FREEDOM SIP FORM

Please read Product Labelling available on the Front Inside Cover Page and instructions before filling this form (all points marked * are mandatory)

EDELWEISS MUTUAL FUND

APPLICATION NO.

Sponsor: Edelweiss Financial Services Limited | **Trustee Company:** Edelweiss Trusteeship Company Limited | **Investment Manager:** Edelweiss Asset Management Limited
Edelweiss Mutual Fund, Edelweiss House, Off. C.S.T Road, Kalina, Mumbai - 400 098, Maharashtra.

PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.
Use this form If you are making a one time investment. For SIP investment use the separate SIP Form. KYC is mandatory for all investors.

DISTRIBUTOR INFORMATION

Distributor Code	Sub-Broker Code	Sub-Broker Code	Employee Unique*	E-Code	RIA CODE^
ARN -	ARN -	INTERNAL CODE	IDENTIFICATION NO. (EUIN)		ONLY FOR DIRECT INVESTMENT

***Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".**
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.
^I/We, have invested in the below mentioned scheme of Edelweiss Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

SIGNATURE (s)	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

1 Application for ☐ **SIP with Investment Cheque** ☐ **SIP without Investment Cheque**

2 Existing Investor's Folio Number (please mention folio here and skip to section 9) | **Mode of Holding** ☐ Single ☐ Joint ☐ Anyone or Survivor (Default) (In case of Demat Purchase Mode of Holding should be same as in Demat Account)

3 Unit Holding Option ☑ Physical Mode ☒ Demat Mode These details are compulsory if the investor wishes to hold the units in DEMAT mode.

4 First Applicant Details (Mandatory fields)** *(Refer Instruction No.II)*

Name of Sole /1st Applicant** (Name as per PAN Card Only) Mr. Ms. M/s.

PAN** CKYC No. Date of Birth/Incorporation** D D M M Y Y Y Y

Guardian details (In case First / Sole Applicant is Minor) / Contact Person - Designation / POA Holder (In case of Non-Individual Investors)

(Name as per PAN Card Only) Mr. Ms. M/s.

Guardian's Relationship With Minor: ☐ Father ☐ Mother ☐ Court Appointed Guardian

Proof of Date of Birth and Guardian's Relationship with Minor: ☐ Birth Certificate ☐ Passport ☐ Others _____

PAN** CKYC No. Date of Birth/Incorporation** D D M M Y Y Y Y

Tax Status^ (Applicable for First / Sole Applicant)

☐ Resident Individual ☐ FIIs ☐ NRI - NRO ☐ HUF ☐ Club / Society ☐ PIO ☐ Body Corporate ☐ Minor ☐ Government Body
☐ Trust ☐ NRI - NRE ☐ Bank & FI ☐ Sole Proprietor ☐ Partnership Firm ☐ QFI ☐ Provident Fund ☐ Others _____

Are you involved / providing any of the mentioned services : (Applicable only for Non Individuals)
☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services ☐ Money Lending / Pawning ☐ None of the above

5 Second Applicant Details

Second Applicant** (Name as per PAN Card Only) Mr. Ms. M/s.

Date of Birth** D D M M Y Y Y Y PAN** CKYC No.

6 Third Applicant details

Third Applicant** (Name as per PAN Card Only) Mr. Ms. M/s.

Date of Birth** D D M M Y Y Y Y PAN** CKYC No.

7 Power Of Attorney (POA) Holder details ***(If investment is being made by Constitutional Attorney, please submit notarized copy of POA)***

	Name	Date of Birth	PAN
First Applicant POA Name	Mr. /Ms./M/s	D D M M Y Y Y Y	
Second Applicant POA Name	Mr. /Ms./M/s	D D M M Y Y Y Y	
Third Applicant POA Name	Mr. /Ms./M/s	D D M M Y Y Y Y	

EDELWEISS MUTUAL FUND

ACKNOWLEDGEMENT SLIP
To be filled in by the investor

Received from: Mr. / Ms. / M/s _____ an application for allotment

Scheme _____ Plan _____ Option _____

vide Cheque No _____ Dated ____/____/_____ Amount (₹) _____ Drawn on

Bank and Branch _____

Please note: All purchases are subject to realization of cheques and as per applicable load structure (please refer Scheme Information Document)

Application No:

Collection Center's Stamp & Receipt Date and Time

8 Contact Details of Sole / First Applicant - (Correspondence Address)

##Please note that your address details will be updated as per your KYC records with CKYC / KRA	**Overseas Address** (Mandatory for NRI Applicants)
City/ Town	City/ Town
State	State
Country Pin	Country Pin

First Holder

Mobile No. (For Receiving Transaction Alerts via SMS) Office Residence

Mobile No. provided pertains to: ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents ☐ A Guardian in case of a minor ☐ POA ☐ Custodian ☐ PMS

Email ID (CAPITAL letters only)

Email ID provided pertains to: ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents ☐ A Guardian in case of a minor ☐ POA ☐ Custodian ☐ PMS

Investors providing Email Id would mandatorily receive E - Statement of Accounts in lieu of physical Statement of Accounts and the annual report or abridged summary on email.

