

TRIGGER FORM (REGISTRATION / CANCELLATION)

Sponsor: Edelweiss Capital Limited. Trustee Company: Edelweiss Trusteeship Company Limited. Investment Manager: Edelweiss Asset Management Limited.
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REGISTRATION of ACTION ALERT

CANCELLATION of ACTION ALERT

I UNIT HOLDER INFORMATION (Mandatory)

Folio No / Application No.

Name of the Sole/ 1st Applicant

II TRANSACTION DETAILS (Mandatory)

Scheme Plan Option

Investment Amount Transaction Date

III TRIGGER OPTION

Please (✓)

- 1) Investment Value reaches Rs. _____ (if value already reached before registration, trigger request will be rejected)
- 2) Investment value appreciated by Rs. _____ from the trigger registration
- 3) Investment value appreciated by _____ % from the trigger registration
- 4) Stop Loss for Rs. _____ from trigger registration
- 5) Stop Loss for _____ % on investment value from trigger registration
- 6) NAV reaches Rs. _____ (if value already reached before registration, trigger request will be rejected)
- 7) NAV appreciated by _____ % from trigger registration
- 8) BSE Sensex Rise /Fall / Rise or Fall (Please (✓) one only) by _____ points from trigger registration
- 9) BSE sensex reaches _____ points
- 10) NSE Nifty Rise /Fall / Rise or Fall (Please (✓) one only) by _____ points from trigger registration
- 11) NSE Nifty reaches _____ points
- 12) Trigger Alert/Active on or _____ number of days
- 13) Expiry Day Trigger Month year *
- 14) Expiry after Months from trigger registration *

* Expiry Day trigger facility is currently applicable for Edelweiss NIFTY Enhancer Fund, Edelweiss Diversified Growth Equity Top 100 (E.D.G.E. Top 100) Fund and Edelweiss Absolute Return Equity Fund. Trigger will be actioned only on last Thursday of the month specified, provided the trigger request is received on any business day up to and including Friday preceding such a last Thursday of the specified month. Action base trigger facility in ELSS scheme for redemption/switch out will be available only after expiry of Lock-in-period.

A) Action Based Trigger (Please (✓) Any one)

- Full Redemption
- Redeem _____ number of units
- Redeem Rs. _____
- Redemption of Gains
- Full switch to _____ scheme _____ plan _____ option _____
- Switch _____ number of units to _____ scheme _____ plan _____ option _____
- Switch Rs. _____ to _____ scheme _____ plan _____ option _____
- Switch gain amount to _____ scheme _____ plan _____ option _____
- Switch original investment amount to _____ scheme _____ Plan _____ option and redeem gain.
- Switch gain amount to _____ scheme _____ Plan _____ option and Original investment amount to be redeemed.

OR

B) Alert based Triggers (Default option if no action is specified)

Mode of Alerts SMS (Please provide Mobile No.) Email (Please provide email ID)

C) For switching the units through trigger from one scheme to another scheme please process my transaction with ARN - _____ (ARN number to be mentioned). If direct please mention as Direct. Default ARN code is Direct.

D) If only Gains are to be redeemed or switched, then please specify whether the relevant Trigger opted for remains alive for subsequent activation on the original investment until advised: Please (✓) Yes No (Applicable for 1, 2 & 3 Trigger Option only)

IV DECLARATION

I/We have read & understood the Terms & Conditions of Trigger Facility & accept & abide by the same. I/we have received, read & understood the SID & SAI & Key Information Memorandum. If the trigger is not activated and/or implemented due to reasons, which are beyond the control of Edelweiss AMC, the AMC would not be held responsible. Trigger is only a facility extended by the AMC for the convenience of the unit holders and does not form part of any scheme objectives.

Place : _____ Date

1st Applicant	2nd Applicant	3rd Applicant
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Trigger Simplified

1. What is a Trigger?

Trigger is a financial planning tool provided to the Unit holder for information (alert based trigger) / initiating action (action based trigger). The Unit holder can specify a specific event/action, which may be related to time or value or a specific event/action in advance and when this event/action takes place the trigger will get activated. If the Unit holder has opted for alert based trigger, this facility will "ALERT" (via Mail/SMS) the Unit holder after meeting the specifications provided by him/her. If the Unit holder has opted for action based trigger, the system will process the transaction (redemption/switch etc) on the basis of the specifications provided by the Unit holder.

2. How is a Trigger useful in financial planning?

Trigger facility helps the Unit holders to minimize the loss and/or timely book the profits. Also trigger is an additional facility provided to the Unit holders to save time on completing the redemption/switch formalities on happening of a particular predetermined event.

3. Who sets/ defines the trigger?

The Unit holder has to set the Trigger.

4. Who executes the Trigger?

The AMC will execute the trigger on the Unit holder's behalf.

5. How does the Trigger work?

A Trigger will activate a transaction / alert when the event selected for has reached the value greater than/ equal to the specified particular value i.e. Trigger Point.

