

APPLICATION FORM NO.



EDELWEISS
ASSET MANAGEMENT

**GIFT
CITY**

Edelweiss Asset Management Limited (IFSC Branch)

Edelweiss Greater China Equity Fund (Individual)

An Open-Ended Retail Scheme managed by
Edelweiss Asset Management Limited (IFSC Branch)

First Holder Name	
Second Holder Name	
Third Holder Name	
Name of Distributor	
ARN Number	
Sub Broker Code	
Distributor - Relationship Manager Name	
Distributor - Relationship Manager Email ID	
Distributor - Service Manager Name	
Distributor - Service Manager Email ID	
Edelweiss - Relationship Manager	
Edelweiss - Relationship Manager Email ID	

Edelweiss Asset Management Limited (IFSC Branch)

Branch Address: Unit No. 503, Pragya 2 Building, GIFT SEZ, GIFT City, Gandhinagar - 382355, Gujarat, India
Corporate Address: Edelweiss House, 10th Floor, off CST Road, Kalina, Mumbai - 400098, Maharashtra, India
Email: emfgift@edelweissmf.com

In case of person resident in India, supporting documents shall be as specified in the Investor Application Form. Please ensure that all the supporting documents are self-attested by the Investor and In-person verification (IPV) Stamp with Employee Name, Designation, Contact details, Name of the Organization, Employee Code, Signature, Date & company stamp. Original seen & verified (“OSV”) stamp is also required. In case of Non-Resident Individuals, the KYC and supporting documents shall be Certified to be “True Copy” and shall be certified by the following authorised officials outside India: a) Authorized official of Bank in FATF compliant jurisdiction b) Notary Public c) Court Magistrate d) Judge e) Certified public or professional accountant f) Lawyer g) The embassy or consulate general of the country of which the Investor is citizen.
To be filled in BLOCK LETTERS IN ENGLISH. Form submitted without proper documents or improperly attested documents is liable to be rejected. Form should be filled in legible writing, any cancellation/corrections should be counter signed by the Investor(s).
SWIFT transfer for subscription amount to be in favor of – “Edelweiss Greater China Equity Fund” (Fund). Please provide a cancelled personalized cheque or Bank statement to facilitate electronic transfers at the time of redemption. Investor should make payment from their own bank account only, as third-party payments are not allowed.
The name(s) of the Investor including joint holders must be the same as mentioned in the Proof of Identification provided.
In this Application Form, the address to be mentioned must be the permanent address. In cases, where communication address differs from the registered address, then proof of address should be provided for both the addresses.
If the application is signed under Power of Attorney (PoA), such PoA or a duly notarized copy thereof must be submitted with application. In addition, all the supporting documents must be submitted for both the Investors and POA holder.
It is mandatory to provide Mobile Number and Email Id for effective communication
Please specify Mode of Holding for operating the investment, if there is more than one applicant. If the Mode of Holding is not specified, the same would be treated as Joint.

CHECKLIST FOR INDIVIDUALS

For Individual/ Joint holder/ Minor

Sr. No.	Description	Check Box
	Documentation	
For Individual/ Joint Holders	Self-Attested copies of Identity Proof & Address Proof of Individual/ joint Holders. Identity Proof: Copy of Passport or Driving license or Copy of National Identity Card or Proof of possession of Aadhar number (for Indian Nationals) or Identity card with applicant's photograph issued by Central/State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions. In case of Resident Indian and Non-Resident Indian copy of PAN Card shall be required. Address Proof: Copy of Passport or Driving license; copy of Utility Bill (not more than two months old), ,property/ Municipal tax receipt/ city council tax receipt, pension or family Pension Payment Orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address, letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings scheduled commercial banks, financial institutions and listed companies and leave and license agreements with such employers allotting official accommodation. In case of foreign national the documents issued by the Government departments of foreign jurisdictions or letter issued by the Foreign Embassy or Mission in India shall be accepted as proof of address.	☐
	Cancelled Cheque Leaf for registered bank/ Bank Statement / SWIFT Copy (should be personalized and bearing the name of the investor)	☐
	Relationship Proof (Marriage Certificate or both Passports with spouse name)* * In case of Joint holders	☐
For Minor	Age Proof of Minor (Birth Certificate or School Certificate)	☐
	Copies of Identity Proof & Address Proof of Minor attested by Guardian	☐
	Self-Attested Copy of Identity Proof & Address Proof of Guardian	☐
	Cancelled Cheque Leaf for registered bank (should be personalized and bearing the name of the Guardian)/ Bank Statement/ SWIFT Copy	☐
1.	Filled Application Form	☐
2.	FATCA & CRS Declaration (To be Provided for Individual/Joint Holder/Minor/Guardian and to be provided by the investor every year)	☐
3.	Declaration From NRI/PIO Investors	☐
4.	CKYC Form	☐