☐ I wish to receive scheme wise annual report or abridged summary through Physical mode (Applicable only for investors who have not specified the email id)

Second Holder

Mobile No. (For Receiving Transaction Alerts via SMS) Office Residence

Mobile No. provided pertains to: ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents ☐ A Guardian in case of a minor ☐ POA ☐ Custodian ☐ PMS

Email ID (CAPITAL letters only)

Email ID provided pertains to: ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents ☐ A Guardian in case of a minor ☐ POA ☐ Custodian ☐ PMS

Third Holder

Mobile No. (For Receiving Transaction Alerts via SMS) Office Residence

Mobile No. provided pertains to: ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents ☐ A Guardian in case of a minor ☐ POA ☐ Custodian ☐ PMS

Email ID (CAPITAL letters only)

Email ID provided pertains to: ☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Sibling ☐ Dependent Parents ☐ A Guardian in case of a minor ☐ POA ☐ Custodian ☐ PMS

9 INVESTMENT OPTIONS (Select any one option) *(Refer Terms & Condition)*

☐ **Option A**

SIP Scheme Name : **Edelweiss -** _____ Plan _____ Option : **Growth**

☐ **Option B**

SIP Scheme Name : **Edelweiss -** _____ Plan _____ Option : **Growth**

SWP Scheme Name : **Edelweiss -** _____ Plan _____ Option _____

***Note** - Incase of no information or ambiguity default option consider will be 'Option A'. Incase of plan it will be consider as Direct Plan Growth option.*

SWP Amount based on ☐ Tenure _____ Years **OR** ☐ Fixed Amount opted by Investor:

In case the SIP tenure opted for a period is in between the tenures mentioned in the illustration (under the terms and condition section) for option A and B, then the lower year of the illustration will be considered for SWP multiplier.

	Option A					**Option B**				
SIP Tenure (Yrs)	10 Years	15 Years	20 Years	25 Years	30 Years	10 Years	15 Years	20 Years	25 Years	30 Years
Monthly SWP	2x	4x	8x	15x	25x	1.5x	3x	6x	12x	20x

SIP Installment Amount _____ (in figures) SIP Installment Amount _____ (in words)

Frequency: ☑ Monthly SIP Start Date: D D M M Y Y Y Y SIP End Date: D D M M Y Y Y Y

* **Note:** Minimum tenure of SIP should be 10 years.

SIP Top-up : Top-up Amount _____ (Optional) Top-up Maximum _____ SIP Amount SIP Top-up Frequency : ☐ Yearly

10 Payment Details *(Cheque should be in favor of the scheme opted for investment)* *(Refer Instruction VII)*

Mode of Payment [Please ✓] ☐ RTGS/NEFT/Fund Transfer ☐ DD ☐ Cheque ☐ AOTM ☐ KOTM Cheque No. Date D D M M Y Y Y Y

Gross Amount (₹) Net Amount (₹) DD Charges (₹)

Bank Details: ☐ Same as below *(Please tick (✓) if yes)* ☐ Different from below *(Please tick (✓) if it is different from above and fill in the details below)*

Bank/Branch & City

LEI No.

Account No. Account Type [Please ✓] ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

UMRN No.

Please note that the OTM can be selected as mode of payment provided OTM is already registered. In case OTM is not registered please submit the filled in standalone OTM form to make future transaction through OTM.

CHECKLIST Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public)

Documents	Individual	Companies	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FIIs	PIO
Resolution/ Authorisation to invest		✓	✓	✓		✓		✓	
List of authorised signatories with specimen signatures		✓	✓	✓	✓	✓		✓	
Memorandum & Articles of Association		✓							
Trust Deed						✓			
Bye-laws			✓						
Partnership Deed				✓					
Overseas Auditor Certificate								✓	
Notarised POA					✓				
Proof of Address									✓
Copy of PAN Card / PEKRN	✓	✓	✓	✓	✓	✓	✓	✓	
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO Card									✓
Foreign Inward Remittance Certificate							✓		✓
Aadhaar	✓	✓	✓	✓	✓	✓			

11 Bank Account Details mandatory for Redemption/IDCW/Refunds, if any

(Refer Instruction No. IV)

Account No. Account Type [Please ✓] ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Bank Name

Branch Add.

Pin IFSC CODE MICR CODE

Please ensure the name in this application form and in your bank account are the same. Please update your IFSC and MICR Code in order to get payouts via electronic mode in to your bank account.

12 FATCA & CRS Details

For Individuals (Mandatory) Non Individual Investors should mandatorily fill separate FATCA/CRS details form *(Refer Instruction No.XV)*

Please indicate all Countries in which you are a resident for tax purpose, associated Tax payer Identification Number and it's Identification type eg. TIN etc.