Consider the example: Mr. A bought 1000 units at NAV of Rs 12.00. If Mr. A decides that he wants to redeem all his units when the NAV reaches Rs. 13.00, he has to keep track of the NAV daily and then send a redemption request within a stipulated time period to get the redemption returns at the NAV of the intended day. However, instead of keeping a track of the NAV everyday, Mr. A can set a Trigger: To redeem all units when NAV is Rs 13 or more. In this case, the AMC will keep track on behalf of Mr. A and redeem his units on the day when the NAV reaches Rs 13 or more.

Thus Trigger provides a convenient and useful financial planning tool.

6. What are the different kinds of triggers available to the Unit holders?

The various types of Triggers available to the Unit holder are:

- A. Time based Triggers: Time based triggers are actioned on the day and /or date opted by the investor like Expiry Day Trigger. If Investor opted particular day or date trigger for redemption of specified amount to buy some gift for his/her mother's birthday, a trigger could be set based on the date as requested by the Unitholder.
- B. Event-based triggers: Unit holder can also set triggers based on the occurrence of a particular external event that affects the value of investment. For example,
 - Value of investment reaches or crosses a particular value
 - Investment Value appreciates by specified %
 - Capital appreciation of a particular amount
 - NAV reaches or crosses a particular value
 - NAV appreciates by specified %
 - Redemption/Switch on a particular day or date
 - BSE Sensex Rise/Fall by specific points
 - BSE Sensex reaches specific points
 - NSE NIFTY Rise/Fall by specific points
 - NSE NIFTY reaches specific points
 - Change in the value of units held by unit holders at least by certain percentage
- C. Expiry Day Trigger: Expiry Day is the settlement day for derivatives segment in the relevant Stock Exchange (which is currently last Thursday of the month or any day which is declared as the settlement day for Derivatives segment in case of NSE.) This is an additional facility offered to the investors whereby the investor can avail discounted exit load by opting for Full/Partial redemption/Switch of Units/Amount on Expiry Day.

7. What are the various options available to the Unit holders on the activation of action based Trigger?

On the day of the happening of the event the Unit holder can choose from the following options

- Full redemption
- Redemption of certain number of units
- Redemption of certain amount
- Redemption of Gain amount
- Full switch into other schemes of Edelweiss Mutual Fund
- Switch of certain number of units
- Switch of certain amount
- Switch of only gains into other schemes of Edelweiss Mutual Fund
- Switch original investment amount in any scheme of Edelweiss Mutual Fund and redemption of gains.
- Switch Gain amount in any scheme of Edelweiss Mutual Fund and redemption of original investment amount.

8. Can a Unit holder apply for Trigger for multiple transactions in one Trigger request?

No. Use separate trigger application form for each transaction of a scheme/plan /option.

9. Will the intra-day points of BSE/NSE be considered for transactions on the day of the activation of the Trigger?

No. Only end of the day closing points of BSE/NSE will be considered for activating the trigger. Percentage rise/falls will be calculated by taking the base index as on the investment date.

10. What is the base for activation of the Trigger?

If the trigger is a time based trigger, the time event prescribed by the Unit holder would be the base of activation of the trigger. If the trigger is event based, the happening of the event prescribed by the Unit holder is the base for activation of the Trigger.

11. Which value will be considered for trigger execution in Investment Value/NAV change?

The end of the day NAV based Price of Investment (adjusted for entry loads, if any) on the day of registration of the trigger will be compared with the NAV based Price of Investment (without considering exit load) of each subsequent business day till the occurrence of the trigger event prescribed by the Unit holder.

12. How is the application for a trigger made?

The application for a trigger is made by submitting the duly filled and signed Trigger application form at designated Investor Service Centers of Edelweiss Mutual Fund.

13. Where the Trigger Form is available?

Trigger Form is available at all designated Investor Service Centers of Edelweiss Mutual Fund and website www.edelweissmf.com.

14. Can a trigger once activated be set again?

Yes. You can select the repetitive trigger option available in Trigger Form. However, if the said option is not selected, a fresh request for activation of the same has to be made.

15. Can a set trigger be modified?

Yes, the Trigger can be modified by submitting a duly signed request letter. Three (3) days time is required to update the modified trigger request at our end.

16. Is there a limit on number of triggers options that can be set?

No. Unit holder can select multiple Trigger options available in the form. However, out of selected options, trigger will be actioned only for the option which meets the set criteria prior to other options.

17. How is the Unit holder informed about the execution of the trigger?

The Unit holder is informed about the execution and the subsequent transaction through the SMS/physical/E-mail account statements as opted in Investment Application.

18. Can I submit trigger request after partial redemption/ switch out?

In case Trigger request is received after partial redemption/switch-out from the Original Invested amount, then the said trigger will be actioned after fulfillment of the Original invested amount.

Example: - Investor invested Rs. 3,00,000 on 1st of January, and redeemed Rs. 5,000/- on 10th of January. Trigger request for appreciation is received for transaction (of Rs. 3,00,000/-) on 15th January. Trigger will be actioned after the original invested amount reaches Rs. 3,00,000/-