In the case of joint investors, please provide the KYC documents for each investor, duly signed by each investor. Documents to be signed by the Guardian on behalf of Minor.

Bank Account details for - (SWIFT Fund Transfer)

Nature of information	
Account Name	Edelweiss Greater China Equity Fund
Account Number	8052153682
Bank Name	KOTAK MAHINDRA BANK LTD
Bank Swift Code	KKBKINB2XXX
IFSC Code	GIFTOKKKBK01
Bank Address	IFSC Banking Unit, Kotak Mahindra Bank Ltd, 10th Floor, Hiranandani Signature, Block No. 13, Zone – 1, GIFT SEZ, GIFT City, Gandhinagar – 382050, Gujarat, India.
Correspondent Bank	Citi Bank, New York.
Correspondent Bank SWIFT CODE	CITIUS33XXX
Correspondent Bank A/c No	36433828
Remittance Purpose	For Subscribing Units of Edelweiss Greater China Equity Fund

* Please use Correspondent Bank details only where the remittance cannot be made directly to the designated Kotak Mahindra Bank account, using Correspondent Bank deduction of charges for services provided by Correspondent Bank.



This page is intentionally left blank

Edelweiss Greater China Equity Fund

(APPLICATION FORM FOR INDIVIDUALS)



EDELWEISS
ASSET MANAGEMENT

GIFT
CITY

I	GENERAL INFORMATION (Please ✓)		☐ Resident Individual ☐ NRI ☐ OCI ☐ PIO ☐ Minor
Name of the Sole/First Applicant (As per POI) Mr./Mrs./Ms. Date of Birth Gender: ☐ Male ☐ Female PAN : CKYCR/ CERSAI No./ KIN No.			
Name of the Guardian (In case of Minor) / POA Holder Relationship to Minor: _____ Mr./Mrs./Ms. Date of Birth Gender: ☐ Male ☐ Female PAN : CKYCR/ CERSAI No./ KIN No.			
Name of the Second Applicant (As per POI) Relationship to First Holder: _____ Mr./Mrs./Ms. Date of Birth Gender: ☐ Male ☐ Female PAN : CKYCR/ CERSAI No./ KIN No.			
Name of the Third Applicant (As per POI) Relationship to First Holder: _____ Mr./Mrs./Ms. Date of Birth Gender: ☐ Male ☐ Female PAN : CKYCR/ CERSAI No./ KIN No.			
*Mode of Holding/operating (Please ✓) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)			
Correspondence Address of First / Sole Applicant / Guardian (in case of Minor)			
Bldg. / Street / Locality City/Town State Postal Code Country			
Permanent Address of First / Sole Applicant / Guardian (in case of Minor)			
Bldg. / Street / Locality City/Town State Postal Code Country			
Contact Details of First / Sole Applicant / Guardian (in case of Minor)			
Tele. [STD/ISD Code] Mobile No. Country Land Line Phone No.: Office No.: Email ID Alt Email ID			
II	INVESTMENT DETAILS		
Scheme Name		Edelweiss Greater China Equity Fund Plan : ☐ Regular ☐ Direct	
Placement Fee		_____ % (to be deducted from the Gross Subscription Amount, only allowed under Regular Plan)	
III	SUBSCRIPTION AMOUNT		
Amount (USD)	(In figures)		
	(In words)		
Bank Account No.			
Name of the Bank &			
Branch, City, Country			
Subject to Realization of amount. SWIFT copy / fund transfer details / proof should be shared. All amounts to be sent gross of Bank charges and/or any other deductions. Any subscription amount received lesser than the Initial Subscription Amount shall be considered as an incomplete application.			