Is the applicant(s)/ guardian's Country of Tax Residency other than India? ☐ Yes *(If Yes, below details are mandatory)* ☐ No

Sole / First Applicant / Guardian			Second Applicant			Third Applicant		
Country #	Tax Payer Ref ID No.%	Identification Type [TIN or other, please specify]	Country #	Tax Payer Ref ID No.%	Identification Type [TIN or other, please specify]	Country #	Tax Payer Ref ID No.%	Identification Type [TIN or other, please specify]
1.			1.			1.		
2.			2.			2.		
3.			3.			3.		
Place of Birth			Place of Birth			Place of Birth		
Country of Birth			Country of Birth			Country of Birth		
Country of Nationality			Country of Nationality			Country of Nationality		

In case Country of Tax Residence is only India then details of Country of Birth & Nationality need not be provided. % In case Tax Identification Number is not available, kindly provide its functional equivalent

13 Additional KYC Details

(Refer Instruction No.X)

Occupation	Business	Service	Professional	Agriculturist	Housewife	Student	Defence	Bureaucrat	Forex Dealer	Unlisted Company	Body Corporate	Listed Company	Others
First Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
Second Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
Third Applicant	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
Guardian	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐

Gross Annual Income Details	Below 1 Lac	1-5 Lacs	5-10 Lacs	10-25 Lac	> 25 Lacs - 1 Crore	> 1 Crore	NET-WORTH in ₹	Date
First Applicant	☐	☐	☐	☐	☐	☐	₹ (in figures)	DD/MM/YYYY
Second Applicant	☐	☐	☐	☐	☐	☐	₹ (in figures)	DD/MM/YYYY
Third Applicant	☐	☐	☐	☐	☐	☐	₹ (in figures)	DD/MM/YYYY
Guardian	☐	☐	☐	☐	☐	☐	₹ (in figures)	DD/MM/YYYY

PEP DETAILS	First Applicant	Second Applicant	Third Applicant	Guardian
Are you a Politically Exposed Person (PEP)	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
Are you related to a Politically Exposed Person (PEP)	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

Kindly complete the investment application by filling below mentioned details on the next page.

* Nomination
* Investment Declaration
* One Time Mandate (OTM) Registration form

14 **Nomination Details*** *(Mandatory)* *(Refer instruction no. IX)*

☐ **I/We hereby nominate the following person(s) who shall receive all the assets held in my/our account/folio in the event of my/our demise, as trustee and on behalf of my/our legal heir(s)**

Name of First Nominee

Nominee's relationship with the Investor* Allocation**

Mobile No.* Email*

Address*

Identity Number*** DOB of Nominee**** D D M M Y Y Y Y

Guardian Details****

Name of Second Nominee

Nominee's relationship with the Investor* Allocation**

Mobile No.* Email*

Address*

Identity Number*** DOB of Nominee**** D D M M Y Y Y Y

Guardian Details****

Name of Third Nominee

Nominee's relationship with the Investor* Allocation**

Mobile No.* Email*

Address*

Identity Number*** DOB of Nominee**** D D M M Y Y Y Y

Guardian Details****

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required. However, in case of NRI/OCI/PIO, Passport number is acceptable.

**** to be furnished only in following conditions / circumstances:

- Date of Birth (DOB): please provide, only if the nominee is minor.
- Guardian Details: It is optional for you to provide, if the nominee is minor.

	Name(s) of holder(s)	Name & Address of Witness	Witness Signature#
Sole / First Holder (Mr./Ms.)			
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

1) I/We want the details of my/our nominee/s to be printed in the statement of holding, provided to me/us by the AMC as follows; (please tick any one, as appropriate)
   ☐ Name of nominee(s) ☐ Nomination: Yes / No
2) This nomination shall supersede any prior nomination made by me / us, if any.

☐ **I/We DO NOT wish to nominate**

Declaration for Nomination *(to be signed by all unitholders including joint holders, irrespective of more of holding):* I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / Demat account.

Declaration for Investment: Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to the Trustee of Edelweiss Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We agree that in case my/our investment in the Scheme(s) is equal to or more than 25% of the corpus of the Scheme, then Edelweiss Asset Management Ltd., Investment Manager to the Edelweiss Mutual Fund, has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I /We hereby authorise Edelweiss Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / Edelweiss Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, update to such information as and when provided by me/ us to Edelweiss Mutual Fund/ Edelweiss Asset Management Limited to any Indian or foreign governmental or statutory or judicial authorities/ agencies, the tax/ revenue authority and other investigation agencies without obligation on advising me/ us of the same. I/We authorise Edelweiss Mutual Fund to reject the application, revert the units credited/redeem units created at applicable NAV, restrain me/us from making any further investment in any of the Schemes of the fund, recover/debit my/our folios(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/payment instrument is/are returned by my/our banker for any reason whatsoever. I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with PMLA/KYC/FATCA norms. I/We hereby, further agree that the Fund can directly credit all the IDCW payouts and redemption amount to my bank details given above. I/We hereby declare that the particulars stated above are correct.

I/ We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for collecting, storing and usage including demographic information, validating/ authenticating and updating my/ our Aadhaar number(s) (if provided as proof of address or proof of identity of investors, provided the investor redact or blackout his Aadhar number while submitting the applications for investments) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA with asset management companies of SEBI registered mutual fund (s)and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios with my PAN.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them.

