondicherry - 605001. Tel.: 0413 2220640 • **Pune** : Mozaic Bldg, CTS No.1216/1, Final, Plot No.576/1 TP, Scheme No.1, F C Road, Bhamburda, Shivaji Nagar, Pune - 411004. Tel.: 020-66496700 / 66496701 • **Raipur** : Shop No-TF-31, 3rd Floor, Millenium Plaza, Near Old Indian Coffee House, G E Road, Raipur - 492001. Tel.: 0771-4052620 • **Rajahmundry** : D.No.6-1-4, Rangachary Street, T.Nagar, Near Axis Bank Street, Rajahmundry - 533101. Tel.: 0883-2434469 • **Rajkot** : 302, Metro Plaza, Near Moti Tanki Chowky, Rajkot, Gujarat - 360001. Tel : 0281-6545888 • **Ranchi** : Room No 307 3rd Floor, Commerce Tower, Beside Mahabir Tower, Ranchi - 834001. Tel.: 0651-2331320 • **Rohtak** : 1st Floor, Ashoka Plaza, Delhi Road, Rohtak - 124001. Tel.: 9541721385 • **Rourkela** : 1st Floor, Sandhu Complex, Kachery Road, Uditnagar, Rourekla - 769012. Tel.: 0661-2500005 • **Saharanpur** : 18 Mission Market, Court Road, Saharanpur - 247001. Tel.: 8899928353 • **Salem** : NO 3/250, Brindavan Road, 6th Cross, Perumal kovil back side, Fairland's, Salem - 636016. Tel.: 0427-4020300 • **Sambalpur** : Koshal Builder Complex, Near Goal Bazaar Petrol pump, Sambalpur - 768001. Tel - 0663-2533437 • **Secunderabad** : Crystal Plaza, 2nd Floor, Manday Lane, Near Sunshine Hospital, P G Road, Secunderabad - 500 003. Tel.: 8985469607 • **Shillong** : Annex Mani Bhawan, Lower Thana Road, Near R K M Lp School, Shillong - 793001. Tel.: 0364 - 2506106 • **Shimla** : Triveni Building, By Pas Chowkhhallini, Shimla - 171002. Tel.: 8263860395 • **Shimoga** : Sri Matra Naika Complex, 1st Floor, Above Shimoga Diagnostic Centre, Llr Road, Durgigudi, Shimoga - 577201. Tel.: 08182-228799 • **Silchar** : N.N. Dutta Road, Chowchakra Complex, Premtala, Silchar - 788001. Tel.: 3842261714 • **Siliguri** : Nanak Complex, Sevoke Road, Siliguri - 734001. Tel.: 0353-2522579 • **Surat** : G-5 Empire State Buliding, Nr Udhna Darwaja, Ring Road, Surat - 395002. Tel.: 0261-2311100 / 2339100 • **T Nagar** : G1, Ground Floor, No 22, Vijayaraghava Road, Swathi Court, T Nagar, Chennai - 600 017. Tel.: 044 - 28151034 • **Thane** : 101, Yaswant Tower, 1st Floor, Opposite Puja Hotel, Ram Maruti Road, Naupada Thane (West), Mumbai - 400 007. Tel.: 022 25428475 • **Tirunelveli** : 55/18, Jeney Building, S N Road, Near Aravind Eye Hospital, Tirunelveli - 627001. Tel.: 0462 2335137 • **Tirupur** : First floor, 244 A, Above Selvakumar Dept stores, Palladam Road, Opp to Cotton market complex, Tirupur - 641604. Tel.: 0421-2214221 • **Trichur** : 2nd Floor, Brothers Complex, Naikkanal Junction, Shornur Road, Near Dhanalakshmi Bank H O, Thrissur - 680001. Tel.: 0487- 6999987 • **Trichy** : 60, Sri Krishna Arcade, Thennur High Road, Trichy - 620017. Tel.: 0431-4020227 • **Trivandrum** : 2nd Floor, Akshaya Tower, Sasthamangalam, Trivandrum - 695010. Tel.: 0471 - 2725728 • **Udaipur** : 201-202, Madhav Chambers, Opp G P O , Chetak Circle , Udaipur - 313001. Tel.: 0294 2429370 • **Valsad** : Shop No 2 , Phiroza Corner, Opp Next Show Room, Tithal Road, Valsad - 396001. Tel.: 02632-258481 • **Vapi** : Shop No-12, Ground Floor, Sheetal Appatment, Near K P Tower, Vapi - 396195. Tel.: 9228012909 • **Varanasi** : D-64/1321St Floor, Anant Complex, Sagra, Varanashi - 221010. Tel.: 8081127746 • **Vellore** : 1, M N R Arcade, Officers Line, Krishna Nagar, Vellore - 63200.1 Tel - 0416 2215007 • **Vijayawada** : 39-10-7, Opp : Municipal Water Tank, Labbipet, Vijayawada - 520010. Tel.: 0866-6604032/39/40 • **Vile Parle** : V P Road, Opp: Railway Station, Above Axis Bank Atm, Vile Parle (west), Mumbai - 400 056. Tel.: 022-26100967 • **Visakhapatnam** : Door No: 48-8-7, Dwaraka Diamond, Ground Floor, Srinagar, Visakhapatnam - 530016. Tel.: 0891-2714125 • **Warangal** : 5-6-95, 1st Floor, Opp: B.Ed Collage, Lashkar Bazar, Chandra Complex, Hanmakonda, Warangal - 506001. Tel.: 0870-2501664



**Edelweiss Asset Management Limited (CIN - U65991MH2007PLC173409)**

Registered Office: Edelweiss House, Off. C.S.T Road, Kalina, Mumbai 400098

Corporate Office: 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400098, Maharashtra

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Website: [www.edelweissmf.com](http://www.edelweissmf.com)