IV BANK ACCOUNT DETAILS: Attach copy of cheque leaf / Bank statement (Investor should provide funds from below bank account or provide cheque leaf if fund given from some other bank a/c. All Payments by the Fund will be made directly to this Account.

Account Number/IBAN	Account Type [Please ✓] ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR
Name of Bank / Branch	
City/Town	State
Postal Code	Country
SWIFT Code	IFSC Code

V Additional KYC Details

Occupation	Business	Service	Professional	Agriculturist	Housewife	Student	Defence	Bureaucrat	Forex Dealer	Unlisted Company	Body Corporate	Listed Company	Others
First Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐ _____
Second Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐ _____
Third Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐ _____
Guardian	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐ _____

Gross Annual Income Details (USD)	Upto 150,000	150,000 - 250,000	250,000 - 350,000	350,000 - 450,000	450,000 - 550,000	>550,000	NET-WORTH in USD	Date
First Applicant	☐	☐	☐	☐	☐	☐	USD _____ (in figures)	DD/MM/YYYY
Second Applicant	☐	☐	☐	☐	☐	☐	USD _____ (in figures)	DD/MM/YYYY
Third Applicant	☐	☐	☐	☐	☐	☐	USD _____ (in figures)	DD/MM/YYYY
Guardian	☐	☐	☐	☐	☐	☐	USD _____ (in figures)	DD/MM/YYYY

PEP DETAILS	First Applicant	Second Applicant	Third Applicant	Guardian
Are you a Politically Exposed Person (PEP)	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
Are you related to a Politically Exposed Person (PEP)	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

VI NOMINATION DETAILS (Mandatory)

☐ I/We Wish to nominate ☐ I/We Do not wish to Nominate						
Sr. No.	Nominee Name and Address	Date of Birth	Relationship with the Applicant	Guardian Name & Address (In case Minor is a Nominee)	Allocation %	Nominee/Guardian Signature
Nominee 1		DD/MM/YYYY				
Nominee 2		DD/MM/YYYY				
Nominee 3		DD/MM/YYYY				



VII

DECLARATIONS AND SIGNATURE(S)

I/We hereby declare that the information, documents and details provided in this Application Form and otherwise in connection with this investment are true, accurate and complete, and undertake to promptly inform the Fund Management Entity ("FME") of any changes thereto. I/We confirm that the monies invested in the Scheme are derived from legitimate sources, beneficially belong to me/us, and that the investment is being made in compliance with all applicable laws and regulations.

I/We acknowledge that investments in the Scheme are subject to market risks and that neither the FME nor its directors, employees, affiliates, distributors, agents, trustees, custodians, or service providers guarantee any returns or protection of capital. I/We have read and understood the Offer Document / PPM of the Scheme and agree to be bound by its terms and conditions, as amended from time to time.

I/We agree to provide such information, documents, declarations and clarifications as may be required by the FME, IFSCA or any other regulatory, governmental or statutory authority for compliance, due diligence, anti-money laundering, tax, legal or regulatory purposes. I/We understand that failure to furnish such information may result in rejection, suspension or cancellation of my/our application or investment, as may be permitted under applicable laws.

I/We authorize the FME and its authorized representatives, affiliates, trustees, custodians, distributors and service providers to collect, verify, process, store, use and disclose my/our information and documents to regulators, governmental authorities, statutory bodies, judicial authorities, auditors and service providers as required under applicable laws and regulations.

Where applicable, I/We confirm that this investment complies with the provisions of the Foreign Exchange Management Act, 1999, and regulations, rules, circulars and directions issued thereunder, as amended from time to time, and that all necessary approvals, filings and reporting requirements shall be duly complied with by me/us.