I / We confirm that I am/We are not resident(s) of Canada under the laws of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

☐ Repatriation ☐ Non Repatriation

Applicable if resident / citizen of a member state of European Union protected under GDPR

I / We, resident/citizen of a member state of European Union protected under GDPR, acknowledge that I have read and understood the Privacy Statement of Edelweiss and all its subsidiaries and associates in India and overseas (collectively referred to as Edelweiss Group) setting out the collection, processing, use and disclosure of personal data for the purposes explained therein and available on www.edelweissfin.com. Please see the tick marks in the relevant boxes below that will apply to me:

1) I provide my express consent to Edelweiss Group for the collection, processing, use and/or disclosure of my personal data / information by it for the purposes set out in its Privacy Statement. ☐ YES ☐ NO
2) I wish to receive marketing information from Edelweiss Group (*) ☐ YES ☐ NO
3) I would like to receive information about the services which may be provided by Edelweiss Group, including (but not limited to) offers, promotions and information about new goods and services, via (*) ☐ Newsletter ☐ Email ☐ Text message ☐ Telephone call ☐ Not interested

SIGNATURE				
	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT	DATE : ____ /____ /_____ PLACE _____

One Time Mandate Registration Form/ Debit Mandate Form NACH/Direct Debit

EDELWEISS MUTUAL FUND

UMRN | | | | | | | | | OFFICE USE ONLY | | | | | | | | | Date D D M M Y Y Y Y

Utility Code: **CITI00002000000037** ☑ Create ☒ Modify ☒ Cancel

Sponsor Bank Code: **CITI000PIGW** I/We authorize: **Edelweiss Mutual Fund**

To debit (✓) ☐ SB ☐ CA ☐ CC ☐ NRE ☐ NRO ☐ Others _____ Bank A/c No.

With Bank IFSC/MICR

an amount of Rupees ₹

Debit Type ☐ ~~Fixed Amount~~ ☑ Maximum Amount **Frequency** ☐ ~~Monthly~~ ☐ ~~Quarterly~~ ☐ ~~Half Yearly~~ ☐ ~~Yearly~~ ☑ As & when presented

Reference Folio No./App No. Email ID

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity / corporate or the bank where I have authorized the debit.

From D D M M Y Y Y Y

To D D M M Y Y Y Y

Maximum period of validity of this mandate is 40 years only.

Maximum period of validity of this mandate is 40 years only.

Signature of Primary Bank Account Holder | Signature of Account Holder | Signature of Account Holder

Phone No.

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

BLANK

TERMS AND CONDITION OF FREEDOM SIP

1. Under Option A, Investor can choose from any of the above scheme with minimum SIP installment of Rs.1000 under monthly frequency. The SIP tenure option will determine the monthly SWP amount as per below table.
   - Edelweiss Flexi Cap Fund
   - Edelweiss Focused Fund
   - Edelweiss Large & Mid Cap Fund
   - Edelweiss Large Cap Fund
   - Edelweiss Mid Cap Fund
   - Edelweiss Multi Cap Fund
   - Edelweiss Nifty 100 Quality 30 Index Fund
   - Edelweiss Nifty 50 Index Fund
   - Edelweiss Nifty Alpha Low Volatility 30 Index Fund
   - Edelweiss Nifty Large Mid Cap 250 Index Fund
   - Edelweiss Nifty Midcap150 Momentum 50 Index Fund
   - Edelweiss Nifty Next 50 Index Fund
   - Edelweiss Nifty Smallcap 250 Index Fund
   - Edelweiss Recently Listed Ipo Fund
   - Edelweiss Small Cap Fund

   An illustration of the same are as follows:

   Option A

SIP Amount (₹)	SIP Tenure (Yrs)	Monthly SWP	Monthly SWP Amount	Invested Amount (₹)	Accumulated Value (₹)
10,000	10 Years	2x	20,000	12,00,000	₹ 23,54,923
10,000	15 Years	4x	40,000	18,00,000	₹ 51,54,144
10,000	20 Years	8x	80,000	24,00,000	₹ 1,02,96,731
10,000	25 Years	15x	1,50,000	30,00,000	₹ 1,97,42,303
10,000	30 Years	25x	2,50,000	36,00,000	₹ 3,70,91,320

   Past performance may or may not be sustained in future.

   The above performance is considered on Nifty 50 TRI @ 12.93% CAGR. Returns are calculated by taking mean of 10-year rolling returns between 01/06/13 and 30/05/23 based on AMFI circular released on Nov 01, 2023, namely 135/BP/109/2023-24.

   The above is for illustration purpose only and should not be construed as returns for any schemes of Edelweiss MF.

2. Under Option B, Investor can choose from any of the above scheme with minimum SIP installment of Rs.1000 under monthly frequency. The SIP tenure option will determine the monthly SWP amount as per below table. An illustration of the same are as follows:

Source scheme (SIP)	Target scheme (SWP)
Edelweiss Aggressive Hybrid Fund	Edelweiss Aggressive Hybrid Fund
Edelweiss Balanced Advantage Fund	Edelweiss Balanced Advantage Fund
Edelweiss Flexi Cap Fund	Edelweiss Equity Savings Fund
Edelweiss Focused Fund	
Edelweiss Large & Mid Cap Fund	
Edelweiss Large Cap Fund	
Edelweiss Mid Cap Fund	
Edelweiss Multi Cap Fund	
Edelweiss Nifty 100 Quality 30 Index Fund	
Edelweiss Nifty 50 Index Fund	
Edelweiss Nifty Alpha Low Volatility 30 Index Fund	
Edelweiss Nifty Large Mid Cap 250 Index Fund	
Edelweiss Nifty Midcap150 Momentum 50 Index Fund	
Edelweiss Nifty Next 50 Index Fund	
Edelweiss Nifty Smallcap 250 Index Fund	
Edelweiss Recently Listed Ipo Fund	
Edelweiss Small Cap Fund	

   Option B

SIP Amount (₹)	SIP Tenure	Monthly SWP	Monthly SWP Amount	Invested Amount (₹)	Accumulated Value (₹)
10,000	10 Years	1.5x	15,000	12,00,000	₹ 21,81,514
10,000	15 Years	3x	30,000	18,00,000	₹ 45,60,559
10,000	20 Years	6x	60,000	24,00,000	₹ 86,60,495
10,000	25 Years	12x	1,20,000	30,00,000	₹ 1,57,26,133
10,000	30 Years	20x	2,00,000	36,00,000	₹ 2,79,02,725

   Past performance may or may not be sustained in future.

   The above performance is considered on Nifty 50 TRI @ 11.53% CAGR. Returns are calculated by taking mean of 10-year rolling returns between 01/06/13 and 30/05/23 based on AMFI circular released on Nov 01, 2023, namely 135/BP/109/2023-24.

   The above is for illustration purpose only and should not be construed as returns for any schemes of Edelweiss MF.

3. How the Facility Works.

   Option A: On completion of the SIP tenure, the entire accumulated units via the Freedom SIP facility of the respective scheme will be available for SWP.

   Option B: On completion of 15 days from the date of last SIP instalment in Source Scheme, the entire amount representing accumulated clear units will be transferred into the investor's chosen Target scheme and register SWP. In case the SIP tenure opted for a period is in between the tenures mentioned in illustration for option A and B, then the lower year of the illustration will be considered for SWP multiplier.

   Note: Under option B, SIP and SWP cannot have same scheme, plan, option except for Edelweiss Balance Advantage Fund and Edelweiss Aggressive Hybrid Fund.

4. Default option for both schemes are Growth only.
5. Target scheme (SWP) is registered at the time of registration only. Modification in the same is not allowed post registration of facility.
6. The minimum SIP installment amount under Freedom SIP is Rs.1000.
7. If SIP debit date is not mentioned default date would be considered as 7th of every month. If date selected 29th, 30th or 31st of the month default SIP date would be considered as 28th of every month.
8. SIP Top-up facility - Investor will be given an option to avail a Top-up facility for SIP. Accordingly the SWP installments will be considered basis of the SIP with top up amount.

   Example for Option A : If investor opts for SIP of ₹ 1000 with top of ₹ 500 p.a. for the tenure of 10 years, after completion of the tenure, the SWP amount for 1st year will be Rs.2000, 2nd year will be ₹ 3000, 3rd year will be ₹ 4000 and so on.

   Example for Option B : If investor opts for SIP of ₹ 1000 with top of ₹ 500 p.a. for the tenure of 10 years, after completion of the tenure, the SWP amount for 1st year will be Rs.1500, 2nd year will be ₹ 2250, 3rd year will be ₹ 3000 and so on.

   Investor who opts for SIP top-up facility the SWP amount will be calculated in line with Top up amount. However, investor investing via only SIP (i.e. no SIP top-up) cannot opt for SWP Top-up.

9. The minimum tenure is for 10 years and maximum is for 40 years. In case investor has not mentioned the tenure the default tenure will be 20 years.
10. If the investor opts for the facility in the existing folio where the source scheme plan already exist, by default a new folio will be created to register facility.
11. The SWP transactions will be initiated w.e.f. month following the month of completion of SIP tenure. SWP Date will be same as the SIP date.
12. SWP will commence only subject to completion of SIP period and applicable to units of relevant schemes and from units / folios which are free from any other encumbrances / restrictions.
13. Under Option A and B redemption and switch-Out are allowed , however, if an investor redeems/ switches out from such a folio during SIP Tenure, then his SWP trigger will cease/no longer exist and SIPs would continue just like a normal SIP till completion of SIP Tenure.
14. Purchase and Switch-In: Add Purchase and Switch-In will be allowed in the Freedom SIP facility folio. However, the SWP amount would be computed excluding the lumpsum purchase and switch-in.
15. Units in Non-Demat form: This facility is available only for non-demat units and conversion of physical units to demat mode will automatically cancel any existing / future Freedom SIP registration request(s).
16. SIP Pause: Option for pausing the SIP is allowed.
17. Freedom SIP shall get discontinued in the following cases:
    - On cancellation of SIP before the end of tenure.
    - In case, redemption / Switch Out (SO) is processed in SIP Scheme during the SIP tenure
    - In case investor's demise intimation is received during the SIP tenure.
    - In case of unit conversion into demat form in case of a consolidation.

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE COMMON APPLICATION FORM

I. GENERAL INSTRUCTIONS

1. Please read the Key Information Memorandum, Scheme Information Document (SID) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing. In the SID your attention is particularly drawn to the risk factors of investing in the Scheme and also the sections "Who can't invest" and "Important note on Anti Money Laundering, KYC & investor protection".
2. Applications from residents of Canada will not be accepted.
3. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
4. Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
5. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.
6. Aadhar can be accepted as a valid document for proof of address or proof of identity of investors, provided the investor redact or blackout his Aadhar number while submitting the applications for investments.

II. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations as per PAN Card only for the the First, Second, Third Holder(s), POA, Nominee and Guardian. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document as the case may be.
2. Name, Date of birth of the Minor, Name of Parent/Legal Guardian and relationship with minor is mandatory for investment on behalf of Minor applicant.
3. Date of Birth/Date of Incorporation and PAN No is mandatory for the First, Second, Third Holder(s), POA, Nominee and Guardian.
4. Name of the Contact Person, email and Telephone No. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
5. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H.U.F., the Karta should sign on behalf of the H.U.F.
6. The designated Investor Service Center/ Collection Center will affix time stamp/ manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
7. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center/Collection Center. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
8. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
9. Direct application - Investors are requested to mention the correct distributor Code in the Application Form. In case, the investor is directly applying, then they should clearly mention "DIRECT" in the column mentioned Name and Distributor Code, in all such cases where applications are not routed through any distributor/ agent/broker. In cases where unit holder uses a pre-printed Broker Code , unit holder should cancel the ARN No/ Broker Code, write 'DIRECT' in the said column and it should also be counter signed by the First unit holder.
10. In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/ FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
11. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
12. Some additional details are required for validating your identity for certain transactions / Communications. Hence please fill the parent's name in case of first applicant and date of birth of all unit holders.
13. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly.
14. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all IDCW payouts/redemption will be paid to the first named holder.

III. EMAIL COMMUNICATION

Account Statement will be sent to Unit holders by Post /Courier for NFO/1st time investment in any of the Scheme. Subsequent Account Statements/Newsletters / Annual Reports / Other statutory information (as permitted under SEBI (Mutual Funds) Regulations, 1996) will be sent to each Unit holder by e-mail. Investors are requested to provide their e-mail address for the same and this will also help us resolve your queries more promptly. Unitholders who have provided email id will be sent all communications/reports as mentioned above by email only and no physical communications will be sent. Any change in the e-mail address should be communicated to nearest designated Investor Services. EMF/Registrars are not responsible for e-mail not reaching the investor and for all consequences thereof. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the AMC will arrange for the same through physical mode on receipt of request for the same. It is deemed that the Unitholder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

IV. BANK ACCOUNT DETAILS

It is mandatory to attach cancelled original cheque / self certified copy of blank cheque / self certified Bank Statement / first page of the Bank Pass book (bearing account number and first unit holder name on the face of the cheque/ Bank Pass Book/ Bank Statement) is required as an incremental additional document in case of: a. Registration of the investor's Bank Mandate at the time of investment b. Subsequent change in the investor's Bank Mandate.

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank account please fill separate Form for Registering/ Adding Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts. For further information please refer SAI.

V. PAN DETAILS

It is mandatory for all investors to quote their Permanent Account Number (PAN) (except MICRO SIP Investments) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors.

Investment through Systematic Investment Plans (SIPs) upto ₹50,000/- (aggregate of installments in a rolling 12 months period or in a financial year i.e. April – March) per year per investor shall be exempt from the requirement of PAN. In case of PAN Exempt cases PAN Exempt KYC Reference Number (PEKRN) is Mandatory.

VI. INVESTMENT DETAILS

Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected the default option/ Sub option as prescribed in SID will be applicable.

Investors have the option to sweep their IDCW in any other Open-ended Scheme of the Fund at the applicable NAV based prices, irrespective of minimum application amount and eligibility requirements of the scheme in which such IDCW is being invested.

If the scheme name on the application form and on the payment instrument are different, the application will be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).

VII. MODE OF PAYMENT

1. As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', Edelweiss Mutual Fund shall not accept applications for subscriptions with third party payment instruments with effect from November 15, 2010.For further information please refer SAI.
2. Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/Kfintech ISC's or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account
3. The cheque should be drawn on any bank which is situated at and is a member/ sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
5. The cheque should be drawn in favor of "Edelweiss _____(Scheme name)" and should be crossed 'Account Payee Only'.
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. Single cheque for investments in multiple Schemes and multiple cheques for investments in Single Scheme will not be accepted.
8. If the dividend amount under IDCW payout option is less than or equal to ₹ 250/-, the same will be reinvested. (Except in case of Edelweiss ELSS Tax saver Fund).
9. In case of investment through electronic mode (RTGS/ Transfer letter), you are requested to contact the nearest AMC/ Kfintech ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited.
10. NRI / FII's

    Repatriation basis: - Payments by NRIs/FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located.

    Non-Repatriation basis:- NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.
11. In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.

VIII. PAYMENT OF REDEMPTION /IDCW

Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of IDCW / redemption amount to the unit holders bank account. AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

a. The 11 digit IFSC (Indian Financial System) Code b. The 9-digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf (Please attach copy of the cancelled cheque for verification) Based on the above information AMC will enable secure transfer of your redemption and dividend payouts via the various electronic mode of transfers (RTGS / NEFT / Direct Credit mode that are available in the banking system).

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing at locations where this facility is not available.