I/We consent to the collection, processing, storage and sharing of my/our personal information for investor servicing, regulatory reporting, compliance and related lawful purposes and agree to receive communications, account statements, notices and reports through electronic means, including email and other digital communication channels.

I/We confirm that I/we have read, understood and agree to comply with the provisions of the **IFSCA (Fund Management) Regulations, 2025**, the Prevention of Money Laundering Act, 2002 along with IFSCA AML, KYC and CFT Guidelines, and all other applicable laws and regulations governing the Scheme.

Sole/First Applicant/Guardian/POA Holder	Second Applicant	Third Applicant
PHOTO*	PHOTO*	PHOTO*
Signature	Signature	Signature

Place: _____

Date:

D

D

M

M

Y

Y

Y

Y

* Mandatory

Edelweiss Greater China Equity Fund

(FATCA CRS Self certification form for Individuals)

Part I — FATCA & CRS Details For Individuals (Mandatory) Non Individual Investors should mandatorily fill separate FATCA/CRS details form

Please indicate all Countries in which you are a resident for tax purpose, associated Tax payer Identification Number and it's Identification type eg. TIN etc.

Is the applicant(s)/ guardian's Country of Tax Residency other than India? ☐ Yes (If Yes, below details are mandatory) ☐ No								
Sole / First Applicant			Second Applicant			Third Applicant		
Country #	Tax Payer Ref ID No. %	Identification Type [TIN or other, please specify]	Country #	Tax Payer Ref ID No. %	Identification Type [TIN or other, please specify]	Country #	Tax Payer Ref ID No. %	Identification Type [TIN or other, please specify]
1.			1.			1.		
2.			2.			2.		
3.			3.			3.		
Place of Birth _____			Place of Birth _____			Place of Birth _____		
Country of Birth _____			Country of Birth _____			Country of Birth _____		
Country of Nationality _____			Country of Nationality _____			Country of Nationality _____		
In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided. % In case Tax Identification Number is not available, kindly provide its functional equivalent								

Part II — Self-Certification

To be filled only if (a) Name of the country in Part I is other than India and TIN or functional equivalent is not available, or (b) US person is mentioned as Yes in Part I, and TIN is not available. I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.		 Signature	
Passport		Election ID Card	
Employment ID		Bank Passbook	

The Indian Government signed an Inter-Governmental Agreement (IGA) with the United States (US) on 9th July 2015 to implement the Foreign Account Tax Compliance Act (FATCA) in India. According to the IGA read with the FATCA regulations, foreign financial institutions (FFIs) in India are required to report tax information about US account holders to the Indian Government which will, in turn, relay that information to the US Internal Revenue Service (IRS) and vice versa. India is one amongst the various countries which has also signed Multilateral Authority Competent Agreement (MCAA) with the Organisation for Economic Co-operation and Development (OECD) for implementation of Common Reporting Standards (CRS) reporting for Automatic Exchange of Information (AEOI) between agreeing countries. Following the signing of the IGA, on August 2015, the Indian Government enacted rules relating to FATCA and CRS reporting in India pursuant to which all FFIs are required to file the details of U.S. and other Reportable Accounts to the Indian Government by May 31 of each year.

For more details, you may refer the below links:

- **US IRS - Foreign Account Tax Compliance Act (FATCA)**
<https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>
- **OECD — Common Reporting Standard (CRS)**
<http://www.oecd.org/ctp/exchange-of-tax-information/standard-for-automatic-exchange-of-financial-information-in-tax-matters.htm>
- **Guidance Note on FATCA CRS**
<http://www.incometaxindia.gov.in/Documents/exchange-of-information/Guidance-note-on-fatca-crs.pdf>

Sole/First Applicant/Guardian/POA Holder	Second Applicant	Third Applicant
 Signature	 Signature	 Signature