"If the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible". For validation of IFSC/MICR code, investor to attach the cancelled cheque/copy of cheque (PSU banks account holders to provide the front page of pass book along with cheque copy). If these documents are not provided the fund will not be responsible consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE COMMON APPLICATION FORM

IX. NOMINATION DETAILS

a. Nomination or declaration to Opt-out of nomination is mandatory for all the folios/ accounts, where the mode of holding is single or the folio/account is opened by an individual without any joint holding. New subscriptions received from individuals without nomination will be rejected.

b. The nomination can be made only by individuals holding units on their own behalf singly or jointly. Non-Individuals including Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders must sign against the nomination.

c. Nomination is not allowed for folios/accounts opened in the name of minors.

d. A minor can be nominated against a folio/account. In such case, the Date of Birth of the minor nominee is mandatory, the guardian details such as name and address can be optional. The Guardian of the minor nominee can be the investor and/or any other holder registered in the folio/account or a person other than the holder of that folio/account. Nomination can also be in favour of the Central Govt, State Govt, a local authority, any person designated by virtue of his office or a religious charitable trust.

e. The Nominee cannot be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.

f. Nomination stands rescinded upon transfer of units or cancellation of nomination.

g. The nomination facility extended under the Scheme is subject to existing laws. The AMC shall, subject to production of such evidence which in their opinion is sufficient, proceed to effect the payment / transfer to the Nominee(s) in the event of demise of the unit holder. Transfer of units / payment to the nominee(s) of the sums shall discharge Edelweiss Mutual Fund / Edelweiss AMC of all liability towards the estate of the deceased unit holder and his / her / their successors / legal heirs.

Transmission of units in favour of the Nominee/s, will be as the below mentioned scenario in case the folio is registered with one and/or more joint holder/s:

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

h. Cancellation of nomination can only be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. (Please note that if one of the Joint Holders die, the other surviving holders cannot cancel or change the nomination.)

i. Nomination shall be registered only if the form is filled in completely.

j. Nomination will be updated at folio/account level and not at scheme level.

k. Nomination can be made for maximum of 3 nominees. In case of multiple nominees, the percentage of allocation / share in favour of each of the nominees should be indicated against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent. In the event of unit holders not indicating the percentage of allocation / share for each of the nominees, the Mutual Fund / the AMC, by invoking default option shall settle the claim equally amongst all the nominees.

l. The investor(s) who nominate is / are deemed to have read and understood the provisions of Regulation 29 A of SEBI (Mutual Funds) Regulations, 1996, read with SEBI circular dated January 10, 2025 and February 28, 2025 and / or any amendments thereto or any rules / regulations framed in pursuance thereof governing the nomination facility and agree/s to be bound by the same.

m. Fresh nominee registrations will override older nominations under the folio.

X. PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CUSTOMER (KYC)

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Further, SEBI has also notified SEBI (KYC Registration Agency) Regulations, 2011 on December 23, 2011 with a view to bring uniformity in KYC requirements for the securities market and to develop a mechanism for centralization of the KYC records. Accordingly the following procedures shall apply:

- SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including IPV with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website www.edelweissmf.com.
- The Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforementioned circulars/circulars issued by SEBI in this regard from time to time. The Fund shall upload the details of the investors on the system of the KYC Registration Agency ("KRA"). The Registrar & Transfer Agent of the Fund viz. KFin Technologies Private Limited ("Kfintech") may also undertake the KYC of the investors on behalf of the Fund. On receipt of the KYC documents from the Fund, the KRA shall send a letter to the investor within SEBI stipulated timelines, confirming the details thereof.
- Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary but can submit the letter/acknowledgment issued by the KRA.
- It is mandatory for intermediaries including mutual funds to carry out IPV of its new investors. The IPV carried out by any SEBI registered intermediary can be relied upon by the Fund. Edelweiss Asset Management Limited and NISM/ AMFI certified distributors who are Know Your Distributor (KYD) compliant are authorized to undertake the IPV for mutual fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.
- Existing KYC compliant investors of the Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.
- Application Form not accompanied by KYC Application Form or letter / acknowledgment issued by KRA may be rejected by the Fund. The KYC compliance status will be validated with the records of the KRA.AMC reserves the right to call for any additional information from the investors/applicant/reject applications/ subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulation from time to time.

XI. PURCHASE/REDEMPTION OF UNITS THROUGH STOCK EXCHANGE INFRASTRUCTURE

Investors can purchase and redeem units of the schemes on Mutual Fund Services System (MFSS) of the National Stock Exchange of India Ltd. (NSE) and on the BSE Stock Exchange Platform for Allotment and Repurchase of Mutual Funds (BSE StAR MF System) of Bombay Stock Exchange Ltd. (BSE).

Please refer Scheme Information Document(s) of the Scheme(s) for further details.

XII. INVESTMENTS UNDER THE ZERO BALANCE FOLIO

Investor can't create zero balance folio in this facility.

XIII. Investors already holding a folio in Edelweiss Mutual Fund can provide their existing Folio Number and Name of applicants(s) corresponding to the said folio. It is the responsibility of the Investor to ensure correctness of such details provided. The personal details and Bank Account details as registered in the existing folio number as provided would apply to the said investment and the registered details would prevail over any conflicting information furnished in this form. Edelweiss Asset Management Limited reserves the right to assign any of the existing Folio Number of the investor against multiple applications and / or subsequent purchases under this new application form lodged, with identical mode of holding and address and such other criterions and integrity checks as may be determined by the Edelweiss Asset Management Limited from time to time.

XIV. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN):

SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of Mutual Fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIN of the Sales Person (if any) in the EUIN space.

XV. FATCA DETAILS:

The Foreign Account Tax Compliance Act is a United States (US) federal law, aimed at prevention of tax evasion by US Citizens and Residents ("US Persons") through use of offshore accounts. The FATCA provisions were included in the HIRE Act enacted by the US legislature. FATCA is designed to increase compliance by US taxpayers and is intended to bolster efforts to prevent tax evasion by the US taxpayers with offshore investments.

As per SEBI circular no. CIR/MIRSD/2/2014 dated June 30, 2014, the Government of India and the US have reached an agreement in substance on the terms of an Inter-Governmental Agreement ("IGA") to implement the FATCA provisions, which have become globally applicable from July 1, 2014. FATCA requires enhancement of due diligence processes by the Foreign Financial Institutions ("FFI") so as to enable identification of US reportable accounts.

The Fund/ Edelweiss Asset Management Limited ("the AMC") are likely to be classified as a FFI under the FATCA provisions, in which case the Fund /the AMC would be required, from time to time, to (i) undertake necessary due diligence process by collecting information/ documentary evidence of the US/non US status of the investors; (ii) disclose/report information as far as may be legally permitted about the holdings/investment returns pertaining to reportable accounts to the US Internal Revenue Service and/or such Indian authorities as may be specified under FATCA or other applicable laws and (iii) carry out such other activities as prescribed under the FATCA provisions, as amended from time to time.

FATCA due diligence will have to be directed at each investor/unit holder (including joint investors) and on being identified as a reportable person/specified US person, all the folios will be reported. Further, in case of folio with joint investors, the entire account value of investment portfolio will be attributable under each such reportable person. Investors/Unit holders would therefore be required to furnish such information to the Fund/AMC, from time to time, in order to comply with the reporting requirements stated in the IGA and or circulars/guidelines issued by SEBI/AMFI in this regard.

The impact of FATCA is relevant not only at the point of on-boarding of the investors but also throughout the life cycle of the investor account / folio with the Fund. Hence investor(s) should immediately intimate the Fund/AMC, in case of any change in the FATCA related information provided by them at the time of initial subscription.

The Fund/AMC reserves the right to reject any application or compulsorily redeem the units held directly or beneficially in case the applicant/investor fails to furnish the relevant information and/or documentation or is found to be holding units in contravention of the FATCA provisions.

Investors are advised to consult their tax advisors to understand the FATCA requirements and its implications in relation to their investment.

XVI. CENTRAL KYC RECORDS REGISTRY (CKYCR):

The Government of India vide their Notification dated November 26, 2015 authorised the Central Registry of Securitisation Asset Reconstruction and Security Interest of India (CERSAI) to act as and to perform the functions of the Central KYC Records Registry under the said rules, including receiving, storing, safeguarding and retrieving the KYC records under the Prevention of MoneyLaundering Act, 2002. SEBI required all the market intermediaries to update/upload KYC details of the new customer/

investors (not KYC-KRA compliant) on CERSAI's online platform. CERSAI is a centralized repository of KYC records of customers/investors in the financial sector with uniform KYC norms and inter-usability of the KYC records across the sector with an objective to reduce the burden of producing KYC documents and getting those verified every time when the customer/investors creates a new relationship with a financial entity. Central KYC (CKYC) will store all the customer/investor information at one central server that is accessible to all the financial institutions. After opening a KYC account under the CKYC, customer/investor will get a 14-digit identification number ("KYC Number") and that the same may be quoted by the investor wanting to invest in mutual funds. Further, the Mutual Fund/AMC is required to check whether the PAN of the investor has been updated in CKYCR. In case the PAN has not been updated, the Mutual Fund/AMC shall collect a self certified copy of the investor's PAN card and update/upload the same in CKYCR. In case the Investor uses the old KRA KYC form for updating of any KYC information, such investor shall be required to provide additional/missing information only by using the supplementary CKYC form or fill the new "CKYC form".

XVII. BENEFICIAL OWNERSHIP DETAILS (UBO):

Under the Prevention of Money Laundering Act, 2005 ("PMLA"), all intermediaries including mutual funds are required to obtain sufficient information from their clients in order to identify and verify the persons who beneficially own or control the account. SEBI circular dated January 24, 2013 on identification of Beneficial Ownership has prescribed a uniform approach to be followed for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person/s who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement.

All categories of investors except individuals, company listed on a stock exchange or majority owned subsidiary of such company, are requested to provide details about beneficial ownership in the Application Forms for all their investments. The Fund reserves the right to reject applications/restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

XVIII. NPO INSTRUCTIONS:

a) As per Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2023 dated Mar 07, 2023,
   i. Definition of Non-Profit Organization (NPO) has been revised. "Non-profit organization" means any entity or organization, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).
   ii. Controlling ownership interest % has been revised from 25%/15% to 10% for Corporate/ Trust respectively to consider as an Ultimate Beneficiary Owner (UBO).

b) As per new PML amendment, every Banking Company or Financial Institution or intermediary has to ensure that the NPO, it is servicing gets registered on the DARPAN Portal, if not already registered. New accounts / folios will not be created for NPOs by the Mutual Funds, without such registration. Visit NGO Darpan website - https://ngodarpan.gov.in/ for DARPAN registration.