Place: _____ Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---



Instructions to Form

1. Applicants/Unit holders are required to mandatorily provide the relevant information for FATCA and CRS, including Ultimate Beneficial Ownership (UBO) details. In case of any change in any information provided, Unit holders should ensure to advise the AMC/RTA promptly i.e. within a period of 30 days.
2. All Applicants/Unit holders, individuals and non-individuals, must be aware that the failure to provide all relevant details in relevant section and/or relevant forms will result in rejection of their investment application form, refund of application money, reversal of units allotted, and the Edelweiss Asset Management Limited (IFSC Branch) will not be liable for any consequent loss to the Applicants/Unit holders.
3. Applicants like Individuals, joint applicants, are required to provide details, as mentioned in this section, like Place and Country of birth, Country of Citizenship/ Nationality mandatorily. If the applicant/s have any countries of tax residency other than India, details of all such countries and relevant tax identification number needs to be provided. If the space in the form is not adequate, applicants are required to attach additional sheets with information duly signed.
4. All non-individuals should fill and submit a separate form for FATCA and CRS declaration. Non-Individual entities, including partnerships, (other than those listed on a recognized stock exchange in India or is a subsidiary or related or controlled by such listed company) should also fill and submit a form for Ultimate Beneficial Ownership (UBO) details.
5. If you have any questions about your tax residency or other definitions or terms used, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.
6. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach to the form.
7. Applicant/unit holder should note that they also specifically authorize to disclose, share, remit in any form, mode or manner, all or any of the information provided by, including all changes, updates to such information as and when provided, to the Edelweiss Asset Management Limited (IFSC Branch), trustees, their employees / associated parties / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, applicant/unit holder also authorizes to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation and for other relevant purposes.
8. Applicant/unit holder also undertakes to keep the Edelweiss Asset Management Limited (IFSC Branch) informed in writing about any changes / modification to the above information in future and undertake to provide any other additional information / documentary proof as may be required.
9. Please note that applicants/unit holders may receive more than one request for information if you have multiple relationships/accounts/folios with us. Therefore, it is important that you respond to each of our requests, even if you believe you have already supplied any previously requested information.
10. In case any of the specified information provided by the applicant/unit holder is found to be false or untrue or misleading or misrepresenting, applicant/unit holder will be solely liable and will indemnify the Asset Management Company, Trustees, their employees / associated parties and the RTAs.
10. In case applicant/unit holder has any of the Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investors to provide relevant details as may be asked for.
11. However, if the investor has provided these details already in response to any request from the Fund, then they may choose to ignore this section.

Edelweiss Greater China Equity Fund

DECLARATION FROM NRI / PIO INVESTORS

Date:

To,

Edelweiss Greater China Equity Fund (IFSC)
Unit No. 503, Pragya 2 Building, GIFT SEZ,
GIFT City, Gandhinagar - 382355, Gujarat, India.

Dear Sir / Madam,

Subject: Confirmation regarding non-solicitation of our proposed investment in Edelweiss Greater China Equity Fund

I/We state and confirm that my / our proposed investment to be made in the Edelweiss Greater China Equity Fund is as per my / our own volition.

I/We further confirm that I/ We have neither been solicited nor contacted in any manner by any person(s) or any of the representative of the Fund directly or indirectly or by Edelweiss Asset Management Limited (IFSC Branch) in connection with my/our proposed investment in the Fund.

I/We are aware that the Fund or Edelweiss Asset Management Limited (IFSC Branch) have filed any of its constitution / scheme related documents in any jurisdiction / region except GIFT IFSC, India.

I/We confirm that my/our application is in compliance with applicable Indian and foreign laws and I am / we are not prohibited from accessing capital markets under any order/ruling/judgment etc. of any jurisdiction / regulation.

I/We understand and acknowledge that Edelweiss Asset Management Limited (IFSC Branch) reserves the right to accept or reject any transactions and redeem any investments, at their sole discretion and as they may deem fit without assigning any reason thereto.

I/We hereby authorize Edelweiss Asset Management Limited (IFSC Branch) / Fund, its employees, its agents, its Registrar to disclose, share, remit in any form/manner/mode information with respect to investments made by me/us and/or any part of it including the changes/updates that may be provided by me/us to its agents, third party service providers for the purposes of any Indian or foreign statutory, regulatory, judicial, quasi- judicial authorities/agencies requirements without any intimation/advice to me/us.

I/ We hereby agree to provide any additional information / documentation to Edelweiss Asset Management Limited (IFSC Branch), its agents, employees, it's Registrar etc. that may be required in connection with the investments made by me/ us.

I/We shall indemnify Edelweiss Asset Management Limited (IFSC Branch) and its group / associates, its officers / directors /employees in respect of any loss, cost, charge, expenses and such other claims which may be incurred in respect of any false, misleading, inaccurate and incomplete information in connection with my/our investments in the Fund.

I/We hereby agree that my/our proposed investment in the Fund shall be after reading and completely understanding the Offer Document of the Fund.

Further, I/ we myself / we have contacted _____ in respect of my / our proposed investment.

Thanking you.

Yours sincerely,

Sole/First Applicant/Guardian/POA Holder	Second Applicant	Third Applicant
 Signature	 Signature	 Signature

Place: _____ Date:



Important Instructions:

- A. Fields marked with ** are mandatory fields.
 B. Tick " wherever applicable.
 C. Please fill the form in English and BLOCK letters.
 D. Please fill the date in DD-MM-YY format.
 E. For particular section update, please tick () in the box section number and strike off the sections not required to be updated.
- F. Please read section wise detailed guide
 G. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 H. List of two character ISO 3166 country codes is available at the end.
 I. CKYC number of applicant is mandatory for update application.
 J. The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

For office use only

(To be filled by financial institution)

Application Type*

☐ New☐ Update

CKYC Number (KIN) Number

(Mandatory for KYC update request)

Account Type*

☐ Normal☐ Minor☐ Aadhaar OTP based E-KYC (in non-face to face mode)**1. Personal Details (Please refer instruction A at the end)**

☐ Name* (Same as ID proof) Prefix First Name Middle Name Last Name

Maiden Name

Father / Spouse Name*

Mother Name

Date of Birth* DD - MM - YY YY

Gender* ☐ M- Male ☐ F- Female ☐ T- Transgender

PAN*

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others – Country Country Code

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

2. PROOF OF IDENTITY AND PERMANENT ADDRESS* (Please refer instruction B at the end)

I Certified copy of Officially valid document (OVD) or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A-Passport Number Passport Expiry Date DD - MM - YY YY
- ☐ B-Voter ID Card
- ☐ C-Driving Licence Driving Licence Expiry Date DD - MM - YY YY
- ☐ D-NREGA Job Card
- ☐ E-National Population Register Letter
- ☐ F-Proof of Possession of Aadhaar *No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer*
- II ☐ E-KYC Authentication *No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer*
- III ☐ Offline verification of Aadhaar *No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer*

PHOTO*Signature /Thumb Impression
across photo without covering
the face**Address [For other than resident Individual, please mention Overseas Address]**

Line 1*

Line 2

Line 3 City/Town/Village*

District* Pin/Post Code* State/U.T Code* ISO 3166 Country Code*

3. CURRENT/CORRESPONDENCE ADDRESS DETAILS (Please refer instruction B at the end)

- ☐ Same as above mentioned address (In such cases address details as below need not be provided)
- I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)
- ☐ A-Passport Number
- ☐ B-Voter ID Card
- ☐ C-Driving Licence
- ☐ D-NREGA Job Card
- ☐ E-National Population Register Letter
- ☐ F-Proof of Possession of Aadhaar *No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer*
- II ☐ E-KYC Authentication *No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer*
- III ☐ Offline verification of Aadhaar *No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer*
- IV ☐ Deemed Proof of Address – Document Type code

Address

Line 1*

Line 2

Line 3 City/Town/Village*

District* Pin/Post Code* State/U.T Code* ISO 3166 Country Code*



4. Contact Details (All communications will be sent to Mobile number/Email-ID provided) (Please refer instruction C at the end)

Tel. (Off) - Tel. (Res) - Mobile -
Email ID

5. Remarks (If any)

6. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting. I am aware that I may be held liable for it.
- I hereby declare that I am not making this application for the purpose contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address and to download the information from CKYCR.
- I am providing the consent to MF/RTA/SEBI registered intermediary to share this KYC data/ applicable Aadhaar XML data with KRA and share the data to other Participating intermediaries as mandated by PMLA Act/ Rules/ SEBI guidelines.

[Signature/Thumb Impression] 
Signature/Thumb Impression of Applicant

Date: - - Place:

7. Attestation / For Office Use only

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification ☐ Digital KYC Process
☐ Equivalent e-document ☐ Video Based KYC

KYC documents verification carried out by (Refer instruction E)

Date: - -

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature] 

Institution details

Name

Code

[Institution Stamp]

In-Person Verification (IPV) carried out by (Refer instruction F)

Date: - -

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature] 

Institution details

[Institution Stamp]

General instructions:

1. Self-Certification of documents is mandatory.
2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [E].
3. If any proof of identity or address is in a foreign language, then translation into English is required duly attested by the official as indicated above.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If current & permanent addresses are different, then proofs for both have to be submitted.
6. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card /OCI and overseas address proof is mandatory.
7. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
8. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board / Passport of Minor / Birth Certificate must be provided.

A. Clarification / Guidelines on filling 'Personal Details' section

1. Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. One of the following is mandatory: Mother's name, Spouse's name, Father's name.

B. Clarification / Guidelines on filling 'Current Address details' section

1. In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR.
2. PoA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
3. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
4. In Section 2, one of I, II and III is to be selected. In case of online E-KYC authentication, II is to be selected.
5. In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
6. List of documents for 'Deemed Proof of Address'

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal tax receipt.
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation.

7. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
8. "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
9. "Digital KYC process" has to be carried out as stipulated in the PML Rules, 2005.

C. Clarification / Guidelines on filling 'Contact details' section

1. Email/ Mobile is mandatory for upload into KRA system and please provide.
2. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
3. Do not add '0' in the beginning of Mobile number.

D. Clarification on Minor

1. Guardian details are optional for minors above 10 years of age for opening of bank account only.
2. However, in case guardian details are available for minor 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

E. List of people authorized to attest the documents after verification with the originals:

1. Authorised officials of Asset Management Companies (AMC).
2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
3. KYD compliant mutual fund distributors.
4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.
6. Government authorised officials who are empowered to issue Apostille Certificates.

F. List of people authorized to perform In Person Verification (IPV):

1. Authorised officials of Asset Management Companies (AMC).
2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
3. KYD compliant mutual fund distributors.
4. Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (for investors investing directly).
5. In case of NRI applicants, a person permitted to attest documents, may also conduct the In Person Verification and confirm this in the KYC Form.

G. PAN Exempt Investor Category

1. Investments (including SIPs), in Mutual Fund schemes up to INR 50,000/- per investor per year per Mutual Fund.
2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
3. Investors residing in the state of Sikkim.
4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.



List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code
Andaman & Nicobar	AN
Andhra Pradesh	AP
Arunachal Pradesh	AR
Assam	AS
Bihar	BR
Chandigarh	CH
Chhattisgarh	CG
Dadra and Nagar Haveli	DN
Daman & Diu	DD
Delhi	DL
Goa	GA
Gujarat	GJ
Haryana	HR

State/U.T	Code
Himachal Pradesh	HP
Jammu & Kashmir	JK
Jharkhand	JH
Karnataka	KA
Kerala	KL
Lakshadweep	LD
Madhya Pradesh	MP
Maharashtra	MH
Manipur	MN
Meghalaya	ML
Mizoram	MZ
Nagaland	NL
Orissa	OR

State/U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarkhand	UA
West Bengal	WB
Other	XX

List of ISO 3166 two digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	MZ	Taiwan province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire Code d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy Saint Barthelemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		